

Habilitation Thesis

**EXPERIMENTAL AND NUMERICAL
FLOW INVESTIGATIONS
IN HYDRAULIC MACHINES**

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In memoriam
Prof. Dr. Eng. Doc. Dr.H.C. Ioan M. Anton,
member of the Romanian Academy
(1924 - 2011)

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(a) ABSTRACT

The habilitation thesis summarizes my research activity after public defending the PhD Thesis at Politehnica University of Timișoara and received the confirmation no. 4189/29.07.2002 from Ministry of Education and Research. The scientific, professional and academic achievements included in the habilitation thesis cover the period from 2003 to 2017.

I am full time senior researcher (1st degree since 2008; 2nd degree during 2002 – 2008) at Hydrodynamics and Cavitation Laboratory from Romanian Academy – Timisoara Branch, Center of Advanced Research in Engineering Sciences, Hydrodynamics, Cavitation and Magnetic Liquid Division. Also, I am associate senior researcher at Numerical Simulation and Parallel Computing Laboratory from Politehnica University of Timișoara, National Center for Engineering of Systems with Complex Fluids since 2002.

My research activity is definitely application driven, with a constant focus on relating the problems in design, analysis and optimization of the turbomachines and the hydraulic systems associated to hydro power stations and pumping stations. Two main fields associated to hydrodynamic of the turbines and pumps are covered in my research. My research is focused on the influence of geometrical and kinematical parameters on the efficiency, cavitation and dynamic characteristics. Several numerical investigations of the flow have been performed to Francis and Kaplan turbines in order to assess its characteristics. Also, the numerical investigations of the fluid flow have been applied to the large storage pumps in order to assess its performances.

Two additional research fields have been developed to support main topics. The first additional research topic corresponds to the mathematical models, numerical algorithms and computational fluid dynamics. This topic has supported my numerical investigations in order to understand the hydrodynamic phenomena associated to the turbomachines. The second additional research topic is associated to the swirling flows and the flow control techniques to mitigate the self-induce instabilities and its effects. These phenomena occur in hydraulic turbines operated far from the best efficiency point, and it hinders the turbine normal operation through severe pressure fluctuations leading to vibrations, damage of the bearings, blade rupture and power swing. An experimental test rig with a swirl generator was designed and manufactured by our group to explore the unsteady phenomena encounter in the hydraulic turbines as well as to investigate several innovative control techniques (e.g. axial water jet, flow feedback, pulsating jet, adjustable diaphragm, and magneto-rheological speed control) to mitigate its effects.

A synopsis view of my publications in the field of the habilitation thesis covering 2003 - 2017 is quantified in 63 journal papers (21 ISI, 12 BDI and 30 other journals), 79 proceeding papers (31 ISI and 48 international conferences), 3 patents, 3 books, 7 chapters and 9 proceedings as editor. These results were supported by 4 programs of the Hydrodynamics and Cavitation Laboratory from Hydrodynamics, Cavitation and Liquid Magnetic Division, Center for Advanced Research in Engineering Sciences, Romanian Academy – Timisoara Branch, 16 projects (14 national and 2 international) and 20 contracts with industrial partners (17 national and 3 international) during 2003 – 2017.

I have developed a special connection with several industrial partners (e.g. Hidroelectrica SA - Romanian Hydropower Company and its subsidiary), UCMR SA and HydroEngineering SA Resita and AQUATIM SA Timișoara (Regional Water Supplier). I have been manager or partner responsible in several projects with industrial partners who have investigated the problems arising from the operation of hydraulic machines. Also, I want to highlight two events with industrial partners organized as chairman of the organizing committee that have debated the issues raised by them.

It is well known that each hydropower/pumping plant is unique. I have visited more than 40 hydraulic machinery laboratories and hydropower/pumping plants all around the world. I have organized the training course entitled “Numerical Methods in Fluid Dynamics and FLUENT applications” at Politehnica University of Timisoara with 40 participants. I have contributed as member of the scientific committee of 4 international conferences while as member of the organizing committee at 13 conferences/symposiums/workshops and I have been chairman of the one IAHR workshop, respectively. I have contributed as reviewer at 11 journals. I have participated to 14 events (8 symposia: IAHR2016, Grenoble, France; IAHR2014, Montreal, Canada; IAHR2012, Beijing, China, IAHR2010, Timisoara, Romania; IAHR2008, Foz do Iguacu, Brassil; IAHR2006, Yokohama, Japan; IAHR2004, Stockholm, Sweden; IAHR2002, Lausanne, Switzerland; and 6 workgroups: IAHRWG2017, Porto, Portugal; IAHRWG2015, Ljubljana, Slovenia; IAHRWG2013, Lausanne, Switzerland; IAHRWG2011, Belgrade, Republic of Serbia; IAHRWG2009, Brno, Czech Republic; IAHRWG2007, Timisoara, Romania) organized by International Association on Hydraulic Research (IAHR), Section: Hydraulic Machinery and Systems and more than 10 other international conferences (MDA2016, Porto, Portugal; WREC2015, Bucharest, Romania; ViennaHydro2014, Vienna, Austria; CIEM2011, Bucharest, Romania; CMFF’09, Budapest, Hungary; CIEM2009, Bucharest, Romania; ISFMFE2008, Beijing, China; HME2008, Timisoara, Romania; CIEM2007, Bucharest, Romania; CMFF’06 and CMFF’03, Budapest, Hungary).

I have consolidated and extended my knowledge in field of research management and administration participating to 10 training courses organized by European Association of Research Managers and Administrators (EARMA).

I have worked with several students to prepare their diploma theses (10), dissertation theses (5) and doctoral theses (more than 10) in which they designed different parts of the turbomachines (turbine or pump) and/or they perform in-depth numerical and/or experimental flow investigations. I have supported 4 students at Bachelor level to develop their own research and to present their results at Student Technical Days. I have been appointed of 20 times as member of the PhD committee (19 in Romania and 1 in Sweden).

My teaching career has started in 2016, when I joined as associate professor at the Hydraulic Machinery group from Mechanical Machinery, Equipment and Transport Department, Mechanical Faculty, Politehnica University of Timișoara. My teaching activities are focused on hydraulic turbines course, hydropower plants and pumping stations course which are part in the curriculum in mechanical engineering at Bachelor and master levels, respectively.

I would like to emphasize my membership to Timișoara School on Hydraulic Machines and Cavitation founded by Prof. Aurel Bărglăzan, member of the Romanian Academy and promoted at national and international levels by Prof. Ioan M. Anton, member of the Romanian Academy. I was formed in this school and I continued to develop its research directions together with my colleagues based on what we inherited. My future scientific plan is directly connected with the requirements imposed by the energy market. As a result, the following research topics would be further investigated to support the operation of the hydraulic turbomachines (turbines and pumps) in the energy market and to extend its lifetime. Also, the investigations would be extended to the large pumps installed in the wastewater systems and flood protection systems.

(a) REZUMAT

Teza de abilitare sintetizează activitatea mea de cercetare după susținerea publică a tezei de doctorat la Universitatea Politehnică Timișoara și primirea confirmării nr. 4189/29.07.2002 de la Ministerul Educației și Cercetării. Realizările științifice, profesionale și academice incluse în teza de abilitare acoperă perioada 2003 - 2017.

În prezent sunt cercetător principal (gradul 1 din 2008; gradul 2 în perioada 2002-2008) cu normă întreagă în cadrul Laboratorului de Hidrodinamică și Cavitație de la Academia Română – Filiala Timișoara, Centrul de Cercetări Tehnice Fundamentale și Avansate, Secția de Hidrodinamică, Cavitație și Lichide Magnetice. În același timp, sunt cercetător principal asociat în cadrul Laboratorului de Simulare Numerică și Calcul Paralel de la Universitatea Politehnică Timișoara, Centrul de Cercetări pentru Ingineria Sistemelor cu Fluide Complexe din 2002.

Activitatea mea de cercetare este în mod clar definită de aplicații, cu o focalizare constantă asupra problemelor de proiectare, analiză și optimizare a turbomașinilor și sistemelor hidraulice din centralele hidroelectrice și stațiile de pompare. În cercetările mele am abordat două direcții principale corespunzătoare hidrodinamicii turbinelor și pompelor hidraulice. Cercetările sunt focalizate pe influența parametrilor geometrici și cinematici asupra caracteristicilor energetice, cavitaționale și dinamice ale turbomașinilor. Am realizat investigații numerice a curgerii în turbine Francis și Kaplan pentru a evalua performanțele acestora pentru a găsi soluții la problemele sesizate în exploatare. De asemenea, am realizat investigații numerice a curgerii în pompelor de mare capacitate pentru a le evalua performanțele și a găsi soluții care să crească timpul de viață și să reducă costurile de exploatare și întreținere.

Am dezvoltat două direcții de cercetare suplimentare care să susțină direcțiile principale menționate mai sus. Prima direcție de cercetare include modele matematice, algoritmi numerici și simularea numerică a curgerii fluidelor. Această direcție mi-a sprijinit investigațiile numerice pentru a aprofunda fenomenele hidrodinamice din turbomașini. A doua direcție de cercetare este asociată curgeriilor cu vârtej și tehnicilor de control a curgeriilor pentru limitarea instabilităților autoinduse și a efectelor acestora. Aceste fenomene apar în turbine hidraulice care funcționează departe de punctul de randament maxim și împiedică funcționarea normală a turbinei prin fluctuații puternice de presiune care duc la vibrații, deteriorarea lagărelor, ruperea paletelor rotorice și pulsații la putere. Un stand experimental cu generator de vârtaj a fost proiectat și realizat de grupul nostru pentru a explora fenomenele nestaționare din turbinele hidraulice precum și pentru a investiga mai multe tehnici de control inovative (de exemplu: jet axial de apă, curgere cu feedback, jet pulsant, diafragmă reglabilă și control magneto-reologic al turației) care să diminueze efectele.

O privire sintetică asupra publicațiilor în domeniul tezei de abilitare în perioada 2003 – 2017 este cuantificată în 63 articole de jurnale (21 ISI, 12 BDI și 30 alte jurnale), 79 articole în volume ale conferințelor (31 ISI și 48 internaționale), 3 cereri de brevete (din care 1 acordat), 3 cărți, 7 capitole și 9 volume ca editor. Aceste rezultate au fost realizate în cadrul celor 4 programe ale Laboratorului de Hidrodinamică și Cavitație din cadrul Secției de Hidrodinamică, Cavitație și Lichide Magnetice, Centrul de Cercetări Tehnice Fundamentale și Avansate, Academia Română – Filiala Timișoara, 16 proiecte (14 naționale și 2 internaționale) și 20 contracte cu parteneri industriali (17 naționale și 3 internaționale) derulate în perioada 2003 – 2017 în tematica tezei de abilitare.

O relație specială am dezvoltat-o cu mai mulți parteneri industriali (de exemplu Hidroelectrica SA și sucursalele ei, UCMR SA și HydroEngineering SA Reșița și AQUATIM SA Timișoara). Am fost

director și responsabil al echipei de la Academia Română – Filiala Timișoara în cadrul mai multor proiecte cu parteneri industriali care au investigat problemele apărute în exploatarea mașinilor și echipamentelor hidraulice. De asemenea, doresc să evidențiez cele două evenimente cu parteneri industriali organizate în calitate de președinte al comitetului local care au dezbătut problemele ridicate de aceștia.

Este bine cunoscut faptul că fiecare centrală hidroelectrică și stație de pompare este unică. Am vizitat mai mult de 40 laboratoare de mașini hidraulice, centrale hidroelectrice și stații de pompare din întreaga lume pentru a înțelege mai bine problemele și condițiile apărute în exploatarea lor. Am contribuit ca membru al comitetului științific la 4 conferințe internaționale în timp ce în calitate de membru al comitetului de organizare am contribuit la 13 conferințe/simpozioane/workshop-uri și am fost președinte al comitetului de organizare al unui workshop organizat sub egida IAHR. Am desfășurat activități de evaluare și recenzii pentru 11 jurnale. Am participat la 14 evenimente (8 simpozioane: IAHR2016, Grenoble, Franța; IAHR2014, Montreal, Canada; IAHR2012, Beijing, China; IAHR2010, Timișoara, România; IAHR2008, Foz do Iguaçu, Brazilia; IAHR2006, Yokohama, Japonia; IAHR2004, Stockholm, Suedia; IAHR2002, Lausanne, Elveția; and 6 workgroup-uri: IAHRWG2017, Porto, Portugalia; IAHRWG2015, Ljubljana, Slovenia; IAHRWG2013, Lausanne, Elveția; IAHRWG2011, Belgrad, Serbia; IAHRWG2009, Brno, Republica Cehă; IAHRWG2007, Timișoara, România) organizate sub egida International Association on Hydraulic Research (IAHR), Secțiunea: Mașini Hidraulice și Sisteme și încă 10 alte conferințe internaționale (ViennaHydro2016, Viena, Austria; MDA2016, Porto, Portugalia; WREC2015, București, România; ViennaHydro2014, Viena, Austria; CIEM2011, București, România; CMFF'09, Budapesta, Ungaria; CIEM2009, București, România; ISFMFE2008, Beijing, China; HME2008, Timișoara, România; CIEM2007, București, România; CMFF'06 și CMFF'03, Budapesta, Ungaria).

Mi-am consolidat și extins cunoștințele în domeniul managementului și administrării cercetării participând la 10 cursuri de instruire organizate de European Association of Research Managers and Administrators (EARMA).

Am organizat cursul intitulat “Metode numerice în dinamica fluidelor și aplicații FLUENT” la Universitatea Politehnică Timișoara cu 40 de participanți majoritatea fiind doctoranzi și tineri cercetători. Am lucrat cu mai mulți studenți să-și pregătească lucrările de diplomă (10), tezele de dizertație (5) și tezele de doctorat (mai mult de 10) în care au proiectat diferite părți ale turbomașinilor (turbine sau pompe) și/sau au realizat investigații numerice și/sau experimentale detaliate ale curgerii. Am sprijinit 4 studenți să-și dezvolte propriile cercetări și să-și prezinte rezultatele la Zilele Tehnice Studentești. Am fost numit membru în 20 comisii de doctorat (19 în România și 1 în Suedia).

Carierea didactică am început-o în 2016, când m-am alăturat ca profesor asociat grupului de Mașini Hidraulice din Departamentul de Mașini Mecanice, Echipamente și Transporturi de la Facultatea de Mecanică, Universitatea Politehnică Timișoara. Activitățile mele didactice sunt focalizate pe cursurile de turbine hidraulice și centrale hidroelectrice respectiv stații de pompare care sunt parte în curricula de inginerie mecanică pentru studenții de la nivelul Bachelor și master.

Doresc să evidențiez apatenența mea la Școala Timișoreană de Mașini Hidraulice și Cavitație înființată de Acad. Aurel Bărglăzan și promovată la nivel național și internațional de Acad. Ioan M. Anton. Am fost format în această școală și continui să dezvolt direcțiile ei de cercetare împreună cu colegii mei bazându-ne pe ce am moștenit. Planul meu științific este conectat în mod direct cu cerințele impuse de piața de energie. Ca urmare, cercetările mele vor investiga funcționarea turbomașinilor hidraulice (turbine și pompe) în piața de energie pentru a extinde timpul lor de viață. De asemenea, investigațiile vor fi extinse la pompele de mare capacitate instalate în sistemele de apă uzată și protecție la inundații.

(b) ACHIEVEMENTS AND DEVELOPMENT PLANS

(b-i) Scientific, professional and academic achievements

i.1. Introduction

The habilitation thesis summarizes my research activity after defending my PhD Thesis at Politehnica University of Timișoara and received the confirmation no. 4189/29.07.2002 from Ministry of Education and Research.

It should be noted that the activity of the candidate in the field of hydraulic machines (more than 22 years of research in this field), from the beginning of October 1994, until the defending of PhD Thesis (March 2002), and after awarding the PhD thesis, is in line with the fields of research of Timișoara School on Hydraulic Machines. It may be underlined that my research activity is enrolled in the long tradition of the Timișoara School on Hydraulic Machines and Cavitation – more than 70 years of activity – founded by Prof. Aurel Bărglăzan, member of the Romanian Academy and promoted at national and international level by Prof. Ioan M. Anton, member of the Romanian Academy.

The scientific, professional and academic achievements included in this habilitation thesis cover the period from 2003 (when I defended my PhD thesis) to 2017.

i.2 Scientific achievements

The research activities and achievements presented in my habilitation these have been developed in two main topics covering more than 15 years (from April 2002 to 2017). My first research topic is associated to *hydraulic turbines and hydropower plants*, which extends the topic of my PhD thesis entitled “**Numerical Methods for the Analysis of the Three-Dimensional Flow in Francis Turbine Runners**” defended in April 2002 under supervision of Prof. I.M. Anton, member of the Romanian Academy. The next main topic is associated to the *large hydraulic pumps and pumping power plants* starting since 2005. My research activity is definitely application driven, with a constant focus on relating the problems in design, analysis and optimization of turbomachines and the hydraulic systems associated to hydro power stations and pumping stations.

- (I) In turbine hydrodynamics, I focused on the influence of geometrical and kinematical parameters on the efficiency, cavitation and dynamic characteristics. The numerical investigations of the flow were applied to Francis and Kaplan turbines in order to assess its efficiency, cavitation and dynamic characteristics. The mixing interface algorithm developed in my PhD thesis was applied for three-dimensional turbulent flow computation in the domains corresponding to prototype turbines. I have contributed to experimental and numerical investigations performed on several hydraulic units installed in hydropower plants (HPP) from Romania equipped with Francis turbines (Brădișor HPP, Munteni HPP, Stejaru HPP, Turnu-Ruieni HPP, Râul Mare – Retezat HPP and Motru HPP) and Kaplan turbines (Portile de Fier I HPP, Drăgășani HPP, Zăvideni HPP, Zervești HPP, Turnu HPP). I have been performed several numerical investigations about swirling flow in the upstream hydraulic passage (including spiral casing, stay vanes, guide vanes) of the Kaplan and Francis turbines in order to analyze the velocity distribution at the runner inlet. Next, I have extended the analysis to the swirling flow delivered by the runner outlet and ingested by the draft tube. I have designed two new geometrical solutions (one together with Prof. E. Goede from Stuttgart University) for Francis turbine runner available to Brădișor HPP. The energetical, cavitation and dynamic characteristics of new solutions were compared against to the geometrical solution available in hydropower plant

considering several scenarios in operation. All these investigations were done in order to select the most appropriate technical solutions for refurbishment the hydraulic turbines available in Brădișor HPP. Also, I have developed numerical methodologies for analysis and flow optimization in two Francis models (e.g. GAMM and FLINDT). For instance, one methodology is dedicated to optimize the hydraulic torque on the guide vane blade developed together with Prof. R. Susan-Resiga and Prof. I. Anton, member of the Romanian Academy and other methodology being dedicated to optimization of the swirling flow delivered by the runner and ingested by the draft tube developed together with Prof. R. Susan-Resiga and Dr. T. Ciocan. The results in this field obtained until 2007 have been included in the “*Numerical Analysis of the Flow in Francis Turbines*” book published by Orizonturi Universitare Publishing House;

The research on cavitation successfully addressed fundamental aspects such as cavitation inception, cavitation behavior of hydraulic machinery, as well as designing and testing solutions to improve the cavitation in hydraulic turbines and pumps. Using an inverse method, the new impeller and new inducer are designed and tested to improve the cavitation behavior of pumps and turbines.

- (II) In pump hydrodynamics, I focused my investigations on the influence of geometrical configurations on the efficiency, cavitation and dynamic characteristics. The numerical investigations of the flow were applied to large storage pumps in order to assess its efficiency, cavitation and dynamic characteristics. I have conducted several numerical investigations performed on units installed in several storage power plants (SPP) from Romania equipped with single and double flux impellers (Jidoaia SPP, Petrimanu SPP, Lotru-Aval/Balindru HPP, and Gâlceag SPP). I have contributed to the experimental and numerical investigations performed on a impeller model (1:5.7) corresponding to the storage pump prototype installed in Jidoaia SPP. I have contributed to design an axial rotor in order to improve cavitation characteristics of the impeller model. The numerical investigations were used to analyze the hydrodynamic phenomena associated to real hydraulic machines in order to propose new solutions for their refurbishment/rehabilitation. I have developed a new test rig installed at Politehnica University of Timisoara dedicated to deep hydrodynamic investigations in hydraulic pumps together with Prof. L.E. Anton and Prof. A. Baya. Also, I have designed a new impeller for storage pump prototype installed in Jidoaia SPP together with Dr. G. Gînga. I have been involved in the manufacturing and testing the new impeller model installed on the test rig at Politehnica University of Timisoara;

Other two additional research fields have been developed to support main topics. The first additional research topic corresponds to the mathematical models, numerical algorithms and computational fluid dynamics. This topic has supported my numerical investigations performed in order to understand the hydrodynamic phenomena associated to the flow in hydraulic machines. This research field was successively developed in connection with the hardware and software infrastructure installed at Parallel Computing and Numerical Simulation Laboratory from National Research Center for Engineering with Complex Fluids, Politehnica University of Timisoara along to two decades. The parallel computing is an important ingredient in my numerical investigations since 2003. My developments in this field are based on the knowledge accumulated during my participation to the international course entitled “*Computation in Sciences Methods and Algorithms on Supercomputing for Engineering (COSMASE): Grid Generation and Parallel Computing*” organized at École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland during September 23 – 27, 1996 as well as the courses: “*Object Oriented Programming*” - 1st semester 1999 by

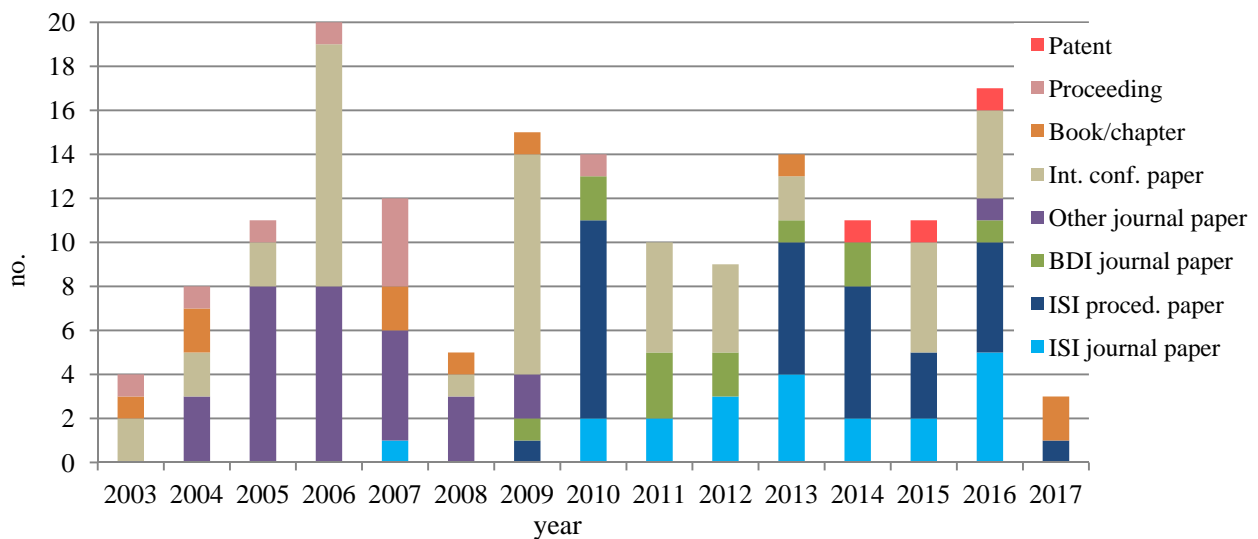
Prof. V. Stoicu-Tivadar; “*Data Structures and Programming Techniques*” - 1st semester 1997 and “*Advanced Data Structures and Programming Techniques*” - 2nd semester 1998 by Prof. V. Cretu; “*C/C++ Programming*” - 1st semester 1996 and “*Data Structures and Parallel Computing Architectures*” - 1st semester 1996 by Prof. P. Eles from Computer Science Department from Computer and Automation Faculty, Politehnica University of Timisoara and “*Numerical Methods in Fluid Mechanics*” - 1st semester 1996 by Prof. V. Stan from Mathematical Faculty, West University of Timisoara, respectively. My achievements on this topic are enumerated below:

- (III) I have developed and tested several numerical algorithms for fluid flow computation. Firstly, the algorithms for single phase flows were developed and applied to quantify the hydraulic machines performances. The hydrodynamics of hydrofoils and hydrofoil cascades received a particular attention in my developments. The basic mathematical models for theoretical hydrodynamics were developed together with Prof. R. Susan-Resiga and validated against experimental data for different applications (hydrofoil cascades corresponding to turbine and pumps). These theoretical developments are embedded by in-house code called *CASCADEExpert* being used for computing the flows (incompressible inviscid and irrotational) and for designing the hydrofoil cascades, respectively. The numerical algorithms were developed and applied to the hydrofoil cascades, aimed at improving and optimizing the design techniques. Several algorithms were developed together with T. Frunza for reconstruction the stay/guide vane geometries associated to hydropower plant. These algorithms were implemented in in-house code called *INteractive FOil geomeTry REConstruction (InFoTRec)* being used for preparing the three-dimensional geometries of the computational domains available into the hydropower plants. Next, the two phase algorithms were developed and tested in order to compute the cavitating flows together with Prof. R. Susan-Resiga and Dr. S. Bernad. These algorithms for cavitating flows were validated against experimental data on semi-spherical bodies and hydrofoils. Further, these algorithms were applied for computing the two phase flows in hydraulic machines (turbines and pumps). The numerical developments and tests with different partitioning techniques applied to the parallel computation of the fluid flow can be found in the book entitled “*Modern Methods of Parallel Computing for Fluid Flow Simulation*”, authors R. Susan-Resiga, S. Muntean, S. Bernad, D. Balint, I. Balint published in 2003 by “Orizonturi Universitare” publishing house. The swirling flow hydrodynamics associated to the hydraulic machineries is a special topic in my research. The swirling flow topic supports the fundamental knowledge for designing the flow and the geometry to deliver this flow using inverse method, respectively. The mathematical models for swirling flow hydrodynamics were developed together with Prof. R. Susan-Resiga for one dimensional flow. Next, the mathematical models for swirling flow hydrodynamics were extended to two dimensional axi-symmetric flows together with Prof. R. Susan-Resiga and Dr. A.A. Anton using Portable Extensible Toolkit for Scientific Computation (PETSc) platform.

The second additional research topic is associated to the swirling flows and the flow control techniques to mitigate its self-induced instabilities. It was inferred that the main cause of the self-induced instability of the decelerated swirling flow, when the steady axisymmetric swirl in the turbine discharge cone becomes unsteady and threedimensional, with a well-know precessing helical vortex (so-called vortex rope). This phenomenon occurs in hydraulic turbines operated far from the best efficiency point, and it hinders the turbine normal operation through severe pressure fluctuations leading to vibrations, bearing damage, blade rupture, power swing. It is known that most of the technical solutions attempted in practice to mitigate the vortex rope were not actually addressing the main cause of the swirling flow instability. Therefore, an

experimental test rig with a swirl generator was designed and manufactured to explore the unsteady hydrodynamic phenomena encounter in the hydraulic turbines as well as to investigate several innovative control techniques (e.g. axial water jet, flow feedback, pulsating jet, adjustable diaphragm, and magneto-rheological speed control) to mitigate the unsteady effects.

- (IV) Swirling flow is investigated to deeply understand the flow physics and new control techniques (e.g. axial water jet control, flow-feedback control (FF), additional flow-feedback control (FF+), pulsating jet control, adjustable diaphragm control and variable speed control using a magneto-rheological fluid) were developed, implemented on the test rig and experimentally examined by our group. Also, extensive numerical investigations have been performed for each new control technique. I have been involved in all these investigations at several levels.



A synopsis view of the publications in the field the habilitation thesis topics during 2003 - 2017 is included in above figure and the next table:

No.	Type		Total no. (1 st /corresp. author)
1.	Journal papers	ISI	21 (3)
		BDI (Scopus/JSTAGE databases)	12 (2)
		Others	30 (8)
2.	Proceeding papers	ISI	31 (5)
		International	48 (14)
3.	Book/chapter/proceeding	Book	3 (1)
		Chapter	7 (4)
		Editor	9 (1)
4.	Patents	National	3 (1)

ISI journal papers covering habilitation thesis topics: 21 (3)

- Anton AA., **Muntean S.**, Susan-Resiga R., (2016) *SWIRL2D: An interface tracking algorithm for computing the two-dimensional swirling flows with stagnant region*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **17**(4), 366-373. (WOS: 000394189900012) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
- Javadi A., Bosioc A., Nilsson H., **Muntean S.**, Susan-Resiga R., (2016) *Experimental and Numerical Investigation of the Precessing Helical Vortex in a Conical Diffuser, With Rotor-Stator Interaction*, Journal of Fluids Engineering, ISSN 0098-2202, **138**(8), 081106. DOI: 10.1115/1.4033416 (WOS:000379589700006) IF₂₀₁₅=1.283 [Q2 – Engineering, Mechanical]

3. Drăghici IA., **Muntean S.** (Corresponding author), Bosioc AI., Gînga G., Anton LE., (2016) *Unsteady Pressure Field Analysis at Pump Inlet Equipped with a Symmetrical Suction Elbow*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **17**(3), 237-244. ([WOS:000383527500007](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
4. Ciocan T., Susan-Resiga R., **Muntean S.** (Corresponding author), (2016) *Modelling and optimization of the velocity profiles at the draft tube inlet of a Francis turbine within an operating range*, Journal of Hydraulic Research, ISSN 0022-1686, **54**(1), 74-89. DOI: 10.1080/00221686.2015.1119763 ([WOS:000370980100005](#)) IF₂₀₁₅=1.471 [Q2 – Water Resources]
5. Susan-Resiga R., **Muntean S.**, Stuparu A., Bosioc A.I., Tănasă C., Ighișan C., (2016) *A variational model for swirling flow states with stagnant region*, European Journal of Mechanics B-Fluids, ISSN 0097-7546, **55**(1), 104-115. DOI: 10.1016/j.euromechflu.2015.09.002 ([WOS:000367762900010](#)) IF₂₀₁₅=1.418 [Q2 – Mechanics]
6. **Muntean S.**, Drăghici I., Gînga G., Anton L.E., Baya A., (2015) *Hydrodynamic design of a storage pump impeller using inverse method and experimental investigation of the global performances*, WasserWirtschaft, ISSN 0043-0978, **105**(1), 28-32. ([WOS:000354657300007](#)) IF₂₀₁₅=0.102 [Q4 – Water Resources]
7. Susan-Resiga R., Ighișan C., **Muntean S.**, (2015) *Mathematical Model for the Swirling Flow Ingested by the Draft Tube of Francis Turbines*”, WasserWirtschaft, ISSN 0043-0978, **105**(1), 23-27. ([WOS:000354657300006](#)) IF₂₀₁₅=0.102 [Q4 – Water Resources]
8. Ciocan T., Susan-Resiga R., **Muntean S.**, (2014) *Improving draft tube hydrodynamics over wide operating range*”, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **15**(2), 182-190. ([WOS:000336714400011](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
9. Negru R., **Muntean S.**, Pașca N., Marșavina L., (2014) *Failure assessment of the shaft of a pumped storage unit*, Fatigue and Fracture of Engineering Materials and Structures, ISSN 1460-2695, **37**(7), 807-820. DOI: 10.1115/1.4030678 ([WOS:000337593700012](#)) IF₂₀₁₅=1.838 [Q1 – Engineering, Mechanical]
10. Moisă I.G., Susan-Resiga R., **Muntean S.**, (2013) *Pump inducer optimization based on cavitation criterion*”, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **14**(4), 317-325. ([WOS:000328441500008](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
11. Anton A., Crețu V., Ruprecht A., **Muntean S.**, (2013) *Traffic Replay Compression (TRC): a highly efficient method for handling parallel numerical simulation data*”, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **14**(4), 385-392. ([WOS:000328441500016](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
12. Stanciu I.R., Turcin I., **Muntean S.**, Anton L.E., (2013) *Cellular wind-power integration using remotely controlled pump hydro energy storage*”, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **14**(3), 242-249. ([WOS:000324011200009](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
13. Tănasă C., Susan-Resiga R.F., **Muntean S.**, Bosioc A.I., (2013) *Flow-Feedback Method for Mitigating the Vortex Rope in Decelerated Swirling Flows*, Journal of Fluids Engineering, ISSN 0098-2202, **135**(6), 061304. DOI: 10.1115/1.4023946 ([WOS:000326103300011](#)) IF₂₀₁₅=1.283 [Q2 – Engineering, Mechanical]

14. Negru R., **Muntean S.**, Marşavina L., Susan-Resiga R.F., Paşca N., (2012) *Computation of stress distribution in a Francis turbine runner induced by fluid flow*, Computational Material Science, ISSN 0927-0256, **64(11)**, 253-259. DOI: 10.1016/j.commatsci.2012.05.073 ([WOS:000308396200053](#)) IF₂₀₁₅=2.086 [Q2 – Material Science, Multidisciplinary]
15. Bosioc A.I., Susan-Resiga R.F., **Muntean S.**, Tănasă C., (2012) *Unsteady Pressure Analysis of a Swirling Flow with Vortex Rope and Axial Water Injection in a Discharge Cone*, Journal of Fluids Engineering, ISSN 0098-2202, **134(8)**, 081104. DOI: 10.1115/1.4007074 ([WOS:000314759800004](#)) IF₂₀₁₅=1.283 [Q2 – Engineering, Mechanical]
16. Stanciu I.R., Gînga Gh., **Muntean S.**, Anton L.E., (2012) *Low-speed-small-load direct torque control ripples filtering*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **13(2)**, 125-132. ([WOS:000305318700006](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
17. Susan-Resiga R.F., **Muntean S.**, Avellan F., Anton I. (2011) *Mathematical modeling of swirling flow in hydraulic turbines for the full operating range*, Applied Mathematical Modelling, ISSN 0307-904X, **35(10)**, 4759-4773. DOI: 10.1016/j.apm.2011.03.052 ([WOS:000292176200007](#)) IF₂₀₁₅=2.291 [Q1 – Mechanics]
18. Tănasă C., Bosioc A.I., **Muntean S.**, Susan-Resiga R., (2011) *Flow-feedback control technique for vortex rope mitigation from conical diffuser of hydraulic turbines draft tube*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **12(2)**, 125-132. ([WOS:000291510700007](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
19. Bosioc A.I., Tănasă C., **Muntean S.**, Susan-Resiga R., (2010) *Pressure recovery improvement in a conical diffuser with swirling flow using water jet injection*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **11(3)**, 245-252. ([WOS:000208624600008](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]
20. Susan-Resiga R.F., **Muntean S.**, Hasmatuchi V., Anton I., Avellan F., (2010) *Analysis and prevention of vortex breakdown in the simplified discharge cone of a Francis turbine*, Journal of Fluids Engineering, ISSN 0098-2202, **132(5)**, 051102. DOI: 10.1115/1.4001486 ([WOS:000277744700002](#)) IF₂₀₁₅=1.283 [Q2 – Engineering, Mechanical]
21. Bernad S., Susan-Resiga R., **Muntean S.**, Anton I., (2007) *Cavitation phenomena in hydraulic valves. Numerical modelling*, Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences, ISSN 1454-9069, **8(2)**, 151-160. ([WOS:000255027200010](#)) IF₂₀₁₅=1.735 [Q2 – Multidisciplinary Sciences]

BDI journal papers covering habilitation thesis topics: 12(2)

1. Tănasă C., **Muntean S.**, Bosioc A., Susan-Resiga R., Ciocan T., (2016) *Influence of the air admission on the unsteady pressure field in a decelerated swirling flow*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **78(3)**, pp. 161 – 170. (Scopus Database)
2. Drăghici I., Bosioc A.I., **Muntean S.**, Anton L.E., (2014) *Experimental investigation of the non-uniform inflow generated by the symmetrical section elbow of a large pump*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **76(3)**, pp. 207-214. (Scopus Database)
3. **Muntean S.**, Susan-Resiga R., Câmpian V.C., Dumbravă C., Cuzmoş A., (2014) *In situ unsteady pressure measurements on the draft tube cone of the Francis turbine with air injection over an extended operating range*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **6(3)**, pp.

- 173-180. (Scopus Database)
4. Dragomirescu F.I., Susan-Resiga R., **Muntean S.**, (2013) *Proper Orthogonal Decomposition Method in Swirling Flows Applications*, PAMM, **13**, pp. 441-442. DOI: 10.1002/pamm.201310214 (Scopus Database)
 5. Bernad S.I., Susan-Resiga R., **Muntean S.**, (2012) *Two-phase cavitating flow in turbomachines*, Research Journal of Applied Sciences, Engineering and Technology, **4**(22), pp. 4685-4695. (Scopus Database)
 6. Gînga G., Anton L., Baya, A., **Muntean S.**, (2012) *Numerical investigation of the 3D flow in the suction elbow and impeller of a storage pump*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **74**(1), pp. 43 - 50. (Scopus Database)
 7. Petit O., Bosioc A.I., Nilsson H., **Muntean S.**, Susan-Resiga R.F., (2011) *Unsteady Simulations of the Flow in a Swirl Generator using OpenFOAM*, International Journal of Fluid Machinery and Systems, **4**(1), pp. 199-208. DOI: 10.5293/IJFMS.2011.4.1.199. (JSTAGE database)
 8. Tănasă C., Bosioc A., Susan-Resiga R., **Muntean S.**, (2011) *Flow-feedback for pressure fluctuation mitigation and pressure recovery improvement in a conical diffuser with swirl*, International Journal of Fluid Machinery and Systems, **4**(1), pp. 47- 56. DOI: 10.5293/IJFMS.2011.4.1.047 (JSTAGE database)
 9. Stuparu A., Susan-Resiga R., Anton L.E., **Muntean S.**, (2011) *A new approach in numerical assessment of the cavitation behaviour of centrifugal pumps*, International Journal of Fluid Machinery and Systems, **4**(1), pp. 104-113. DOI: 10.5293/IJFMS.2011.4.1.104 (JSTAGE database)
 10. **Muntean S.**, Ninaci I., Susan-Resiga R., Baya A., Anton I., (2010) *Numerical analysis of the flow in the old Francis runner in order to define the refurbishment strategy*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **72**(1), pp. 117 - 124. (Scopus Database)
 11. Dunca G., **Muntean S.**, Isbăşoiu E.C., (2010) *3D numerical analysis of the impeller - Stator interaction into a storage pump*, UPB Scientific Bulletin, Series D: Mechanical Engineering, **72**(1), pp. 149 – 156. (Scopus Database)
 12. Susan-Resiga R., **Muntean S.**, Stein P., Avellan F., (2009) *Axi-symmetric Swirling Flow Simulation of the Draft Tube Vortex in Francis Turbines at Partial Discharge*, International Journal of Fluid Machinery and Systems, **2**(4). Paper no. O09028S (JSTAGE database)

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1. **Muntean S.**, Susan-Resiga R., Goede E., Baya A., Terzi R., Tîrşi C., (2016) *Scenarios for refurbishment of a hydropower plant equipped with Francis turbines*, Renewable Energy and Environmental Sustainability, **1**, 30, pp. 1- 6.
2. **Muntean S.**, Baya A., Susan-Resiga R., Anton I., (2009) *Numerical Flow Analysis into a Francis Turbine Runner with Medium Specific Speed at Off-Design Operating Conditions*, Acta Technica Napocensis, Series: Applied Mathematics and Mechanics, **52**, II, pp. 325 – 334
3. Baya A., Bosioc A., **Muntean S.**, Susan-Resiga R., (2009) *Experimental Investigations of the Vortex Rope into a Simplified Draft Tube and its Flow Control*, Acta Technica Napocensis, Series: Applied Mathematics and Mechanics, **52**, II, pp. 249 -257
4. Bosioc A., Susan-Resiga R., **Muntean S.**, (2008) *Unsteady Pressure Measurements in Conical Diffuser with Swirling Flow*. In Georgescu A.-M., Georgescu S.-C., Bernad S. (eds.), Proceedings of the 4th Workshop on Vortex Dominated Flows, September 12-13, Bucharest, Romania. Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **53**(67),

- 3, pp. 81 – 88
5. Stuparu A., **Muntean S.**, Baya A., Anton L.E., (2008) *3D Numerical Investigation of Flow through the Centrifugal Pump with Double Flux*. In Georgescu A.-M., Georgescu S.-C., Bernad S. (eds.), Proceedings of the 4th Workshop on Vortex Dominated Flows, September 12-13, Bucharest, Romania. Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **53**(67), Issue 3, pp. 75 – 80
 6. Bobar M., Zsembinszki S., **Muntean S.**, Nedelcu D., (2008) *Hydrodynamic Design of a New Francis Turbine with High Specific Speed*. In Balasoiu V., Popoviciu M.O., Bordeasu I., Milos T., Vasilescu M. (eds.), Proceedings of the International Conference on Hydraulic Machinery and Equipments (HME2008), October 16-17, Timisoara, Romania. Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, Special Issue, pp. 109 - 114.
 7. **Muntean S.**, Susan-Resiga R., Bosioc A., Bernad S., Anton I., (2007) *Water Jet Control Technique for Swirling Flows in Francis Turbines Diffuser*, Scientific Bulletin of the Politehnica University of Bucharest, Series C: Electrical Engineering, Bucharest, Romania. pp. 665 - 672.
 8. Baya A., Bosioc A., Stuparu A., **Muntean S.**, Susan-Resiga R., Milos T., Anton L.E., (2007) *Development a New Test Rig for Analysis and Control of Swirling Flows*, Scientific Bulletin of the Politehnica University of Bucharest, Series C: Electrical Engineering, Bucharest, Romania. pp. 672 - 680.
 9. Susan-Resiga R., **Muntean S.**, Bosioc A., Stuparu A., Milos T., Baya A., Bernad S., Anton L.E., (2007) *Swirling Flow Apparatus and Test Rig for Flow Control in Hydraulic Turbines Discharge Cone*. In Resiga R., Bernad S., Muntean S. (eds.), Proceedings of the 2nd IAHR International Meeting of the Workgroup on Cavitation and Dynamic Problems in Hydraulic Machinery and Systems, October 24-16, Timisoara, Romania. Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, Special Issue, pp. 203 - 217.
 10. Kirschner O., **Muntean S.**, Susan-Resiga R., Ruprecht A., (2007) *Swirling Flow in a Straight Cone Draft Tube: Axi-symmetric Flow Analysis and Comparison with Circumferentially Averaged PIV Measurements*. In Resiga R., Bernad S., Muntean S. (eds.), Proceedings of the 2nd IAHR International Meeting of the Workgroup on Cavitation and Dynamic Problems in Hydraulic Machinery and Systems, October 24-16, Timisoara, Romania. Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, Special Issue, pp. 185 - 197.
 11. Susan-Resiga R., **Muntean S.**, Hasmatuchi V., Bernad S., (2007) *Development of a swirling flow control technique for Francis turbines operated at partial discharge*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **52**(66), 3, pp. 1 - 12.
 12. Bernad S., Susan-Resiga R., **Muntean S.**, Anton I., (2006) *Numerical analysis of the cavitating flows*, Proceedings of the Romanian Academy, Series A: Mathematics, Physics, Technical Sciences, Information Science, **7**(1).
 13. Susan-Resiga R., **Muntean S.**, Bernad S., Frunză T., Balint D., (2006) *Thin hydrofoil cascade design and numerical flow analysis. Part I - Design*, Proceedings of the Romanian Academy, Series A: Mathematics, Physics, Technical Sciences, Information Science, **7**(2).
 14. Susan-Resiga R., **Muntean S.**, Bernad S., Frunză T., Balint D., (2006) *Thin hydrofoil cascade design and numerical flow analysis. Part II - Analysis*, Proceedings of the Romanian Academy, Series A: Mathematics, Physics, Technical Sciences, Information Science, **7**(3).
 15. Susan-Resiga R.F., **Muntean S.**, Bernad S., Hasmatuchi V., Anton I., Avellan F., (2006) *Inviscid vortex breakdown in decelerated swirling flows and flow control methods*. Scientific Bulletin of the

- Politehnica University of Timisoara, Transaction on Mechanics, **51**(65), Special Issue, pp.15-22.
16. Miloş T., **Muntean S.**, Stuparu A., Baya A., Susan-Resiga R., (2006) *Automated procedure for design and 3D numerical analysis of the flow through impellers*, Scientific Bulletin of the Politehnica University of Timisoara, Transaction on Mechanics, **51**(65), Special Issue, pp.23-30.
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 18. **Muntean S.**, Susan-Resiga R., Balint D., Bernad S., Anton I., (2006) *Numerical investigation of accelerated swirling flow in Kaplan turbines*. Scientific Bulletin of the Politehnica University of Timisoara, Transaction on Mechanics, **51**(65), Special Issue, pp.37-44.
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 20. Susan-Resiga R.F., Avellan F., Ciocan G.D., **Muntean S.**, Anton I., (2005) *Mathematical and Numerical Modeling of Swirling Flow in Francis Turbine Draft Tube Cone*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 1-16.
 21. Resiga R., Miloş T., Baya A., **Muntean S.**, Bernad S., (2005) *Mathematical and numerical models for axi-symmetric swirling flow for turbomachinery applications*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 47-58.
 22. Susan-Resiga R.F., Frunză T., **Muntean S.**, Bernad S., Armeană C., (2005) *CASCADEExpert: Software Development for Cascade Hydrodynamics*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 59-68.
 23. **Muntean S.**, Ruprecht A., Susan-Resiga R., (2005) *A Numerical Investigation of the 3D Swirling Flow in a Pipe with Constant Diameter. Part 1: Inviscid Computation*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 77-86.
 24. **Muntean S.**, Buntić I., Ruprecht A., Susan-Resiga R., (2005) *A Numerical Investigation of the 3D Swirling Flow in a Pipe with Constant Diameter. Part 2: Turbulent Computation*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 87-96.
 25. **Muntean S.**, Balint D., Susan-Resiga R., Bernad S., Anton I., (2005) *A Numerical Study of the 3D Swirling Flow Upstream to the Kaplan turbine runner at off-design operating conditions*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 97-104.
 26. Balint D., Susan-Resiga R., **Muntean S.**, Bernad S., (2005) *Swirling Flow Optimization in the Spiral Case and Distributor of Kaplan hydraulic turbine*, Scientific Bulletin of the Politehnica University of Timisoara, Transactions on Mechanics, **50**(64), pp. 105-112.
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 28. **Muntean S.**, Susan-Resiga R., Bernad S., Anton I., (2004) *Analysis of the Francis GAMM Turbine Distributor 3D Flow for the Whole Operating Range and Optimization of the Guide Vane Axis Location*. In Resiga R., Bernad S., Muntean S., Popoviciu M. (Eds.) Proceedings of the 6th International Conference in Hydraulic Machinery and Hydrodynamics, October 21-22, Timișoara. Scientific Bulletin of the Politehnica University of Timișoara, Transactions on Mechanics, **49**(63), Special Issue, pp. 131-136.

29. Bernad S., Susan-Resiga R., **Muntean S.**, Anton I., (2004) *Numerical Simulation of Two-Phase Cavitating Flow in Turbomachines*. In Resiga R., Bernad S., Muntean S., Popoviciu M. (Eds.) Proceedings of the 6th International Conference in Hydraulic Machinery and Hydrodynamics, October 21-22, Timișoara. Scientific Bulletin of the Politehnica University of Timișoara, Transactions on Mechanics, **49**(63), Special Issue, pp. 439-446.
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ISI proceeding papers covering habilitation thesis topics: 31 (5)

1. Moș D.C., **Muntean S.** (Corresponding author), Tănasă C., Bosioc A.I. and Susan-Resiga R. (2017) *Experimental Investigation of the Unsteady Pressure Field in Decelerated Swirling Flow with 74° Sharp Heel Elbow*, IOP Conference Series - Journal of Physics: Conference Series, **813**(1), Paper No. 012046. DOI:10.1088/1742-6596/813/1/012046
2. **Muntean S.**, Bosioc A.I., Drăghici I., Anton L.E., (2016) *Hydrodynamic analysis of the flow field induced by a symmetrical suction elbow at the pump inlet*, IOP Conference Series - Earth and Environmental Science, **49**(3), Paper No 032014. DOI: 10.1088/1755-1315/49/3/032014
3. Bosioc A.I., **Muntean S.**, Drăghici I., Anton L.E., (2016) *Hydrodynamic analysis of the flow in an axial rotor and impeller for large storage pump*, IOP Conference Series - Earth and Environmental Science, **49**(3), Paper No 032016. DOI: 10.1088/1755-1315/49/3/032016
4. **Muntean S.**, Tănasă C., Bosioc A.I., Moș D.C., (2016) *Investigation of the plunging pressure pulsation in a swirling flow with precessing vortex rope in a straight diffuser*, IOP Conference Series - Earth and Environmental Science, **49**(8), Paper No 082010. DOI: 10.1088/1755-1315/49/8/082010
5. Susan-Resiga R., **Muntean S.**, Popescu C., (2016) *Swirling flow computation at the trailing edge of radial-axial hydraulic turbines*, IOP Conference Series - Earth and Environmental Science, **49**(8), Paper No 082012. DOI: 10.1088/1755-1315/49/8/082012
6. Tănasă C., **Muntean S.**, Ciocan T., Susan-Resiga R., (2016) *3D Numerical Simulation versus Experimental Assessment of Pressure Pulsations Using a Passive Method for Swirling Flow Control in Conical Diffusers of Hydraulic Turbines*, IOP Conference Series - Earth and Environmental Science, **49**(8), Paper No 082018. DOI: 10.1088/1755-1315/49/8/082018
7. Anton A., **Muntean S.**, (2015) *A Method for Data Handling Numerical Results in Parallel OpenFOAM Simulations*, AIP Conference Proceedings, **1702**, Paper No. 080005. ISSN: 0094-243X DOI: 10.1063/1.4938800. (WOS:000371804300031)
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9. Tănasă C., Susan-Resiga R.F., **Muntean S.**, Stuparu A., Bosioc A.I., Ciocan T., (2015) *Numerical Assessment of a Novel Concept for Mitigating the Unsteady Pressure Pulsations Associated to Decelerating Swirling Flow with Precessing Helical Vortex*, AIP Conference Proceedings, **1702**, Paper No. 080003. DOI: 10.1063/1.4938798 (WOS:000371804300029)
10. Bosioc A.I., **Muntean S.**, Tanasa C., Susan-Resiga R.F., Vékás L., (2014) *Unsteady pressure*

- measurements of decelerated swirling flow in a discharge cone at lower runner speeds*, IOP Conference Series - Earth and Environmental Science, **22**, Paper No 032008. DOI: 10.1088/1755-1315/22/3/032008 (WOS: 000347441900066)
11. Drăghici I., **Muntean S.** (Corresponding author), Bosioc A.I., Anton L.E., (2014) *LDV measurements of the velocity field on the inlet section of a pumped storage equipped with a symmetrical suction elbow for variable discharge values*, IOP Conference Series - Earth and Environmental Science, **22**, Paper No 032017. DOI: 10.1088/1755-1315/22/3/032017 (WOS: 000347441900075)
 12. Javadi A., Bosioc A.I., Nilsson, H., **Muntean S.**, Susan-Resiga R.F., (2014) *Velocity and pressure fluctuations induced by the precessing helical vortex in a conical diffuser*, IOP Conference Series - Earth and Environmental Science, **22**, Paper No 032009 DOI: 10.1088/1755-1315/22/3/032009 (WOS: 000347441900067)
 13. Kuibin P.A., Susan-Resiga R.F., **Muntean S.**, (2014) *A model for precessing helical vortex in the turbine discharge cone*, IOP Conference Series - Earth and Environmental Science, **22**, Paper No 022024 DOI: 10.1088/1755-1315/22/2/022024 (WOS: 000347441900058)
 14. Susan-Resiga R.F., **Muntean S.**, Ciocan T., de Colombel T., Leroy P., (2014) *Surrogate runner model for draft tube losses computation within a wide range of operating points*, IOP Conference Series - Earth and Environmental Science, **22**, Paper No 012022. DOI: 10.1088/1755-1315/22/1/012022 (WOS: 000347441900022)
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45. **Muntean S.**, Balint D., Susan-Resiga R., Anton I, Darzan C., (2004) *3D Flow analysis in the spiral case and distributor of a Kaplan turbine*, Proceedings of the 22nd IAHR Symposium on Hydraulic Machinery and Systems (IAHR2004), June 29 – July 2, Stockholm, Sweden. Part. A, paper A10-2, pp. 1-10.
46. **Muntean S.**, Susan-Resiga R., Bernad S., Anton I., (2004) *3D Turbulent flow analysis of the GAMM Francis turbine for variable discharge*, Proceedings of the 22nd IAHR Symposium on Hydraulic Machinery and Systems (IAHR2004), June 29 – July 2, Stockholm, Sweden. Part A, paper A11-2, pp. 1-12.
47. Susan-Resiga R., **Muntean S.**, Bernad S., Anton I., (2003) *Numerical investigation of 3D cavitating flow in Francis turbines*. Proceedings of the Conference on Modelling Fluid Flow (CMFF'03), September 2003, Budapest, Hungary. pp. 950-957.
48. Balint D., Susan-Resiga R., **Muntean S.**, (2003) *A Numerical Approach for the 3D Flow in Kaplan Turbines*, Proceedings of the International Conference on Case Studies in Hydraulic Systems (CSHS'03), Belgrade, Serbia. pp.29 – 36.

Patents: 3(1)

1. **Muntean S.**, Susan-Resiga R., Bosioc A.I., Constantin S.-R., Maxim D.-I., Tănasă C., Vékás L., Borbath I., Anton L.E., (2016) *Equipment for Mitigating the Cavitating Effects and Flow Uniformity at the Turbopump Inlet*, **RO131578-A0**

2. Susan-Resiga R., **Muntean S.**, Tănasă C., Bosioc A.I., Ciocan T., Popescu C., (2015) *Equipment for Controlling Instabilities of Swirl Flow from the Conical Diffuser of Hydraulic Turbines*, **RO131408-A0** (Derwent Primary Accession Number: 2016-61594H)
3. Susan-Resiga R., Tănasă C., Bosioc A.I., Ciocan T., Stuparu A., **Muntean S.**, (2014) *Method and Equipment for Controlling the Swirling Flow through the Conical Diffuser of Hydraulic Turbines* **RO130075-A0 RO130075-A8** (Derwent Primary Accession Number: 2015-23118S)

Awards:

1. Politehnica University of Timisoara Award, “*Diploma of Merit for Outstanding Results in Scientific Research*”, prize awarded to the management team of the Research Center for Engineering of Systems with Complex Fluids, 2004
2. Romania Academy for Technical Sciences – Timisoara Branch Award, „*PhD Thesis of the year 2002*”, 2004.
3. Timisoara Orizonturi Universitare Association Award for special results in the research activity, „*Eminent Young Researcher*”, 2003.
4. Casa Auto Timisoara Award for Excelent Results, „*Ion Tiriac*”, 2003

My research fields detailed above were developed based on the programs supported by Romanian Academy – Timisoara Branch and the international/national projects/contracts listed below. Next picture summarizes the connections between my research fields and the programs/projects/contracts which I have been involved from 2003 to 2017.

research fields	Swirling flow test rig + experimental investigations + flow control techniques															
	Pump test rig + experimental and numerical flow investigations + cavitation															
programs & projects	Experimental and numerical flow investigations in hydraulic turbines + cavitation															
	Numerical infrastructure + mathematical models and numerical algorithms for fluid flow															
	Parallel cluster computer + FLUENT(UDF)/PETSc				TYAN PSC parallel computer + Turbodesign/FLUENT(UDF)/Tecplot				IBM Blade parallel computer + ANSYS/PETSc							
	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	
	Romanian Academy - Timisoara Branch, Center for Advanced Research in Engineering Sciences, Hydrodynamics and Cavitation Laboratory programs															
	TE grant		ACCORD-Fluid A Consortium project							MagNanoMicroSeal PCCA project						
	A grant		HPC Germany			SCOPES grant SWISS										
	GAR grant					CEEX projects				iTURBOSWIRL PCE project						
				iSMART-flow CEEX project			Jet flow control PCE project						Pulsating jet control TE grant			
	Large storage pumps Hidroelectrica												Large Pumps BG AQUATIM			
	Kaplan turbines Hidroelectrica						Francis turbines Hidroelectrica						Francis Turbines Hidroelectrica			
	Francis turbines Recont						Francis turbine GE Canada			Francis turbines Alstom France						
							Francis turbine HydroEng. Inovation									

Romanian Academy – Timisoara Branch, Center for Advanced Research in Engineering Sciences, Hydrodynamics, Cavitation and Liquid Magnetic Division, Hydrodynamics and Cavitation Laboratory programs enumerated below were the backbone of my research developments: 4(0)

1. *Hydrodynamics of the unsteady flows with helical vortices and applications*, period: 2016-2020;
2. *Analysis and control of the swirling flow instabilities in turbomachines*, period: 2011-2015;
3. *Hydrodynamic optimization and flow control for efficiency and cavitation improvements of the hydraulic turbomachines*, period: 2006-2010;
4. *Operation analysis and hydraulic turbomachines optimization using numerical simulation of the flow*, period: 2001-2005

International projects covering habilitation thesis topics: 2(1)

1. Susan-Resiga R., **Muntean S.**, L. Vekas, Bica D., Bernad S., Balint D., Stuparu A., Giula G., *Turbomachinery swirling flow optimisation and control with technology of magnetorheological fluid*

systems. Swiss National Science Fundation, Grant SCOPES 2006-2008, IB7320-110942/1. Period: 2006-2008.

2. **Muntean S.**, *High Performance Computing for 3D Unsteady Swirling Flow Simulation in Draft Tube*, HPC Europa project, Contract No. 506079. Year: 2005.

National projects covering habilitation thesis topics: 14(7)

1. Tănasă C., Ciocan T., Bosioc A., Predoiu I., Popescu C., **Muntean S.**, Todirută M., *Mitigating the self-induced instabilities of the decelerated swirling flow using pulsating water jet*, Project PN-II-RU-TE-2014-4-0489, Contract UPT No. 81/2015. Period: 2015-2017 (<http://mh.mec.upt.ro/RPJD-DJPR/>)
2. Susan-Resiga R., **Muntean S.** (project manager in 2016), Stuparu A., Bosioc A., Tanasa C., and others, *Self-induced instabilities of the swirling flow in hydraulic turbines far from the best efficiency regime – iTURBOSWIRL*, Project PN-II-ID-PCE-2012-4-0634, Contract UPT No. 17/2013. Period: 2013-2016 (<http://mh.mec.upt.ro/iTURBOSWIRL/>)
3. Vékás L., Stoian F.D., Borbath I., Zaharescu T., **Muntean S.**, Bosioc A., and others, *High magnetization magnetic nanofluids and nano-micro composite magnetizable fluids: applications in heavy duty rotating seals and magnetorheological devices - MagNanoMicroSeal*, Proiect PN-II-PT-PCCA-2011-3.2-0538, Contract ARFT No. 157/2012. Partners: Romanian Academy – Timișoara Branch – coordonator project, Politehnica University of Timișoara – partner P1, S.C. ROSEAL S.A. Odorheiul Secuiesc – partner P2, ICPE-CA Bucharest–partner P3. Period: 2012-2016. (<http://acad-tim.tm.edu.ro/magnanomicroseal/>)
4. **Muntean S.**, Susan-Resiga R., Bernad S., Stuparu A., Bosioc A., Tanasa C., *A new method for controlling decelerated swirling flows with jet injection and flow feedback*, Project CNCSIS PCE 799, Contract ARFT No. 688/2009. Period: 2009-2011 (<http://acad-tim.tm.edu.ro/gr-jrp/index.php?page=pce799>)
5. **Muntean S.**, Susan-Resiga R., Bernad S., Stuparu A., Bosioc A., Anton I., *Numerical and experimental investigations in order to design a new Francis model with specific speed ($n_s=350-400$) for Cindere HPP - CTEMF*, PN2 – Inovare - 1047, Contract ARFT No. C59/2007, Partners: S.C. HydroEngineering S.A. Reșița – project coordonator, „Eftimie Murgu” University of Reșița – partner P1, Romanian Academy – Timișoara Branch – partner P2. Period: 2007 – 2009. (<http://www.hydrom.com/PC2.htm>)
6. **Muntean S.**, Anton I., Vekas L., Bica D., Bernad S., Popa C., Jurca G., Paut V., Stepanov V., Albu S., Botezatu I., Muntean S.G., Junc A., Militaru M., *Integration of the Special Magneto-rheological Technologies and Advanced Flow Control in Industrial Applications – iSMART-flow*, CEEX-M1-C2-1185, MATNANTECH Contract No. 64/2006, Partners: Romanian Academy – Timișoara Branch – project coordonator, Politehnica University of Timișoara – partner P1, West University of Timișoara – partner P2, ”Eftimie Murgu” University of Reșița – partner P3. Period: 2006-2008. (<http://acad-tim.tm.edu.ro/iSMART-flow/>).
7. **Muntean S.**, Bernad S., Anton I., *Advanced models and numerical methods for naval engineering with liquid gas – MARGAS*, CEEX-M1-C2-4409, IPA Contract No. X2C16/2006, Partners: ICEPRONAV Galați – project coordonator, „Dunărea de Jos” University of Galati – partner P1, Politehnica University of Timișoara – partner P2, Romanian Academy – Timișoara Branch – partner P3. Period: 2006-2008. (<http://www.icepronav.ro/ceex/margas.html>)
8. **Muntean S.**, Bernad S., Anton I., *Hydrodynamic vortex flos and applications*, Grant CNCSIS type A Consortium ARFT No. 33, (Romanian Academia – Timișoara Branch - partner P1). Period: 2005-

2007. (<http://mh.mec.utt.ro/accord-fluid/>)
9. Bernad S., **Muntean S.**, Anton L., Baya A., Balint D., Stuparu A., *Hydraulic turbines interinfluence with rotating stabilized vertical shaft by Achard type - THARVEST*, CEEEX-M1-C2-2566, AMCSIT Contract No. 192/2006, Partners: Technical University of Civil Engineering Bucharest – project coordonator, Politehnica University of Bucharest – partner P1, Romanian Academia – Timișoara Branch – partner P2. Period: 2006-2008. (<http://hidraulica.utcb.ro/tharvest/>)
 10. Resiga R., **Muntean S.**, Bernad S., Anton L., Baya A., Balint D., Stuparu A., Hasmatuchi V., Frunză T., *Hydrodynamics and mass transfer in fine bubble columns with application in environmental advanced technology - TEHNOMED*, CEEEX-M1-C2-2297, IPA Contract No. X2C05/2006, Partners: INCDIE ICPE-CA – project coordonator, "Politehnica" University of Bucharest – partner P1, Technical University of Civil Engineering Bucharest – partner P2, Politehnica University of Timișoara – partner P3, S.C. National Institute of Glass S.A. – partner P4, RAGC –Târgoviște – partner P5. Period: 2006-2008.
 11. Bernad S., Resiga R., **Muntean S.**, Balint D., Frunză T., *Mathematical models and numerical simulation for two phase cavitating flows with industrial and biomedical applications*, Grant CNCSIS type A No. 730, Period: 2005-2007.
 12. **Muntean S.**, Balint D., Frunză Teodora, Stuparu A., Deatcu M., *Using modern methods for numerical simulation and 3D flow analysis in hydraulic turbines with practical applications in Francis turbines and Kaplan*, Grant CNCSIS type AT, (220/2003, 238/2004). Period: 2003 - 2004.
 13. Anton I, Susan-Resiga R., **Muntean S.**, Bernad S., *Numerical analysis of the cavitating fluid flows in hydraulic turbines*, Romanian Academy Grant (103/2004, 362/2003). Period: 2003-2004.
 14. Susan-Resiga R., Sofonea V., Bernad S., **Muntean S.**, Balint D., Frunză T., Cristea A., Broștean M., *Modern Methods for Parallel Computing. Numerical Simulation of Fluid Flow with Application to Hydro-pneumatic machines and systems*, Grant CNCSIS type A, (24/2004, 29/2003, 109/2002). Period: 2002-2004.

International contracts covering habilitation thesis topics: 3(0)

1. Resiga R., **Muntean S.**, Anton A., Ciocan T., Ighisan C., *Modelling the Two-Dimensional Swirling Flow in Francis Turbines for Optimization of Draft Tube Performances within an Operating Range. Part I: Two-Dimensional Steady Axisymmetric Swirling Flow Computation Downstream the Francis Runner*, Contract UPT no. BCI 4/2012, beneficiary Alstom Hydro, Grenoble, France. Period: 2012-2013.
2. Resiga R., **Muntean S.**, Ciocan T., *Modelling and Optimization of the Swirling Flow Ingested by the Draft Tube of a Francis Turbine within an Operating Range*, Contract UPT no. 1/2011, beneficiary Alstom Hydro, Grenoble, France. Period: 2011-2012.
3. Resiga R., **Muntean S.**, Bernad S., Hasmatuchi V., *Taming the Vortex Rope Project – TAVORO*, Contract UPT no. 5214/19.04.2007, beneficiary General Electric Hydro Canada. Period: 2007-2008.

No. National contracts covering habilitation thesis topics: 17(8)

1. Susan-Resiga R., Baya A., **Muntean S.**, Hopota A., and others, *Specialized support services for testing refurbished Francis turbine model type II related investment objective "Stejaru HPP"*, Contract No. 299/27.10.2016 beneficiary Hidroelectrica SA, Bistrita Subsidiary. Politehnica University of Timișoara. Period 2016-2018
2. Anton L.E., **Muntean S.**, and others, *Investigations to improve efficiency and cavitation behaviour*

- of the hydraulic pumps PRO 10-195 from Jidoaia PSP – Stage 3*, Contract No. 175/30.12.2010 beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academy – Timișoara Branch – partner P1. Period 2011-2012
3. Baya A., **Muntean S.**, and others, *Investigations to improve the efficiency and cavitation behaviour of the Francis turbines 57.5 – 128.5 from Bradîșor HPP - Stage 3*, Contract No. 174/30.12.2010, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academy – Timișoara Branch – partner P1. Year 2011
 4. Anton L.E., **Muntean S.**, and others, *Investigations to improve efficiency and cavitation behaviour of the hydraulic pumps PRO 10-195 from Jidoaia PSP – Stage 2*, Contract Nr. 72-113.03/05.11.2009 (UPT BC119/2009) beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academy – Timișoara Branch – partner P1. Year 2009
 5. Baya A., **Muntean S.**, and others, *Investigations to improve the efficiency and cavitation behaviour of the Francis turbines 57.5 – 128.5 from Bradîșor HPP - Stage 2*, Contract No. 71-113.03/05.11.2009 (UPT BC 120/2009) beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academia – Timișoara Branch – partner P1. Year 2009
 6. Anton L.E., **Muntean S.**, and others, *Investigations to improve efficiency and cavitation behaviour of the hydraulic pumps PRO 10-195 from Jidoaia PSP – Stage 1*, Contract No. 97-113.03/16.10.2008, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academia – Timișoara Branch – partner P1. Year 2008
 7. Baya A., **Muntean S.**, and others, *Investigations to improve the efficiency and cavitation behaviour of the Francis turbines 57.5 – 128.5 from Bradîșor HPP - Stage 1*, Contract No. 96-113.03/16.10.2008, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academia – Timișoara Branch – partner P1, "Eftimie Murgu" University of Reșița – partner P2, S.C. HydroEngineering S.A. Reșița - partner P3. Year 2008
 8. **Muntean S.**, Bernad S., Resiga R., Stuparu A., Bosioc A., Baya A., Anton L., Anton I., *Numerical analysis of flow in the hydraulic passage of the Francis turbines from Munteni HPP to determine the hydraulic load on the runner blades at the most frequently operated points*, Contract ARFT no. 9875/30.11.2007 beneficiary Hidroelectrica S.A., Cluj Subsidiary. Year 2007.
 9. **Muntean S.**, Campian V., Nedelcu D., Liuba G., Cuzmos A., Dumbrava C., Anton I., *Measurement of pressure pulsations in the draft tube cone of the Francis turbines from Turnu-Ruieni HPP*, Contract ARFT no. 58/04.10.2007 beneficiary S.C Hidroelectrica S.A., Caransebeș Subsidiary. Year 2007
 10. **Muntean S.**, Campian V., Nedelcu D., Grando I., Liuba G., Cuzmos A., Dumbrava C., Anton I., *Measurement of pressure pulsations in the draft tube cone of the Francis turbines from Munteni HPP*, Contract ARFT no. 5007/27.06.2007 beneficiary S.C Hidroelectrica S.A., Cluj Subsidiary. Year 2007.
 11. **Muntean S.**, Bernad S., Barbat T., Junc C., Anton I., *Studies on the behavior of the hydropower equipment and its in situ operation*, Contract UPT no. 120-12.02/24.08.2007, beneficiary S.C. Hidroelectrica S.A., Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordinator, Romanian Academy – Timișoara Branch – partner P1, Technical University of

- Civil Engineering, Bucharest – partner P2, S.C. HydroEngineering S.A Reșița – subcontractant. Year 2007
12. Susan-Resiga R., **Muntean S.**, Balint D., *Numerical simulation of the water flow through the refurbished Kaplan turbine runner of Iron Gates I HPP to determine the pressure distribution on blades at two operating points*, Contract UPT no. 380/20.12.2005, beneficiary „Eftimie Murgu” University of Reșița, CCHAPT. Period 2005-2006.
 13. **Muntean S.**, Resiga R., Bernad S., Balint D., Baya A., *Determination of the discharge through the hydraulic turbine passage of the Gura Lotrului, Turnu and Dăiești HPPs*, Contract ARFT no. 164-12.02/05.08.2004, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Romanian Academy –Timișoara Branch – project coordinator, Politehnica University of Timișoara – partner P1, ”Eftimie Murgu” University of Reșița – partner P2. Year 2004
 14. **Muntean S.**, Balint D., Bernad S., Susan-Resiga R., Anton I., *CFD analysis at the best efficiency point of the Francis turbine with specific speed of $n_s^{kW} = 285$* . Contract UPT no. 54/16.04.2004, beneficiary S.C. RECONT S.A. Year 2004
 15. Anton L.E., Anton A., Biriescu M., **Muntean S.**, and others, *Determination of the hydrounits characteristics in operation at Petrimanu, Jidoaia and Lotru Aval PSP*, Contract UPT no. 87-12.02/04.05.2004, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Partners: Politehnica University of Timișoara – project coordonator, Technical University of Civil Engineering Bucharest – partner P1, Romanian Academy – Timișoara Branch – partner P2. Period 2004-2006
 16. **Muntean S.**, Balint D., Bernad S., Susan-Resiga R., Anton I., *Determining the geometrical position of the stay vanes of the Kaplan turbines from Zăvideni HPP to optimize water flow through it*, Contract ARFT no. 90-12.02/05.05.2004, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Year 2004
 17. **Muntean S.**, Balint D., Frunza T., Stuparu A., Deatcu M., Anton I., Susan-Resiga R., *Numerical analysis of two geometrical positions of the stay vanes and its influence on the hydrodynamic field at the inlet of the Kaplan turbine runner from Drăgășani HPP*, Contract ARFT no. 23-77.03-146/09.04.2003, beneficiary Hidroelectrica SA, Râmnicu Vâlcea Subsidiary. Year 2003

A synopsis view of the projects and contracts in the field of my habilitation thesis during 2003 - 2017 is included in the following table:

No.	Type		Total no. (manager/partner responsible)
1	Programs	National	4 (0)
2.	Projects	National	14 (7)
		International	2 (1)
3.	Contracts	National	17 (8)
		International	3 (0)

I extended my scientific knowledge participating to the international course entitled “*Fluid Dynamics and Cavitating Turbopumps*” organized by CISM International Center for Mechanical Sciences, Udine, Italy during July 25 – 29, 2005.

i.3 Professional and academic achievements

My professional achievements are listed below (after I defended my PhD theses in 2002):

Since 2002: Senior Researcher, Politehnica University of Timișoara, Research Center for Engineering of Systems with Complex Fluids (<http://mh.mec.upt.ro/>), *Numerical Simulation and Parallel Computing Laboratory*, Timișoara;

Since 2008: Senior Researcher (1st degree), Romanian Academy – Timisoara Branch, Center of Advanced Research in Engineering Sciences (<http://acad-tim.tm.edu.ro/cctfa/>), *Hydrodynamics and Cavitation Laboratory*, Timișoara;

2002 – 2008: Senior Researcher (2nd degree) Romanian Academy – Timisoara Branch, Center of Advanced Research in Engineering Sciences (<http://acad-tim.tm.edu.ro/cctfa/>), *Hydrodynamics and Cavitation Laboratory*, Timișoara;

I have been involved in developing the high parallel computing (HPC) infrastructure at Research Center for System Engineering with Complex Fluids (RCSECF) from Politehnica University of Timisoara together with Prof. R. Susan-Resiga and Dr. S. Bernad since 2000. Firstly, I have installed and used the commercial code FLUENT V5.1 (with parallel license) on a parallel computer with distributed memory (computer cluster). The domain decomposition techniques for parallel computing algorithms and the numerical results obtained using parallel computing were published to several national and international conferences. The most significant numerical results were included in the book entitled “*Modern Methods for Parallel Computing in Fluid Flow Simulation*” published together with my colleagues Prof. R. Susan-Resiga, Dr. S. Bernad, D. Balint and I. Balint in 2003.

Next, I have extended my knowledge in HPC field during 25 January – 31 March 2005 at Institute of Fluid Mechanics and Hydraulic Machinery (IHS) from Stuttgart University in the project “*High Performance Computing for 3D Unsteady Swirling Flow Simulation in Draft Tube*”, HPC-Europa Project No. 506079 under supervision of Dr. Albert Ruprecht, vice-director IHS and head of Fluid Mechanics Group.

High Performance Computing (HPC) is a key ingredient to compute the three-dimensional complex fluid flows. Therefore, a parallel computer with common memory (TYAN Personal SuperComputer) was installed during 2007 at RCSECF-UPT together with commercial code FLUENT V6.3 with parallel license. An IBM Blade parallel supercomputer is available since 2015 with new ANSYS/FLUENT V16.2 and TECPLOT 360. All these developments are directly connected with new ideas and new technical solutions explored in our group. I have contributed to these developments in my position as head of the Numerical Simulation and Parallel Computing Laboratory from NCSECF-UPT since 2001.

I have extended the flow analysis platform detailed above with design capability by purchasing the Turbodesign¹ software in 2007. This software is dedicated to turbomachinery blade design using inverse method. The output files generated with Turbodesign¹ software are ingested by G/Turbo module part of the Gambit preprocessor. Once that the geometry and mesh are generated in Gambit would be exported to FLUENT software to numerically assess its performances. In this way, I have developed a numerical platform for turbomachinery design and flow analysis being used by a few doctoral students (e.g. Dr. G. Gînga, Dr. G. Moisă) and postdoctoral researchers (e.g. Dr. T. Ciocan) in their investigations. Also, I have used this platform to design together Prof. R. Susan-Resiga the blade geometries of the swirl generator and to numerically analyse the swirling flow in the test section.

The swirling flow test rig was developed by our group within the iSMART-flow project starting with 2006 to investigate the flow physics and testing new control techniques (e.g. axial water jet control, flow-feedback control (FF), additional flow-feedback control (FF+), pulsating jet control, adjustable diaphragm control and variable speed control using a magneto-rheological fluid). The swirling flow case developed by

our group was used by several groups (e.g. Sweden, Japan, Germany, Czech Republic) to validate their numerical results. As a result, our swirling flow case called “*Timișoara Swirl Generator*” was selected as test case for 5th OpenFOAM Workshop, Gothenburg, Sweden in 2010 by Turbomachinery Special Interest Group (TSIG) ([https://www.openfoamwiki.net/index.php/Sig_Turbomachinery / Timisoara Swirl Generator](https://www.openfoamwiki.net/index.php/Sig_Turbomachinery/Timisoara_Swirl_Generator)). Next, our swirling flow case entitled “*Swirling flow in a conical diffuser generated with rotor-stator interaction*” was selected as ERCOFTAC QNET - CFD test case AC6-14, Application Area 6: Turbomachinery Internal Flow in 2016 (http://qnet-ercoftac.cfms.org.uk/w/index.php/Related_UFRs_AC6-14). The ERCOFTAC test case includes the rotor and stator geometries designed together with Prof. R. Susan-Resiga using Turbodesign¹ software. A Laser Doppler Velocimetry (LDV) system was purchased in the project to deeply investigate the flow field. As a result, a comprehensive experimental investigation was carried out by Dr. A. Bosioc who clearly showed the capability of the axial water injection approach to successfully mitigate the swirl instabilities in a conical diffuser. The LDV measurements for the velocity field, coupled with the unsteady pressure measurements at the wall, clarified the physical mechanism for instability mitigation by eliminating the central quasi-stagnant region and the associated vortex sheet at the boundary with the flow confined in an annular section up to the wall. However, it is found that a complete removal of the instability requires the jet discharge to reach a rather large threshold value (about 10% of the main flow discharge). From practical applications, this is an unacceptable large value, particularly when the jet is supplied with water which bypasses the runner, thus introducing a large volumetric loss. As a result, Dr. C. Tănasă has designed and tested a novel flow-feedback method for supplying the control jet with water from downstream the runner, thus eliminating the above drawback. The axial water injection, flow-feedback (FF) and additional flow-feedback (FF+) techniques were successfully investigated in the project PCE No. 799 entitled “*A new method for controlling decelerated swirling flows with jet injection and flow feedback*”. Moreover, an innovative control technique with water pulsating jet is under testing by our group using upgraded swirling flow test rig in the project managed by Dr. C. Tănasă since 2016. I was involved in this project in the position of scientific consultant being in charge to support the young research team. This new technique is included within the national patent number RO131408-A0.

Also, a passive control technique for swirling flows encountered in the hydraulic turbines was developed and tested by our group using an adjustable diaphragm. This new idea is included within the national patent number RO130075-A0.

I have developed and tested together with Dr. A. Bosioc a new control technique in *MagNanoMicroSeal* project managed by Dr. L. Vékás, member of the Romanian Academy. This new control technique is slowing down the runner/inducer speed adjusting the circumferential flux of moment of momentum in the swirling flows. The speed is controlled modifying the apparent viscosity of a magneto-rheological fluid produced by the group coordinated by Dr. L. Vékás, member of the Romanian Academy. In one case, the runner speed of the swirl generator is slow down to diminish the circumferential flux of moment of momentum in decelerated swirling flows. Consequently, the self-induced instabilities generated within the swirling flows and its effects are mitigated. In other case, the inducer speed is adjusted to improve the cavitation behavior at the pump impeller with constant speed. This new idea is included within the national patent number RO131578-A0 being developed and tested in a research project managed by Dr. A. Bosioc. Both magneto-rheological solutions were implemented with a valuable support from I. Borbáth, general manager of ROSEAL S.A. Odorheiul Secuiesc and his team.

The pump test rig was developed by our group starting 2009 in order to investigate the hydrodynamics based on previous experience in this field. This test rig was manufactured and installed with valuable support

from Dr. L. Stoia, general manager of Sangari Engineering SRL and his team. I was involved in all stages in my position of scientific responsible of the project. The deep investigations of the hydrodynamics corresponding to the storage pumps available in Lotru hydropower site (e.g. Jidoaia PS and Petrimanu PS) was the first goal of the project coordinated by Prof. L.E. Anton and supported by Hidroelectrica S.A. Râmnicu Vâlcea to find technical solutions for the rehabilitation stage. As a result, a model impeller (1:5.7) being half of the double flux impeller installed in Jidoaia pumping station was manufactured and tested to assess its performances. A suction elbow model (1:5.7) was designed by Dr. A. Bosioc together with me allowing LDV measurements at the impeller inlet. Dr. G. Gînga was designed a new impeller using TurboDesign¹ together with me. Also, an axial rotor was designed by Dr. I.G. Moisă together with me and Prof. R. Susan-Resiga using TurboDesign¹ to improve the cavitation behavior of both impellers. Both impeller geometries were installed and tested on new test rig with and without axial rotor at speed of 3000 rpm by Dr. G. Gînga. I have worked together with Dr. I. Drăghici and Dr. A. Bosioc to investigate the velocity field at the pump impeller using LDV system. Also, I have contributed to the investigations performed by Dr. I. Drăghici to assess the unsteadiness level at the pump inlet for variable speed values.

I have coordinated and I have participated as member in several projects with industrial partners which were focused on hydraulic turbines. In almost all those projects my principal partner was Prof. A. Baya from Politehnica University of Timișoara. Firstly, I have focused on numerical investigations of the prototype hydraulic turbines (e.g. Bradișor HPP, Munteni HPP, Zăvideni HPP) to investigate its hydrodynamics in order to assess the efficiency and cavitation behavior. Next, I have extended my numerical investigations to unsteady behavior of the hydraulic turbines on a wide operating range. These investigations were developed in partnership with several subsidiaries of Hidroelectrica S.A. (e.g. Râmnicu Vâlcea, Cluj, Portile de Fier, Bistrita) and with technical support of HydroEngineering S.A. and UCM Reșița. Also, I have participated to in situ experimental investigations performed on several hydrounits by team coordinated Prof. V. Câmpian from "Eftimie Murgu" University from Reșița. The experimental data were used to assess the operation of hydrounits and to validate numerical results against its.

I have been part of the national network in the fluid engineering developed since 2005. The ACademic COnsortium for Research and Development in Fluid engineering (ACCORD-Fluid) project was an enthusiastic period during 2005 - 2007 with 8 partners: Politehnica University of Timișoara; Romanian Academy - Timișoara Branch; "Politehnica" University of Bucharest; Technical University of Civil Engineering Bucharest; "Dunărea de Jos" University of Galati; Technical University of Cluj-Napoca; "Gheorghe Asachi" Technical University of Iași and "Eftimie Murgu" University of Reșița. A workshop was organized every year with participation of members of the groups involved in the project that were thus able to know each other and to understand the work of the partners in the consortium. At the end of the project a book entitled "*Vortex dominated flows*" was published with contributions from members of each group involved in the project. The fourth workshop was organized by ACCORD-Fluid community in 2008 at Bucharest bringing together all partners. This framework was crucial for partnerships to be successful in the next national and international competitions. For instance, several collaborative projects were earned together by teams of the ACCORD-Fluid community during CEEX competitions.

I have organized the training course entitled "*Numerical Methods in Fluid Dynamics and FLUENT applications*" at Politehnica University of Timisoara during September 18-23, 2006. The course program was included the following topics with hands-on laboratories.

18th September	Fluid dynamic basics and numerical methods	Prof. S. Dănăilă "Politehnica" University of Bucharest
19th September	Computational domain reconstruction methods and spatial discretization techniques	Prof. A. Lungu Assoc.Prof. M. Amorăritei "Dunărea de Jos" University of Galați
20th September	Modelling of the complex fluid flows at small Reynolds numbers	Prof. C. Bălan Assoc.Prof. D. Broboană "Politehnica" University of Bucharest
21th September	Turbulence models and its implementation in FLUENT commercial code	Prof. S. Dănăilă Assoc.Prof. M. Stoia "Politehnica" University of Bucharest
22th September	Modelling of the two phase flows. Introduction in the parallel computing.	Prof. R. Susan-Resiga Dr. S. Bernad, Senior Researcher Politehnica University of Timișoara
23th September	Modelling of the fluid flows around hydrofoils and turbomachinery blades	Dr. S. Muntean, Senior Researcher Assist.Prof. D. Balint Romanian Academy - Timișoara Branch

The training course was attended by 30 researchers, postdoctoral researchers and PhD students from following institutions: 5 persons – "Politehnica" University of Bucharest, Aeronautics Department; 6 persons – "Politehnica" University of Bucharest, Energy Department; 4 persons – Technical University of Civil Engineering from Bucharest; 5 persons – "Dunărea de Jos" University of Galați; 3 persons – Technical University of Cluj; 5 persons – Politehnica University of Timișoara and 2 persons – Romanian Academy – Timișoara Branch.

Next, I was involved during 2012-2013 as expert in the national education project entitled "*Fluids Engineering Information Platform*" (PiiF, www.piiif.ro) coordinated by Prof. Anton Anton from Technical University of Civil Engineering Bucharest (UTCB) for improving actual courses content and learning environment using suitable teaching technologies related to fluid engineering (for mechanical engineers, civil engineers, naval engineers, chemical engineers). This project has brought again the ACCORD-Fluid community in order to build up a web based platform to be used, by students as well as by teachers, in the study of fluid engineering. The web platform was organised around the main idea "basic concepts + correct scientific logic = solving applications". In this project, I prepared and posted online several materials (e.g. basic concepts, interactive applications, movies, images). Also, I participated at 2 Summer Schools (Timișoara and Cluj-Napoca) and 1 Project Meeting (Galati) together with the students and the academic staff of the partner.

Also, I have participated at three meetings with diaspora. These meetings were debated several scientific, technical, administrative and managerial issues linked with requirements and tendencies of our community. These events are enumerated below:

- 17-18 September 2008, Technical University of Civil Engineering Bucharest
Workshop: *Actuality and Perspective in Fluid Science and Engineering* (http://vechi.diaspora-stiintifica.ro/diaspora2008/docs/prog_prelim/program_preliminar_WE22.pdf)
Chairman: Prof. Lucian Sandu, Technical University of Civil Engineering Bucharest
Co-chairmen: Prof. Romeo Susan-Resiga, Politehnica University of Timisoara and Prof. Paul G.A. Cizmas, Texas A & M University
Rapporteur: Dr. Sebastian Muntean, Romanian Academy – Timișoara Branch
Number of participants: 33
- 26-27 September 2012, Technical University of Civil Engineering Bucharest
Workshop: *Synergy of research and education in fluid engineering*

(<http://vechi.diaspora-stiintifica.ro/diaspora2012/index.php?page=programe-workshop&id=10>)

Chairman: Prof. Anton Anton, Technical University of Civil Engineering Bucharest

Co-chairman: Dr. Gabriel Dan Ciocan, ALSTOM Power Hydro

Number of participants: 41

- 25-28 April 2016, Politehnica University of Timișoara

Workshop: *Renewable Energies* (<http://www.diaspora-stiintifica.ro/we-actualitate-si-perspectiva-in-domeniul-energiilor-regenerabile/>)

Chairman: Prof. Ion Boldea, member of the Romanian Academy, Politehnica University of Timișoara;

Co-chairmen: Dr. Gabriel Dan Ciocan, GE Renewable Energy and Laval University, Canada and Dr. Sebastian Muntean, Politehnica University of Timișoara;

Number of participants: 48

I have been chairman of the 7th IAHR Meeting of the Working Group on Cavitation and Dynamic Problems, 1st February 2017, Porto, Portugal. (<http://mh.mec.upt.ro/iahrwg2017/home.html>)

I have contributed as member of the scientific committee at the following conferences:

- 8th International Conference on Energy and Environment (CIEM2017), October 19-20, 2017, Bucharest, Romania (<http://ciem2017.energ.pub.ro/committees.html>);
- 19th International Seminar on Hydropower Plants, “Flexible Operation of Hydropower Plants in the Energy System”, November 9-11, 2016, Vienna, Austria (http://www.viennahydro.com/program-2/#_committee);
- 7th International Conference on Energy and Environment (CIEM2015), October 22-23, 2015, Iași, Romania (<http://ciem2015.energ.pub.ro/committees.html>);
- 6th International Conference on Energy and Environment (CIEM2013), November 7-8, 2013, Bucharest, Romania (<http://ciem2013.energ.pub.ro/committees.html>);

I have contributed as member of the organizing committee at the following events:

- 9th German-Romanian Workshop on Turbomachinery Hydrodynamics, 16-18 July 2013, Timișoara;
- 7th German-Romanian Workshop on Turbomachinery Hydrodynamics, 26-28 May 2011, Timișoara (<http://mh.mec.upt.ro/Index.aspx?p=Conference7Growth>);
- 25th IAHR Symposium on Hydraulic Machinery and Systems, 20-24 September 2010, Timișoara (<http://acad-tim.tm.edu.ro/iahr2010/>);
- 5th German-Romanian Workshop on Turbomachinery Hydrodynamics, 2-4 July 2009, Timișoara (<http://mh.mec.upt.ro/Index.aspx?p=Conference5Growth>);
- 2nd IAHR International Meeting of the Working Group on Cavitation and Dynamic Problems in Hydraulic Machinery and Systems, 24-26 October 2007, Timișoara. (<http://mh.mec.upt.ro/iahr2007/>)
- 3rd German-Romanian Workshop on Turbomachinery Hydrodynamics, 10-12 May 2007, Timișoara (<http://acad-tim.tm.edu.ro/iSMART-flow/pdf/GRW2007Program.pdf>)
- 3rd Workshop on Vortex Dominated Flows. Achievements and Open Problems, 1 - 2 June 2007, Timișoara;
- 2nd Workshop on Vortex Dominated Flows Achievements and Open Problems, 30 June – 1 July 2006, Bucharest;

- 1st German-Romanian Workshop on Turbomachinery Hydrodynamics, 24-26 November 2005, Timișoara (<http://mh.mec.upt.ro/Index.aspx?p=Conference1Growth>);
- 1st Workshop on Vortex Dominated Flows Achievements and Open Problems, 10-11 June 2005, Timișoara;
- 6th International Conference on Hydraulic Machinery and Hydrodynamics, 21-22 October 2004, Timișoara;
- Workshop on Numerical Methods in Fluid Mechanics and FLUENT Applications, 22-23 May 2003, Timișoara.
- 8th International Conference on Magnetic Fluids (ICMF8), 29 June - 3 July 1998, Timișoara.

I have contributed as reviewer at the following journals:

- Energies (since 2017); <http://www.mdpi.com/journal/energies>
- Chemical Engineering Communications (since 2017);
<http://www.tandfonline.com/toc/gcec20/current>
- Advanced in Mechanical Engineering (since 2016);
<https://us.sagepub.com/en-us/nam/journal/advances-mechanical-engineering>
- ASME Journal of Fluids Engineering (since 2016);
<http://fluidsengineering.asmedigitalcollection.asme.org/journal.aspx>
- Engineering Computations (since 2015); <http://www.emeraldinsight.com/loi/ec>
- International Journal on Fluid Machinery and Systems (since 2014);
<https://www.jstage.jst.go.jp/browse/ijfms>
- Applied Energy (since 2013); <https://www.journals.elsevier.com/applied-energy/>
- Canadian Journal of Physics (since 2013); <http://www.nrcresearchpress.com/journal/cjp>
- Journal of Zhejiang University – Science A (since 2013);
<https://link.springer.com/journal/11582>
- Proceedings of the Romanian Academy Series A: Mathematics, Physics, Technical Sciences, Information Sciences (since 2013); <http://www.acad.ro/proceedings.htm>
- Journal of Hydraulic Research (since 2012) <http://www.tandfonline.com/loi/tjhr20>.

My participation to the events (workshops, symposia, conferences) organized by International Association on Hydraulic Research (IAHR), Section: Hydraulic Machinery and Systems (<https://www.iahr.org/site/cms/contentviewarticle.asp?article=648>) is a priority. The IAHR Section on Hydraulic Machinery and Systems is the community dealing with the advancement of technology associated with the understanding of steady and unsteady flow characteristics in hydraulic machinery and conduit systems connected to the machinery. The technology elements include the fluid behaviour within machine components, hydro behaviour of machine components, cavitation, and two phase flow in turbines and pumps and hydraulic machine and plant control systems. I have participated to the following 14 events organized by International Association on Hydraulic Research, Section: Hydraulic Machinery and Systems during 2002 - 2017:

(i) Symposia on Hydraulic Machinery and Systems

- 28th IAHR Symposium on Hydraulic Machinery and Systems, 4-8 July 2016, Grenoble, France (<http://www.iahrgrenoble2016.org/>);
- 27th IAHR Symposium on Hydraulic Machinery and Systems, 22-26 September 2014, Montréal, Canada (<http://iopscience.iop.org/article/10.1088/1755-1315/22/0/001001/meta>);
- 26th IAHR Symposium on Hydraulic Machinery and Systems, 19-23 August 2012, Beijing, China (<http://iopscience.iop.org/article/10.1088/1755-1315/22/0/001001/meta>);

- 25th IAHR Symposium on Hydraulic Machinery and Systems, 20-24 September 2010, Timișoara, Romania (<http://iopscience.iop.org/issue/1755-1315/12/1>);
- 24th IAHR Symposium on Hydraulic Machinery and Systems, 27-31 October 2008, Foz do Iguassu, Brazil;
- 23rd IAHR Symposium on Hydraulic Machinery and Systems, 17-21 October 2006, Yokohama, Japan;
- 22nd IAHR Symposium on Hydraulic Machinery and Systems, 29 June – 2 July 2004, Stockholm, Sweden;
- 21st IAHR Symposium on Hydraulic Machinery and Systems, 9-12 September 2002, Lausanne, Switzerland.

(ii) International Workshops on Cavitation and Dynamic Problems in Hydraulic Machinery

- 7th IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 1 February 2017, Porto, Portugal (<http://mh.mec.upt.ro/iahrwg2017/>);
- 6th IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 9-11 September 2015, Ljubljana, Slovenia (<http://iahrwg2015.si/en/>);
- 5th IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 8-11 September 2013, Lausanne, Switzerland (<http://lmh.epfl.ch/iahrworkshop2013>);
- 4th IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 26-28 October 2011, Belgrade, Serbia;
- 3rd IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 14-16 October 2009, Brno, Czech Republic;
- 2nd IAHR International Workshop on Cavitation and Dynamic Problems in Hydraulic Machinery, 24-26 October 2007, Timișoara, Romania (<http://mh.mec.upt.ro/iahr2007/>);

I have contributed as member of the organizing committee at two IAHR events (2007, 2010), chairman of the IAHR workshop (2017) and chairman of one technical session at several IAHR events (2010, 2014, 2015, 2016).

I have participated to the events mentioned above as well as to next meetings:

- 19th International Conference on Hydropower Plants, 9 – 11 November 2016, Vienna, Austria; (<http://www.viennahydro.com/>)
- 1st International Conference on Materials Design and Applications (MDA2016), 30 June – 1 July 2016, Porto, Portugal; (<https://paginas.fe.up.pt/~mda2016/>)
- XIV World Renewable Energy Congress (WREC2015), 8-12 June 2015, Bucharest, Romania; (<http://www.wrec.ro/>)
- 18th International Conference on Hydropower Plants, 9 – 11 November 2014, Vienna, Austria; (<http://archiv.viennahydro.com/2014/>)
- 5th International Conference on Energy and Environment (CIEM2011), 3 – 4 November 2011, Bucharest, Romania; (<http://ciem2011.energ.pub.ro/>)
- Conference on Modelling Fluid Flow (CMFF'09), 9-12 September 2009, Budapest, Hungary;
- 4th International Conference on Energy and Environment (CIEM2009), 12-14 Noiembrie 2009, Bucharest, Romania;
- 4th International Symposium Fluid Machinery and Fluid Mechanics (ISFMFE2008), 24- 27 November 2008, Beijing, China;
- International Conference on Hydraulic Machinery and Equipments (HME2008), 16-17 October 2008, Timisoara, Romania;

- 3th International Conference on Energy and Environment (CIEM2007), 22-23 November 2007, Bucharest, Romania;
- Conference on Modelling Fluid Flow (CMFF'06), 6-9 September 2006, Budapest, Hungary;
- Conference on Modelling Fluid Flow (CMFF'03), 3-6 September 2003, Budapest, Hungary;

I have had a continuing concern to develop and strengthen partnerships with the industrial environment to find new solutions to the problems encountered in reality. This partnership has unfolded on several levels:

- collaborative projects with industrial partners to investigate solutions for the problems encountered in reality;
- contracts with industrial partners to solve its problems and/or to provide them with assistance;
- organizing events together with industrial partners in order to define the framework of the partnership and/or to debate the results;
- inviting industrial partners to participate in scientific events at national and international level to detail their issues;
- visiting industrial objectives with my colleagues to identify in situ problems and to understand the constraints associated to each problem.

I would like to remind following two events with industrial partners organized as chairman:

- 4th national conference “*Engineering education. The challenges for the XXI century*” (www.creding.ro/doc/CREDING2015_Program_rev09.pdf), 14-15 May 2015 organized at Timișoara by Romanian Coalition for Engineering Education CREDING (www.creding.ro), Timișoara Branch. More than 60 persons from Timișoara, Bucharest, Cluj-Napoca, Iași, Pitești, Brașov, Arad, Satu Mare, Reșița were participated at this event. This event brought together experts from universities, research institutes and industry to debate issues and challenges in engineering long term education. An important contribution had the leading companies in engineering in western part of Romania (e.g. Continental SRL, Hella SRL, Saguaro Technology SRL, ELBA SA, AQUATIM SA Timișoara, Lasting SRL, Dosetimpex SRL);
- Workshop entitled “*Actual problems regarding the operation and refurbishment of hydrounits in Romania*” part of the Timișoara Academic Days (11th Edition) organized during 23-24 July 2009 at Timișoara. At this event has participated several subsidiaries of Hidroelectrica (Romanian Hydropower Company) and AQUATIM SA Timișoara.

It is well known that each hydropower plant and storage power plant is unique. I have visited the following hydraulic machinery laboratories, hydropower plants and pumping plants all around the world:

- 2017 Venta Nova II PSP and Venta Nova III PSP, Portugal
- 2016 Turboinštitut, Ljubljana, Slovenia
- 2015 Sareș PSP, Romania
- 2014 Cluj-Napoca Water Supply Pumping Station, Romania
- 2014 Beauharnois HPP, Canada
- 2014 IREQ, Hydro Quebec, Varennes, Canada
- 2014 Glems PSP, Germany
- 2013, 2014 Râu Mare – Retezat HPP, Romania
- 2013 Bieudron HPP, Elvetia (the hydropower plant with largest head in the world)
- 2013 Stejaru HPP, Romania
- 2012 Timișoara’s Water Supply Pumping Station, Romania
- 2012, 2016, 2017 Timișoara’s WasteWater Treatment Station, Romania

- 2012, 2013, 2016 Alstom Hydro, Grenoble, France
- 2012 Three Gorges HPP, China (the hydropower plant with largest installed power in the world)
- 2012 Constance PSP, Germany
- 2011 Bajina Basta HPP and PSP, Serbia
- 2010, 2011 Lotru – Ciunget HPP, Romania
- 2010 Sadu IV HPP, Sinaia, Romania
- 2010 Andritz Hydro, Ravensburg, Germany
- 2009 Dalešice HPP, Czech Republic
- 2009 Zervești HPP, Romania
- 2009 Mălaia HPP, Romania
- 2008 Itaipu HPP, Brazil (the hydropower plant with the second largest installed power in the world)
- 2008 Voith Hydro, Heidenheim, Germany
- 2008 Munteni HPP, Romania
- 2007 Marișelu HPP, Romania
- 2007, 2008, 2009 HydroEngineering , Reșița, Romania
- 2007 Aleșd HPP, Romania
- 2007, 2009 Brădișor HPP, Romania
- 2007, 2008 Turnu-Ruieni HPP, Romania
- 2006, 2013 Hydraulic Machinery Laboratory, École Polytechnique Fédérale de Lausanne, Switzerland;
- 2006 Wehr PSP, Germany
- 2005, 2006, 2008, 2010, 2012, 2014 Institute of Hydraulic Machinery and Fluid Mechanics, Stuttgart University, Germany
- 2005, 2010 Portile de Fier I HPP, Romania
- 2004 Portile de Fier II HPP, Romania
- 2005 Turnu HPP, Romania
- 2004 Jidoaia PSP, Romania
- 2004 Petrimanu PSP, Romania
- 2004 Lotru Aval PSP, Romania
- 2004 Zăvideni HPP, Romania
- 2003 Drăgășani HPP, Romania

I have participated to several training courses organized by European Association of Research Managers and Administrators (EARMA) to extend my knowledge in field of research management and administration:

- 11-12 May 2009: Helmholtz Office, Brussels, Belgium, *European Association of Research Managers and Administrators (EARMA) Training Course on “Intellectual Property Rights and Contract Management”*, Lecturer: Susanne Burnett
- 26-27 June 2008: Forschungszentrum Karlsruhe, Germany. *European Association of Research Managers and Administrators (EARMA) Training Course on “Effective Negotiations”*, Lecturer: Kenneth Taylor
- 28-29 April 2008: Helmholtz Office, Brussels, Belgium, *European Association of Research Managers and Administrators (EARMA) Training Course on “Advanced International Project Management”*, Lecturer: Dr. Susanne Rahner

- 6-7 March 2008: Helmholtz Office, Brussels, Belgium, *European Association of Research Managers and Administrators (EARMA)* Training Course on “**Leadership: Managing and Motivating Teams**”, Lecturer: Kenneth Taylor
- 12 July 2007: *Camera de Comert si Industrie Timisoara*, Romania, Training Course on “**How to write a FP7 Proposal Successfully**”, Lecturer: Sean McCarty
- 21-22 May 2007: Helmholtz Office, Brussels, Belgium. *European Association of Research Managers and Administrators (EARMA)* Training Course on “**Financial Management in EU Projects**”, Lecturer: Paul Drath
- 16 April 2007: Helmholtz Office, Brussels, Belgium. *European Association of Research Managers and Administrators (EARMA)* Training Course on “**FP7 Agreements**”, Lecturers: Lotte Jaspers, Jet van Dijk, Margot Spaargaren
- 20-23 March 2007: *Camera de Comert si Industrie Timisoara, Romania Ministry of Economy and Finance* Training Course on “**Horizontal Training for Structural Instruments**”, Lecturer Team Leader: Dr. Dimitra Ioannou
- 16 May 2006: Forschungszentrum Karlsruhe, Germany. *European Association of Research Managers and Administrators (EARMA)* Training Course on “**Risk Management in Projects**” Lecturer: Prof.dr. Bernd J. Madauss.
- 15 May 2006: Forschungszentrum Karlsruhe, Germany. *European Association of Research Managers and Administrators (EARMA)* Training Course on “**Coordination of Complex Projects**” Lecturer: Prof.dr. Bernd J. Madauss.
- 24 -26 June 2004: *European Association of Research Managers and Administrators Conference (EARMA)*, Bucharest, Romania, “**Methods and Techniques of Management and Administration in Research**”

My teaching career has started in 2016, when I joined as associate professor at the Hydraulic Machinery Group from Mechanical Machinery, Equipment and Transport Department, Politehnica University of Timișoara. My teaching activities are focused on hydraulic machineries, hydropower plants and pumping stations which is part of the curriculum in mechanical engineering at Bachelor and master levels, respectively.

I have contributed with one chapter to the book entitled “*Fluid Mechanics, Hydraulic Machinery and Hydropower. Computing applications*” ISBN: 973-638-076-9 published by Orizonturi Universitare publishing house in 2004. This book includes computing applications of fluid mechanics and hydraulic machines for students.

I have supported several students to prepare their diploma theses (10), master dissertations (5) and PhD thesis (more than 10 with bold in next table) to generate a design for turbomachines (turbine or pump) or to perform an in-depth numerical flow investigation. I have supported four students at Bachelor level (their names are marked with bold in the next table) to develop their own research and to present their results at Student Technical Days (<http://zts.lsfmt.ro/>):

No.	Paper title	Authors	Event
1.	Analysis of the operation of centrifugal pumps in the flood protection system	D. Ognean , D.C. Moș, S. Muntean	ZTS2017, 5-6 May 2017, Timisoara
2.	3D numerical flow analysis in the double flux impeller of the storage pump PRO 10-195 at the best efficiency point	S.-R. Constantin , A. Stuparu, R. Susan- Resiga, S. Muntean	ZTS2008, 11-18 May 2008, Timișoara

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|----|---|---|---------------------------------------|
| 3. | 3D numerical flow analysis in the Francis turbine runner with $ns=207$ at the best efficiency point | I. Ninaci , S. Muntean,
R. Susan-Resiga | ZTS2008, 11-18 May
2008, Timișoara |
| 4. | 3D numerical flow simulation in the Francis turbine distributor and runner with $ns=180$ at the best efficiency point | G. Bodi , C. Tănasă, R.
Susan-Resiga, S.
Muntean | ZTS2008, 11-18 May
2008, Timișoara |

Also, I have been appointed of 20 times as member of the PhD committee (19 in Romania and 1 in Sweden). Please find below the list with my participation as member in PhD committees:

No.	PhD candidate	PhD Thesis title	Supervisor(s)	Institution
1.	C.-M. Ighișan	Unsteady hydrodynamic of the hydraulic turbines draft tube	Prof. R. Susan-Resiga	Politehnica University of Timisoara, No. 31/22.09.2015
2.	I.A. Drăghici	Hydrodynamic field analysis at the centrifugal impeller inlet of storage pump for variable speed	Prof. L. E. Anton	Politehnica University of Timisoara, No. 27/03.02.2015
3.	N. Pașca	Hydropower sustainability assessment components	Prof. L. Marșavina	Politehnica University of Timisoara, No. 15/14.01.2014
4.	T. Ciocan	Swirling flow optimization on the draft tube inlet of the hydraulic turbines	Prof. R. Susan-Resiga	Politehnica University of Timisoara, No. 15/14.01.2014
5.	N.-O. Țîrală (Tănasă)	Modeling transient flows around bodies in the vicinity of the free surface under subcritical	Prof. E.C. Isbășoiu	“Politehnica” University of Bucharest, No. 223/07.06.2013
6.	I. G. Moisă	Flow analysis and optimization for inverse design of the hydraulic turbomachinery	Prof. R. Susan-Resiga	Politehnica University of Timisoara, No. 6/15.01.2013
7.	Gh. Gînga	Experimental and numerical investigation of the centrifugal storage pumps in operation	Prof. L.E. Anton	Politehnica University of Timisoara, No. 3/01.10.2012
8.	L.-A. A. Laszlo	Research on pipeline leakage detection using sonic methods	Prof. G. Tatu	Technical University of Civil Engineering Bucharest, No. 4976/12.06.2012
9.	A.V. Harasim	Contributions to computation sonic transmissions	Prof. G. Tatu	Technical University of Civil Engineering Bucharest, No. 4970/12.06.2012

- | | | | | |
|-----|----------------------|--|--|---|
| 10. | C. Tănasă | Flow-feedback method to mitigate pressure fluctuations in conical diffuser of the hydraulic turbines | Prof. R. Susan-Resiga | Politehnica University of Timisoara, No. 78/27.10.2012 |
| 11. | B. Mulu | An experimental and numerical investigation of a Kaplan turbine model | Prof. M. Cervantes | Lulea University of Technology, Sweden, 2012 |
| 12. | A.I. Bosioc | Swirling flow control in draft tube cone of the hydraulic turbines | Prof. R. Susan-Resiga | Politehnica University of Timisoara, No. 70/23.12.2011 |
| 13. | C.M. Bălan | Flow modeling and control of the viscous and viscoelastic fluids in microchannels | Prof. C. Bălan | “Politehnica” University of Bucharest, No. 208/27.04.2011 |
| 14. | D.A. Bistriian | Mathematical models and numerical algorithms for stability investigation of swirling hydrodynamic stability | Prof. G. Savii, Prof. R. Susan-Resiga | Politehnica University of Timisoara, No. 72/17.02.2011 |
| 15. | T. Ouyang | Investigation, modeling and optimization of the wire drawing process to obtain pre-ceramic polymer | Prof. C. Bălan, Prof. P. Miele | “Politehnica” University of Bucharest, No. 200/12.02.2010 |
| 16. | G. Dunca | Investigation of the rotor-stator interaction of a multistage centrifugal pump | Prof. E.C. Isbășoiu | Politehnica University of Bucharest, No. 201/16.04.2010 |
| 17. | B. Bedeleian | Investigation of aerodynamic chambers for drying wood | Prof. Șt. Alexandru, Prof. N. Țăran | Transilvania University of Brașov, No. 3364/12.05.2009 |
| 18. | A.C. Stuparu | Experimental and numerical flow modeling in centrifugal pumps | Prof. I.M. Anton, member of the Romanian Academy | Politehnica University of Timisoara, No. 64/02.07.2009 |
| 19. | C. Mărculescu | Hydrodynamic study of a chemical reactor polymerization with applications in pre-ceramic polymer technology | Prof. C. Bălan, Prof. P. Miele | Politehnica University of Bucharest, No. 197/30.09.2009 |
| 20. | M. Amorăritei | Investigation of the unsteady regimes of the propeller hydrodynamic with applications to the azimuthal thrusters and propellers with leaned shafts | Prof. V. Ceangă | "Dunărea de Jos" University of Galati, No. 18659/04.10.2005 |

(b-ii) Scientific developments

ii.1 Hydraulic turbines

ii.1.1 Introduction

Hydropower is the largest source of renewable energy. The modern hydraulic turbines are required to operate over a significantly wider range of regimes, extending quite far from the best efficiency point in order to meet the demand on the energy market. It is the most efficient way to generate electricity and/or to regulate grid. Therefore, the technical solutions in order to refurbish the old hydropower plants are challenging tasks Swiderski and Martin (1999), Papillon et al. (2002), Salleberger et al. (2001), Sotnikov (2001), Lyutov et al. (2015), Wu et al. (2007). The main constrains are revealed in limitations of the hydropower plant operation Frunzaverde et al. (2010) and Baya et al (2010).

The Francis hydraulic turbines the shape of the efficiency hill chart is practically given by the steep increase in the draft tube losses at off-design operating points Vu and Retieb (2002). The main reason why the efficiency of a turbine significantly drops when operating far from the best operating regime is that the inherent residual swirl at runner outlet Susan-Resiga et al. (2006), Susan-Resiga et al. (2011) leads to large draft tube losses Susan-Resiga et al. (2015). Although this phenomenon cannot be avoided, one can adjust the runner geometry such that a weighted-average hydraulic efficiency becomes as high as possible over a certain range of operating points. Firstly, an old solution available in a hydropower plant equipped with Francis turbine is investigated.

ii.1.2 Old Francis turbine runner available in the hydropower plant (HPP)

The prototype test case is Francis turbine installed in Brădişor HPP. Brădişor HPP is located in south of Romania on the Lotru River. The underground powerhouse is equipped with two vertical units. Each unit consist a Francis turbine rated at power of 57.5 MW each under 128.5 m rated nominal head, see Table ii.1.1. Each unit is supplied by individual power conduits including intake and penstock. The units share a common tailrace tunnel (i.e. free surface flow) with 13 km length. Figure ii.1.1 shows the hydropower plant cross view while the Francis turbine cross section is presented in Figure ii.1.1 with parameters from Table ii.1.2.

Table ii.1.1 Parameters of the Francis turbine with medium specific speed

Parameter	Value
maximum head H_{\max}	132.65 m
nominal head H_n	128.5 m
minimum head H_{\min}	111.0 m
nominal power P_n	57.5 MW
nominal speed n	375 rpm

Table ii.1.2 Parameters of the Francis turbine with medium specific speed according to IEC standard

Parameter	Value	equations according to IEC (1999)
characteristic speed n_q	68	$n_q = nQ^{0.5}H^{-1.25}$
discharge coefficient φ	0.28	$\varphi = Q(\pi\omega R_{2e}^3)^{-1}$
energy coefficient ψ	1.264	$\psi = 2E(\omega R_{2e})^{-2}$
hydraulic power coefficient λ	0.354	$\lambda = 2EQ(\pi\omega^3 R_{2e}^5)^{-1}$
dimensionless characteristic speed v	0.444	$v = \varphi^{0.5}\psi^{-0.75}$

The test case corresponds to a medium specific speed Francis turbine with dimensionless specific speed $v = 0.444$. The distributor consists of 16 stay vanes and 16 guide vanes whilst the runner has 14 blades with

the reference radius $R_{2e} = 1.1375$ m. Figure ii.1.1 shows the Francis turbine cross view with parameters from Table ii.1 while the three-dimensional geometry of the Francis runner is presented in Figure ii.1.2.

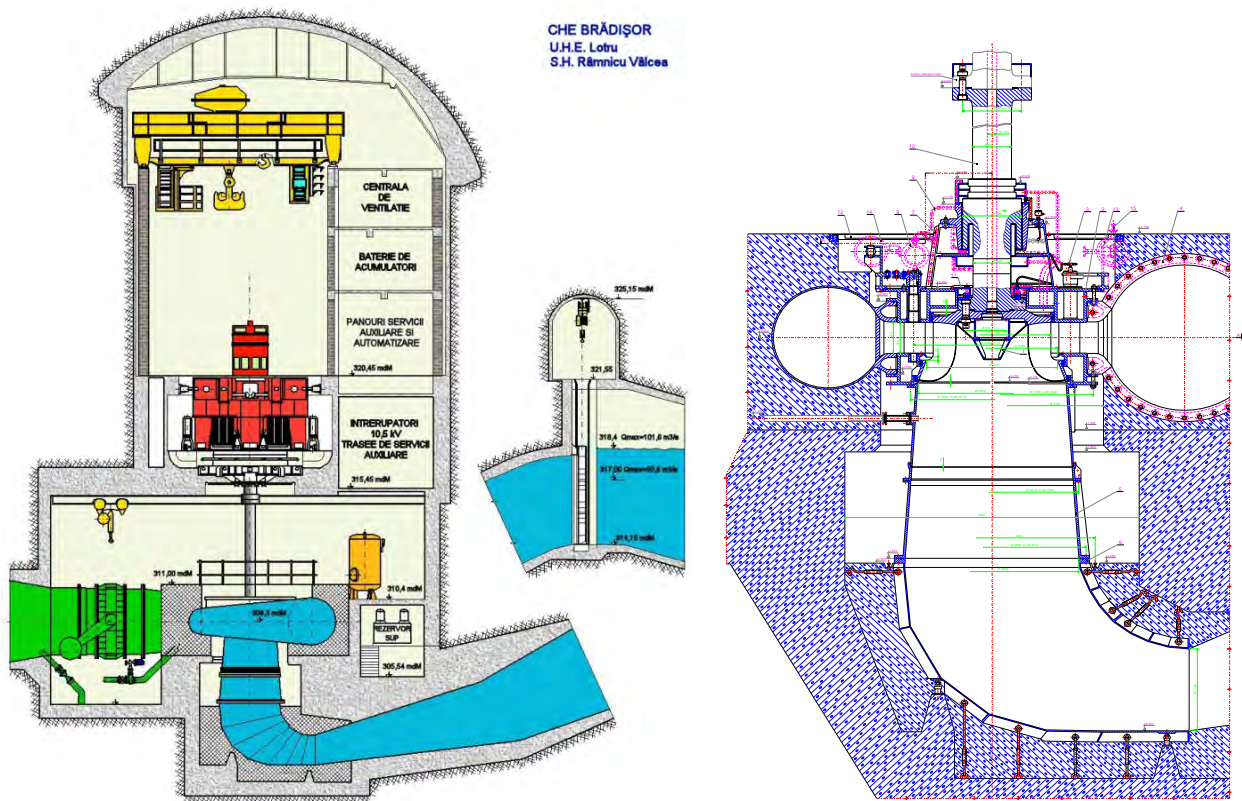


Figure ii.1.1 Cross section through the hydropower plant and cross section through the Francis turbine.

The runner is a welded construction where hot formed blades are welded to the cast ring and crown. In our case, the runner is manufactured from martensitic-ferritic-austenitic stainless steel, T10CuNiCr180, Frunzaverde et al. (2010).

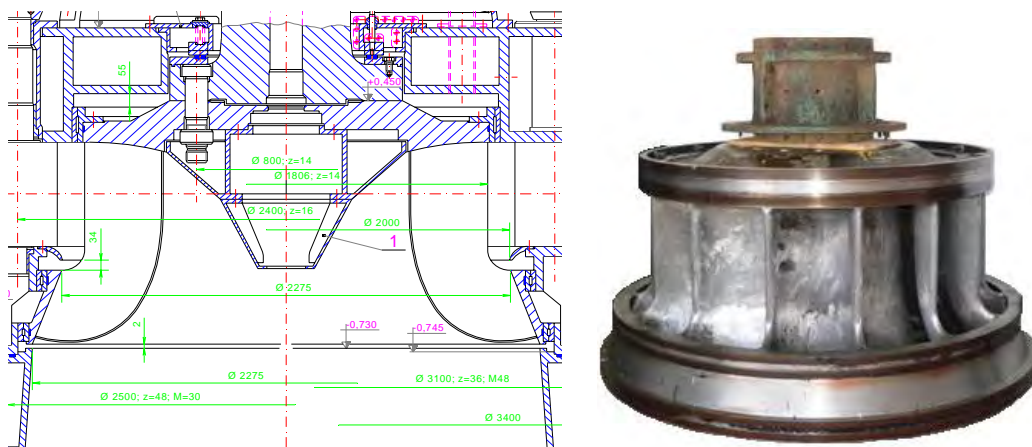


Figure ii.1.2 Cross section through the runner and the Francis turbine runner.

ii.1.3 Francis turbine units operation during 10 years

Five control regimes denoted from R1 to R5 are selected on a wide discharge range together with its weighted values based on Francis turbine operation during one decade (1999-2009), Figure ii.1.3. The red dots on the hill chart correspond to operating points recorded in situ from 1999 to 2009. Then, five control regimes are selected to collect these operating points. The first control regime (R1) collects the operating

points with discharge values lower than $35 \text{ m}^3/\text{s}$, the second one (R2) from $35 \text{ m}^3/\text{s}$ to $40 \text{ m}^3/\text{s}$, the third one (R3) from $40 \text{ m}^3/\text{s}$ to $45 \text{ m}^3/\text{s}$, the fourth regime (R4) from $45 \text{ m}^3/\text{s}$ to $47.5 \text{ m}^3/\text{s}$ and last regime (R5) with discharge values larger than $47.5 \text{ m}^3/\text{s}$.

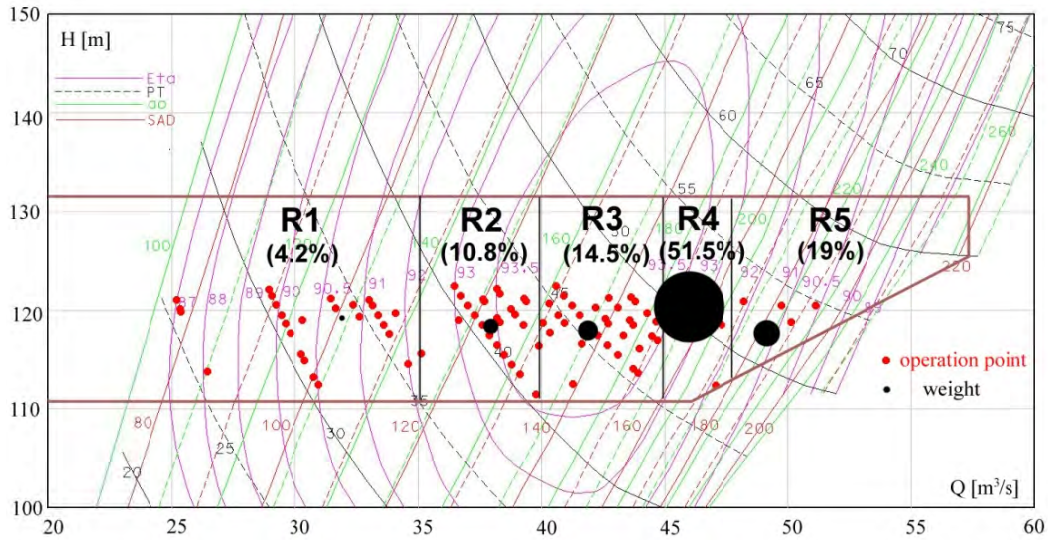


Figure ii.1.3 Francis turbine hill chart together with five control regimes selected based on one decade operation (1999 - 2009) of both units available in the hydropower plant.

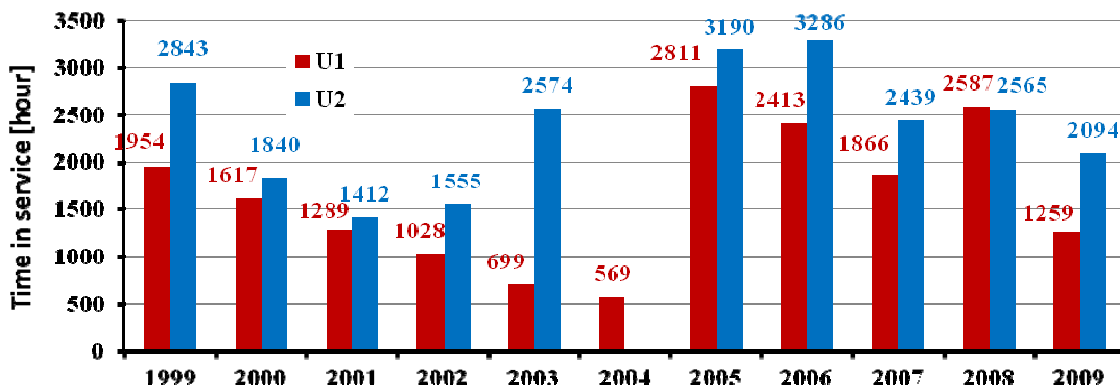


Figure ii.1.4. Number of hours in service of the old Francis turbines units: U1 (red); U2 (blue).

Figure ii.1.4 presents number of hours in service on each Francis turbine unit installed in the power plant during 1999 - 2009. As a result, total number of hours in service of 18092 is counted for unit U1 while 23798 hours for unit U2, respectively. Figure ii.1.3 presents the hill chart of the Francis turbine with regimes computed based on last ten years of the operation (the red points). A weighted value is computed for each control regime as a ratio between cumulated number of hours in service associated to it and total number of hours. The weighted values are marked with black circles in Figure ii.1.3 The center of each black circle corresponds to the weighted average values of discharge and head computed using the operating points included in each control regime while its radius is proportional with the weight. The analysis of hydrodynamic conditions have shown the following distribution: 15% from total operation time was at part load conditions with vortex rope, 35% from total operation time was around best efficiency point and 50% from total operation time was at full load conditions, Baya et al. (2010). One can see that around 76.8% of operating regimes (R2, R3 and R4) are clustered near to the best efficiency point revealing a peak operation. As a result, a synopsis view based on one decade operation of the existing Francis turbine is considered as reference value.

ii.1.4 Technical problems in turbine operation

The operation of a hydroelectric power plant is associated with several unsteady and transient phenomena. Start-up and shut-down operation, modification of operating point, out of phase synchronization during start-up and emergency stop induce unsteady loading conditions, Trivedi et al. (2013). The start-stop cycle is considered as the low-cycle fatigue cycle; the high-frequent load cycles from unsteady fluid flow are associated to as the high-cycle fatigue cycles acting on runner blades, Gagnon et al. (2012), Gagnon et al. (2014). During a complete start-stop cycle, the steady low cycles fatigue loading goes from zero to a maximum under service conditions and back to zero.

The following problems were detected during to the part load operation conditions: i) the vibrations are generated and propagated to the hydraulic turbine elements, Casanova (2009); ii) the runner cone ogive is removed, see Figure ii.1.5; iii) the runner band seal is worn; iv) the cracks are initiated at the junction between crown and runner blades on the trailing edge and leads to failure of the runner blades Frunzaverde et al. (2010), Luna-Ramirez et al. (2016). The air admission through the choke valve situated to the end of hollow shaft is performed in the hydropower plant if the pressure level goes down to the atmospheric pressure, Figure ii.1.5, Muntean et al. (2014).



Figure ii.1.5 The runner cone was removed due to the vortex rope generated at part load conditions. The view of the runner without cone ogive and the runner cone ogive recovered from draft tube.

In the case of the Francis turbine interactions between the turbine components are quite common, especially between guide vanes and Francis runner with low specific speed. Under one complete rotation of the turbine runner, fluctuations in the water flow as many as there are guide vanes take place in each runner canal. The unsteady flow from blades passing the guide vanes is normally assumed to induce the predominant high-cycle fatigue vibration stresses. The largest bending stresses appear where the blade is fixed to the band or crown, Frunzaverde et al. (2010). At this T-joint geometry, the level of stress and strain is further intensified due to notch effects, Huth (2005), Pasca et al. (2013), Carpinteri et al. (2015). Notch stresses of two areas of the circular-shaped transition between the blade and the band or crown of the Francis turbine runner was subject of investigation. Due to stress concentration, these areas are the runner's two locations of highest local stress amplitudes and therewith the most critical locations for fatigue. The welded joint between blade and band or crown was idealized by a simple T-joint subject to pure bending. The investigations were revealed the transition radius to be by far the more decisive parameter regarding stress concentration, compared to the influence of blade edge radius Carpinteri et al. (2005), Huth (2005). Figure ii.1.6 a shows the crack in the runner blade while the runner without blade is presented.

The most important requirement for the manufacture is weldability in order to avoid defects and brittleness in the heat affected zones of the material. To fulfill these requirement limitations in the chemical

composition is made, Frunzaverde et al. (2010). Generally, the defects always occur in a weld or in the heat affected zones in the base material, it is therefore essential to have acceptance criteria for defects which will not grow to fracture under the operational conditions for the regarded structure.



Figure ii.1.6 The crack on the Francis runner blade and the Francis runner with removed blade.

ii.1.5 In situ experimental investigations

The experimental investigations are performed in 13 operating points (9 situated at part load conditions, one operating point near to the best efficiency point (BEP) and 3 operating points at full load conditions). Figure ii.1.7 presents the hill chart of the Francis turbine with regimes computed based on last ten years of operation (red points) and the operating points investigated experimentally at constant head $H=118$ m (black circles). The experimental investigations at part load operating conditions were realized with air admission through the hollow shaft of the turbine.

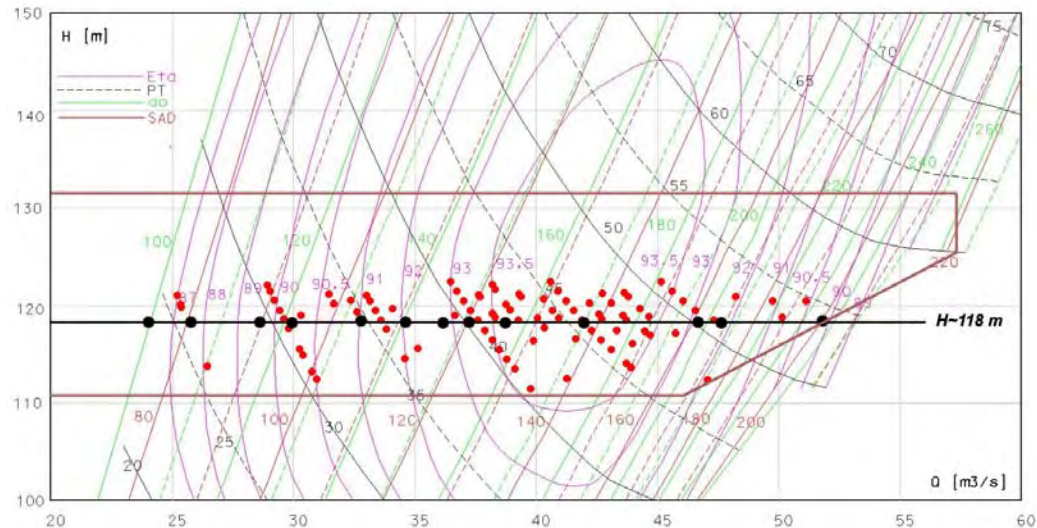


Figure ii.1.7 Hill chart of the Francis turbine with regimes computed based on last ten years of the operation (marked with red points) and the operating points investigated experimentally at constant head $H=118$ m (marked with black circles)

The draft tube cone is performed from three parts with total height three times reference radius ($h=3R_{2e}$) at runner outlet and the semi angle of cone 4° . Three pressure taps were flush mounted on the wall of the draft tube cone in order to evaluate the pressure fluctuations and the wall pressure recovery for all operating range, see Figure ii.1.8. The pressure taps were mounted along to the element of the cone with the tap number 3 (denoted $PT3$) situated at 0.7 m ($0.615R_{2e}$) downstream from runner outlet and the pressure tap number 1 ($PT1$) at 1.45 m ($1.275R_{2e}$) with respect to the $P3$ near to the elbow of the draft tube, Figure ii.1.8. As a result, the unsteady pressure in the pressure taps was recorded for each operating regime. The mean value (P)

and fluctuant component (p') are obtained from unsteady pressure signal recorded in the pressure taps using the following equation

$$p = P + p' \quad (\text{ii.1.1})$$

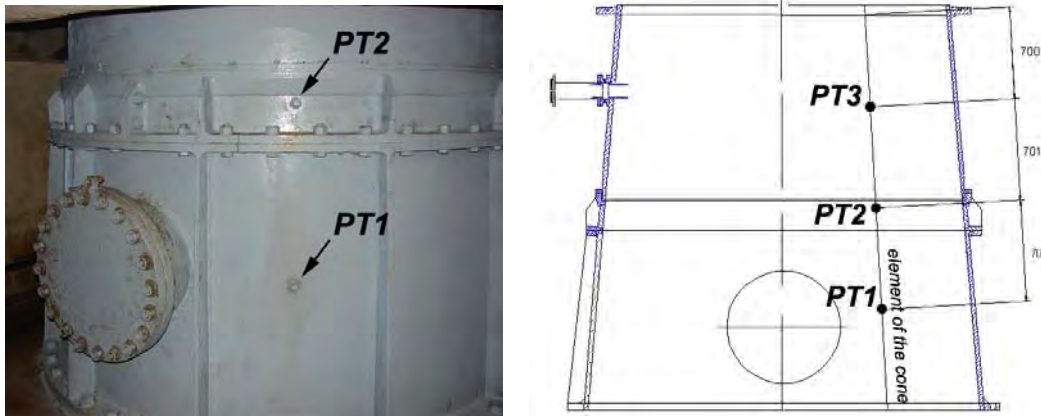


Figure ii.1.8 The pressure taps flush mounted on the wall along to the element of the cone: photo and sketch. Consequently, the wall pressure recovery is computed using eq. (ii.1.2) based on averaged values between taps, see Table ii.1.3. The wall pressure recovery on the first part of draft tube cone is denoted χ_{23} while the wall pressure recovery between $PT1$ and $PT3$ marked χ_{13} is obtained along to the draft tube cone. The reference section is no. 3 displaced just downstream to the runner.

$$\chi_{i3} \equiv \frac{\left(\frac{P_{wall}}{\rho} + gz \right)_i - \left(\frac{P_{wall}}{\rho} + gz \right)_3}{\frac{Q^2}{2A_3} \left[1 - \left(\frac{A_3}{A_i} \right)^2 \right]} \quad (\text{ii.1.2})$$

where $i=1,2$ corresponds to the pressure taps (PT) installed on the wall cone, Figure ii.1.8.

Table ii.1.3 Wall pressure recovery along to the draft tube cone for variable operating points

Q/Q_{bep}	χ_{23}	χ_{13}	Operating regimes
0.58927	-0.289	0.553	Part load
0.62439	-0.090	0.737	
0.69610	0.334	0.829	
0.72805	0.484	0.859	
0.79488	0.742	0.860	
0.84829	0.730	0.818	
0.87561	0.760	0.744	
0.89268	0.721	0.719	
0.93902	0.635	0.665	
1.03976	0.552	0.582	BEP
1.14878	0.530	0.547	Overload
1.15937	0.519	0.535	
1.32683	0.444	0.474	

Figure ii.1.9 shows the distribution of the wall pressure recovery (χ_{23} and χ_{13}) for all operating points investigated. The wall pressure recovery on the cone χ_{13} (marked with black circle in Figure ii.1.9) seems to be quite similar with the values measured on the first part of the cone χ_{23} at full load operation and near to best efficiency point. Contrary, at part load operation, the wall pressure recovery on the first part of the cone χ_{23} (plotted with red square in Figure ii.1.9) is smaller than the wall pressure recovery along to the cone χ_{13} due to the strong influence of the residual swirling level downstream to the runner. The maximum value

$\chi_{23}=0.75$ of wall pressure recovery coefficient on the first part of draft tube cone is reached at 85% from best efficiency point discharge (Q_{bep}) while the maximum value $\chi_{13}=0.86$ of wall pressure recovery coefficient on the draft tube cone at 75% from Q_{bep} . That situation is obtained due to the runner is designed with free swirling flow at best efficiency point in conjunction with high draft tube cone ($h=3R_{2e}$) and small semi angle of cone. However, one should bear in mind that the measurements at part load operation are performed with air admission just downstream to the runner through the hollow shaft. Consequently, the wall pressure recovery at part load operation includes the influence of the air admission.

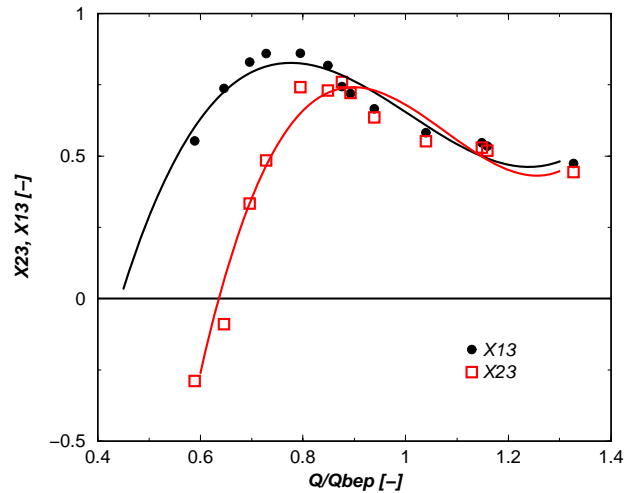


Figure ii.1.9 The wall pressure recovery along to the draft tube cone for all operating range: on the first part of cone (χ_{23}) and along to the element of cone (χ_{13}).

The pressure fluctuations (p') are analyzed based on Fourier spectra in order to evaluate the amplitude and frequency. Figure ii.1.10 presents the Fourier spectra in the pressure taps ($PT1$, $PT2$ and $PT3$ in Figureiii.1.8) flush mounted on the cone wall at four part load operating conditions. The fundamental harmonic (1st harmonic) corresponds to the vortex rope and associated frequency is around 20-25% from runner frequency. The maximum amplitude (1.3% from head) is obtained for pressure transducer $PT3$ situated just downstream to the runner at part load operation (around 60% see Figure ii.1.10). The maximum amplitude decreases with more than 50% if the turbine operates at regime above 80%. The maxima of dimensionless amplitude and associated frequency for each operating point as well as each wall pressure tap are presented in Table ii.1.4.

Table ii.1.4 Maximum amplitude and associated frequency at part load operating regimes measured on the draft tube cone wall in the hydropower plant with air admission

Q/Q_{bep} [-]	Pressure tap no. 1 ($PT1$)		Pressure tap no. 2 ($PT2$)		Pressure tap no. 3 ($PT3$)	
	$A/(\rho E)$ [%]	f/n [-]	$A/(\rho E)$ [%]	f/n [-]	$A/(\rho E)$ [%]	f/n [-]
0.58927	0.6750	0.5184 (2 nd harmonic)	0.7356	0.2592 (1 st harmonic)	1.3414	0.2592 (1 st harmonic)
0.62439	0.5625	0.5056 (2 nd harmonic)	0.6491	0.2528 (1 st harmonic)	1.3414	0.2528 (1 st harmonic)
0.69610	0.4673	0.4672 (2 nd harmonic)	0.5712	0.2336 (1 st harmonic)	1.0731	0.2336 (1 st harmonic)
0.72805	0.5798	0.2256 (1 st harmonic)	0.7010	0.2256 (1 st harmonic)	1.2462	0.2256 (1 st harmonic)
0.79488	0.4154	0.2240 (1 st harmonic)	0.4414	0.2240 (1 st harmonic)	0.6318	0.2240 (1 st harmonic)
0.84829	0.3635	0.2224 (1 st harmonic)	0.2077	0.2224 (1 st harmonic)	0.1904	0.2224 (1 st harmonic)
0.87561	0.2942	0.1936 (1 st harmonic)	0.2337	0.1936 (1 st harmonic)	0.1991	0.1936 (1 st harmonic)

The maximum amplitude measured in pressure transducer $PT1$ situated near to the elbow is two times smaller than the maximum amplitude from $PT3$, see Figure ii.1.10. Moreover, at part load operating regimes ($Q \approx 0.6Q_{bep}$) the maximum amplitude corresponds to 2nd harmonic frequency, Table iii.1.4. The air

admission is connected with the air volume absorbed through the hollow shaft, Papillon et al. (2000). In the experimental investigations performed into the hydropower plant, the air is absorbed through the choke valve only if the pressure goes down to the atmospheric pressure (see Figure ii.1.5).

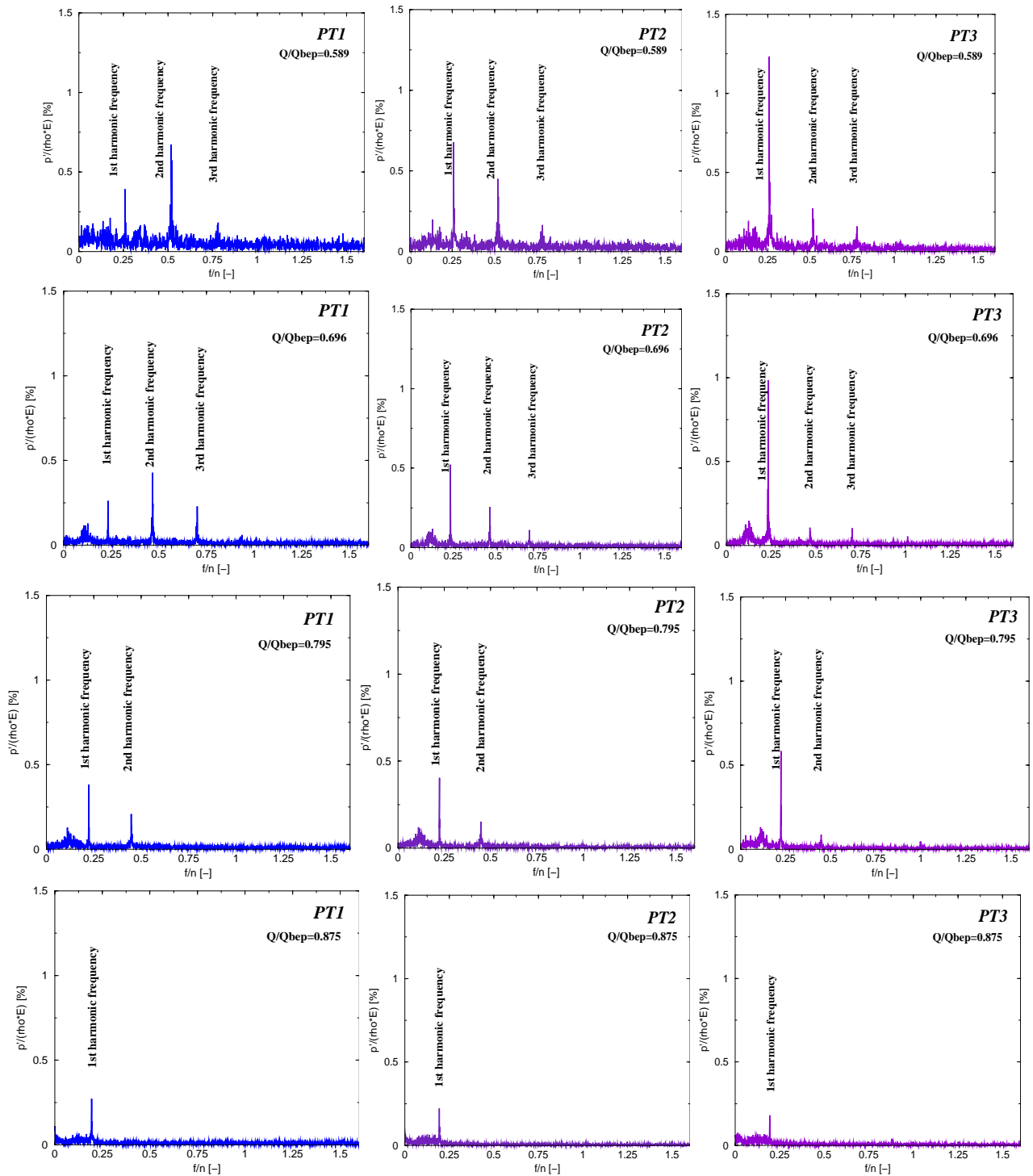


Figure ii.1.10 Fourier spectra for unsteady pressure measured on cone wall in all three taps (see Figure ii.1.8): PT1 – left column, PT2 – center column and PT3 – right column. The data are presented for different dimensionless discharge values at part load conditions Q/Q_{bep} : 0.589 (first row), 0.696 (second row), 0.795 (third row) and 0.875 (fourth row).

Figure ii.1.11 presents the waterfall diagrams for pressure transducers *PT1* (left) displaced near to the elbow and *PT3* (right) located downstream to the Francis runner. In these plots, the overload regimes ($Q/Q_{bep} > 1$)

are marked with red color while the operating points from part load ($Q/Q_{bep} < 1$) are presented with blue color.

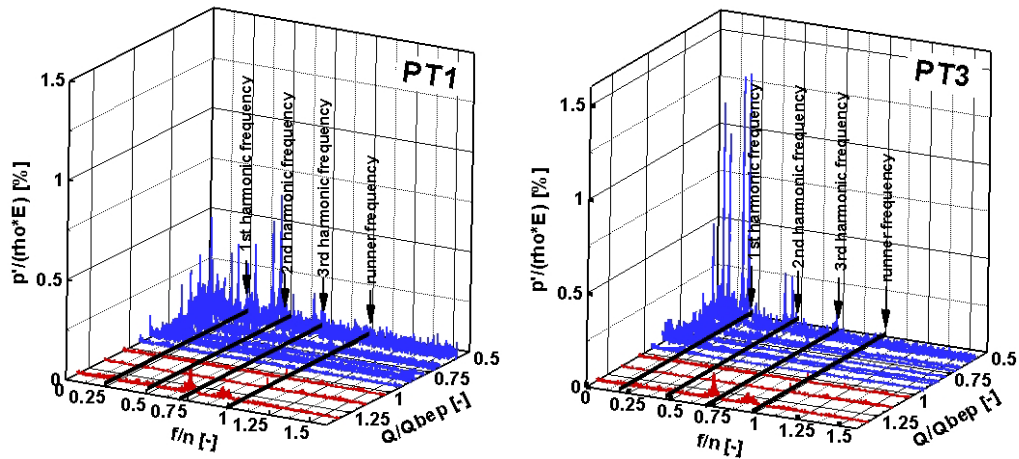


Figure ii.1.11 Waterfall diagrams for pressure transducers flush mounted on the wall of the draft tube cone: PT1 displaced near to the elbow and PT3 located near to the Francis runner. The overload regimes are plotted with red color while the part load regimes are presented with blue color. The measurements into the HPP are performed with air admission through the hollow shaft.

ii.1.6 Numerical investigations of the flow in Francis turbine prototype

The draft tube remains unmodified due to economical reasons when refurbishing a hydraulic turbine. Therefore, the new runner should be the best match for the existing draft tube within a wide operating range. The refurbished solution has to meet the requirements imposed by the electrical grid together with technical constrains associated to each hydropower plant Baya et al. (2010), Frunzaverde et al. (2010), Muntean et al. (2010).

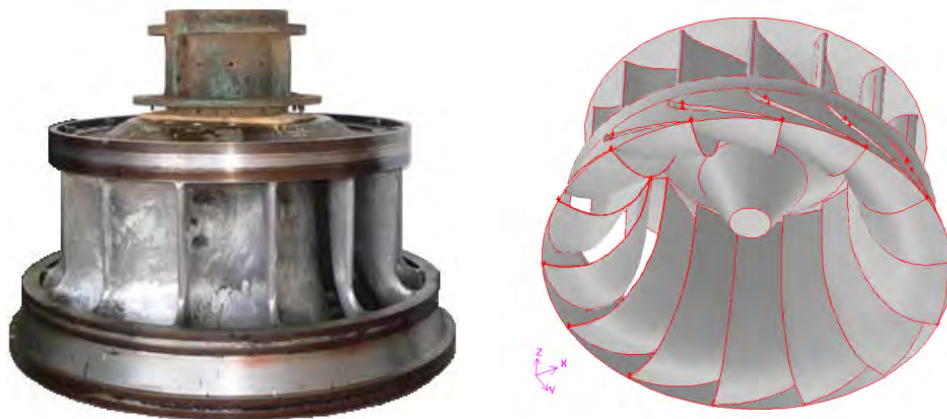


Figure ii.1.12 The old Francis runner geometry: photo and 3D reconstruction.

The hydrodynamic field was computed in six operating points displaced at constant head. The investigated operating points correspond to: best efficiency point (BEP), four points at partial load (marked with PL) and two overload points (denoted with OL), see Tabel iii.1.5.

The relative discharge is computed using eq. (ii.1.3)

$$Q_r [\%] = \frac{(Q)_x}{(Q)_{BEP}} \cdot 100. \tag{ii.1.3}$$

Table ii.1.5 Operating points numerically investigated

Label	Dimensionless discharge Q/Q_{BEP} [%]	Operating point regime
PL4	68.23	part load
PL3	75.83	part load
PL2	85.41	part load
PL1	92.81	part load
BEP	100.00	best efficiency point
OL1	106.60	overload
OL2	113.40	overload

Every Francis turbine is equipped with three radial rows: stay vanes, guide vanes and runner. The Francis turbine guide vanes are only one designed to adjust the discharge. The guide vanes position at BEP is designed to ensure the stagnation point of the flow on the leading edge of runner blades. According to the classical design philosophy, Bovet (1961), Anton I (1979), Henry (1993) Radna Krishna (1997), Francis turbine operation at BEP implies an axial absolute flow at the runner outlet. That means, the absolute flow is designed without any tangential component at the inlet of the draft tube cone at BEP, Figure ii.1.13.

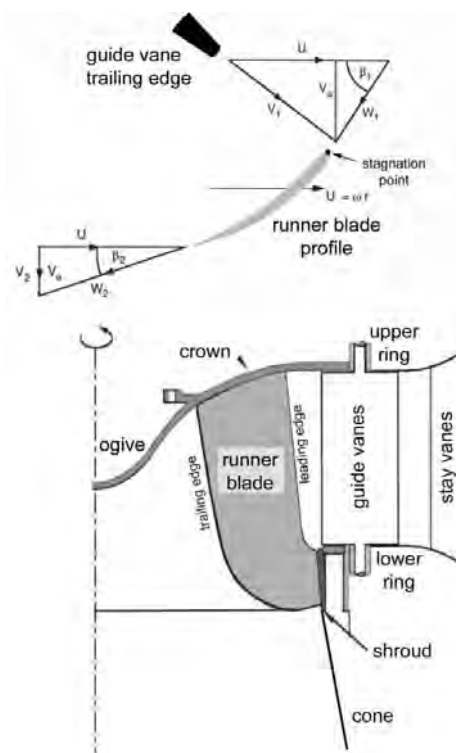


Figure ii.1.13 Francis turbine operating at BEP.

The distributor (stay vanes and guide vanes) computational domain shown in Figure ii.1.14 corresponds to an inter-blade channel, bounded upstream by a cylindrical patch and downstream by a conical patch. The distributor inlet section corresponds to the spiral casing outlet section, while the outlet section is conventionally considered to be the distributor-runner interface. Also, the runner computational domain presented in Figure ii.1.14 corresponds to an inter-blade channel bounded upstream by a conical patch (wrapped on the same conical surface as the distributor outlet) and extended downstream up to the draft tube inlet. The distributor computational domain from Figure ii.1.14 is discretized with approximately 750000 hexahedral cells. The distributor computational domain and its associated grid are generated for each

investigated operating point, with variable guide vane opening. The runner computational domain, due to its three-dimensional complex shape, is discretized using a mixed mesh. It is performed a mesh refinement study in order to assess the reliability and accuracy of the numerical results. Consequently, the difference of the dimensionless power shaft computed with respect to the experimental one at BEP is obtained. The numerical results are computed on three meshes: a coarse mesh of 383487 cells (2.6%), a medium mesh with 799480 cells (1.9%) and the fine one with 2254135 cells (1.7%), respectively. It is further used the medium grid which ensures an overall efficiency below 2% with respect to the measured shaft power.

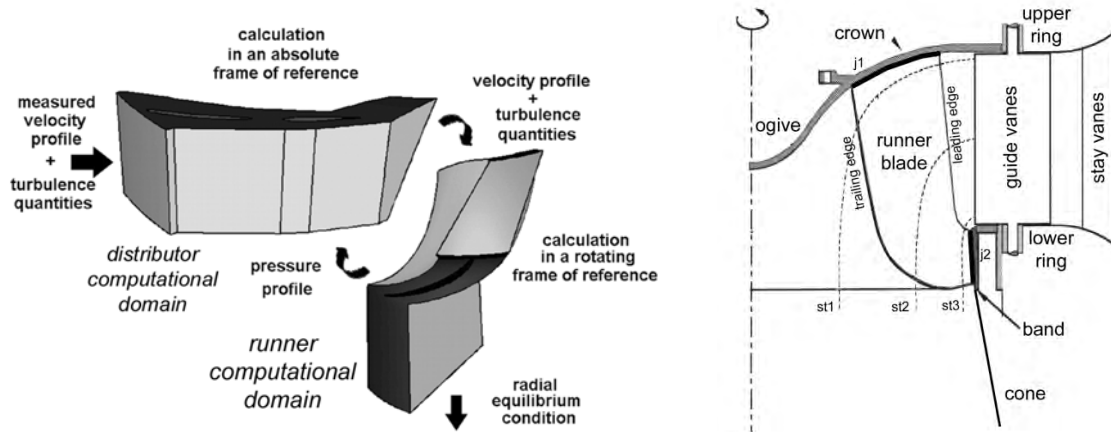


Figure ii.1.14. Mixing interface algorithm and a meridian section through the Francis turbine with three stream tubes: st1 near to crown, st2 in the middle and st3 near to the band.

A mixing interface technique for coupling the distributor and runner velocity and pressure fields as well as the turbulence quantities was used (see Figure ii.1.14). This approach performs a circumferential averaging of the velocity components and pressure, while the turbulence quantities on the distributor outlet are averaged on the whole section. This circumferential averaging technique was carefully chosen in order to preserve the velocities and pressure profiles on the mixing interface. Two additional requirements are satisfied by the mixing technique: the sharp velocity gradients near solid surfaces are correctly represented and the discharge is conserved during the iteration process, Muntean et al. (2004).

Developments in computer software and hardware made possible the computation of three-dimensional flows in turbomachines. A simplified simulation technique must be employed to obtain useful results for turbine analysis, using currently available computing resources. The *mixing interface technique* is used for coupling the distributor and runner velocity and pressure fields as well as the turbulence quantities. This approach performs a circumferential averaging of the velocity components and pressure field while the turbulence quantities on the distributor outlet. Since this approach performs a circumferential averaging, it is equivalent to the full mixing of the wakes (or any other circumferential non - uniformities). The method is designed to solve only for steady flows (absolute or relative), and therefore the partial time derivatives vanish. The absolute flow equations are the natural choice for the distributor, and for the runner it is convenient to use absolute velocity conditions at the runner inlet section. The iterative process employed for achieving continuity for both absolute velocity and pressure across the distributor-runner interface was presented by Muntean et al. (2004). Moreover, the numerical results computed with this technique were validated against experimental data measured on the Francis turbine model, Sottas and Ryhming (1993), Muntean et al. (2004), Muntean (2008). In order to compute the velocity and pressure fields a segregated solver is used using FLUENT (2006).

The boundary conditions imposed on the computational domains are as follows:

- (1) velocity field is prescribed on the inflow section for both distributor and runner domains. The velocity profile prescribed on the distributor inlet section corresponds to the spiral casing outlet, and the mixed velocity profile on the distributor outlet section is imposed on the runner inlet section using the mixing algorithm;
- (2) turbulence quantities (turbulence intensity and turbulence length scale) are prescribed on the inflow section for both distributor and runner domains. Also, the turbulence quantities are imposed on the runner inlet section on each iteration. It is well known, the Reynolds number gives a measure of the ratio of inertial forces to viscous one. The Reynolds number of 2.35×10^7 is associated to the actual Francis turbine operating at BEP. Consequently, the computation can be performed in order to evaluate with enough accuracy the blade loading for operating conditions near to BEP. However, the viscous effects became significant when the turbine operates far away to the best efficiency point where the flow separation is appeared. In this paper, the three-dimensional turbulent flow computation is performed for all investigated operating points using a $k-\varepsilon$ model. Therefore, a turbulence intensity of 2% and a turbulence length scale of 0.01 m are assumed on the distributor inlet section. These values are computed as follows:

$$l = 100 \sqrt{2k/3V_{sc}^2} \quad [\%] \quad (\text{ii.1.4})$$

and the turbulence length scale

$$l = C_{\mu}^{3/4} k^{3/2} / \varepsilon \quad [\text{m}] \quad (\text{ii.1.5})$$

over the spiral casing (sc) outlet section. From three-dimensional turbulent numerical simulation performed on the hydropower plant passage from penstock to the runner the following average values are obtained on the spiral casing outlet section in order to compute the turbulence quantities: the turbulent kinetic energy $k = 0.01718 \left[\text{m}^2/\text{s}^2 \right]$, the turbulent dissipation rate $\varepsilon = 0.03717 \left[\text{m}^2/\text{s}^3 \right]$, the mean velocity on the spiral casing outlet section $V_{sc} = 5 \left[\text{m/s} \right]$ and the empirical constant specified in the turbulence model $C_{\mu} = 0.09$.

- (3) pressure distribution is imposed on the distributor outlet section and an arbitrary (e.g. constant) outlet pressure distribution is used to initialize the iterative process. On the runner outlet section the radial equilibrium outlet condition is prescribed, assuming that in this section is no radial flow Muntean et al. (2004);
- (4) periodic conditions are imposed on the periodic boundary;
- (5) wall conditions are imposed on the stay vanes, guide vanes and runner blades, as well as on the distributor upper / lower rings and runner band and crown, respectively.
The distributor flow was computed using the inlet velocity profile and an arbitrary (e.g. constant) outlet pressure distribution. Next, iterations were performed as follows:
- (6) compute the runner flow, using the inlet velocity distribution obtained at the distributor outlet and the radial equilibrium outlet condition for outlet pressure;
- (7) compute the distributor flow, keeping the inlet velocity constant and using the outlet pressure distribution obtained at the runner inlet;
- (8) the stopping criterion considered in this procedure was that the pressure distribution on the distributor-runner interface remains practically unchanged from one iteration to another.

Moreover, the numerical results obtained with described methodology were validated against experimental data on the Francis turbine model, Muntean et al. (2004), Muntean (2008).

ii.1.7 Numerical results of the fluid flow in Francis turbine prototype

The turbine power shaft is computed based on fluid flow simulation using next equation

$$P = T\omega \quad [W] \quad (\text{ii.1.6})$$

where $\omega = 39.27 \text{ [rad/s]}$ is the angular speed and T is the runner torque computed as follows:

$$T = N \left(\int_S \left(\vec{r} \times \left(\vec{\tau} \cdot \vec{n} \right) \right) dS \right) \cdot \vec{i}_z \quad [Nm] \quad (\text{ii.1.7})$$

where $N=14$ is the runner blade number, S represents the surface comprising the rotating parts of the runner, $\vec{\tau}$ is the stress tensor (including the pressure and the viscosity stresses), \vec{n} is the unit vector normal to the surface, \vec{r} is the position vector and \vec{i}_z is the unit vector along to the axis of rotation.

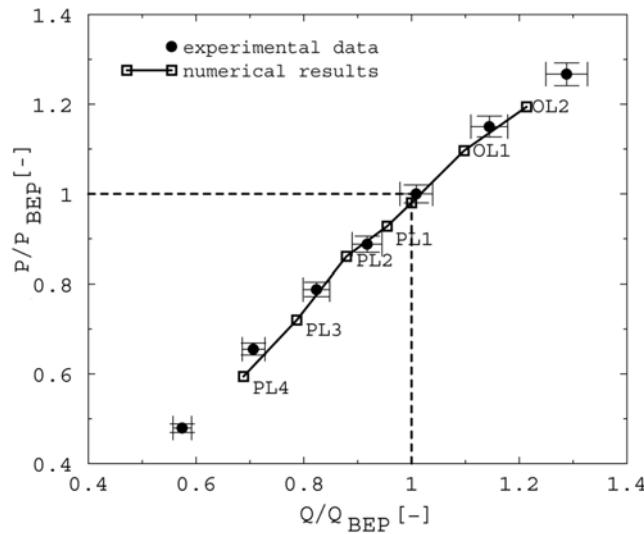


Figure ii.1.15 Validation numerical results against experimental data on the Francis turbine runner prototype.

The power of the turbine shaft computed for investigated operating points are plotted in Figure ii.1.15 against experimental data. One can see a good agreement between the power shaft values computed based on the fluid flow simulation (with line) and experimental data measured in situ (with points). As a result, it is performed a global validation of the blade loading further used for structural analysis. The numerical results obtained in the fluid flow analysis are presented below for two operating points, BEP and PL3, along to three streamlines (st1 near to the crown, st2 in the middle and st3 near to the band, see Figure ii.1.14).

The pressure coefficient is computed as follows

$$c_p = (p - p_{ref}) / (\rho E) \quad [-] \quad (\text{ii.1.8})$$

where p [Pa] is the static pressure, p_{ref} [Pa] is the reference static pressure on the cone wall, ρ [kg/m^3] ($\rho=1000 \text{ kg/m}^3$) is the water density and E [J/kg] is the specific energy.

Six operating points was selected in order to evaluate the behavior of the runner at off-design conditions. The operating points investigated in this paper are displaced at constant head ($\psi=1.12$) and variable discharge (see Table ii.1.5).

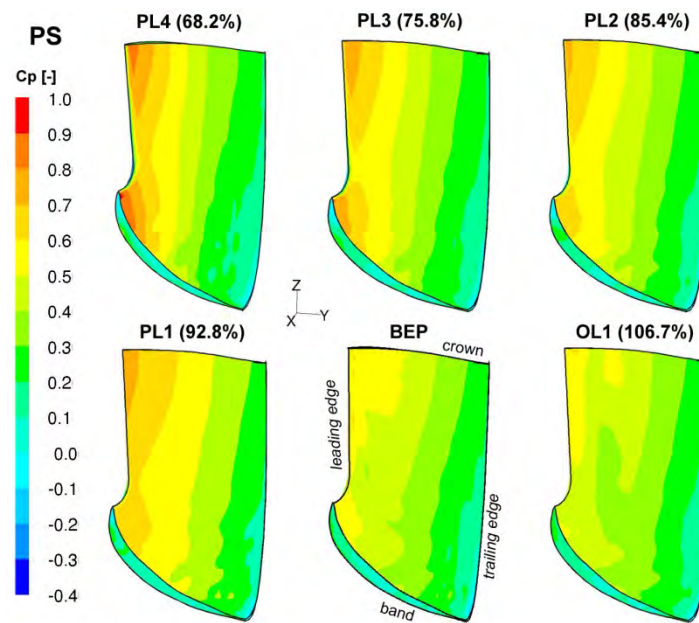


Figure ii.1.16 Pressure coefficient (c_p) map on the pressure side (PS) for investigated operating points. The red or orange stripes on the color maps show the regions with maximum pressure coefficient that means the flow impact on the blade.

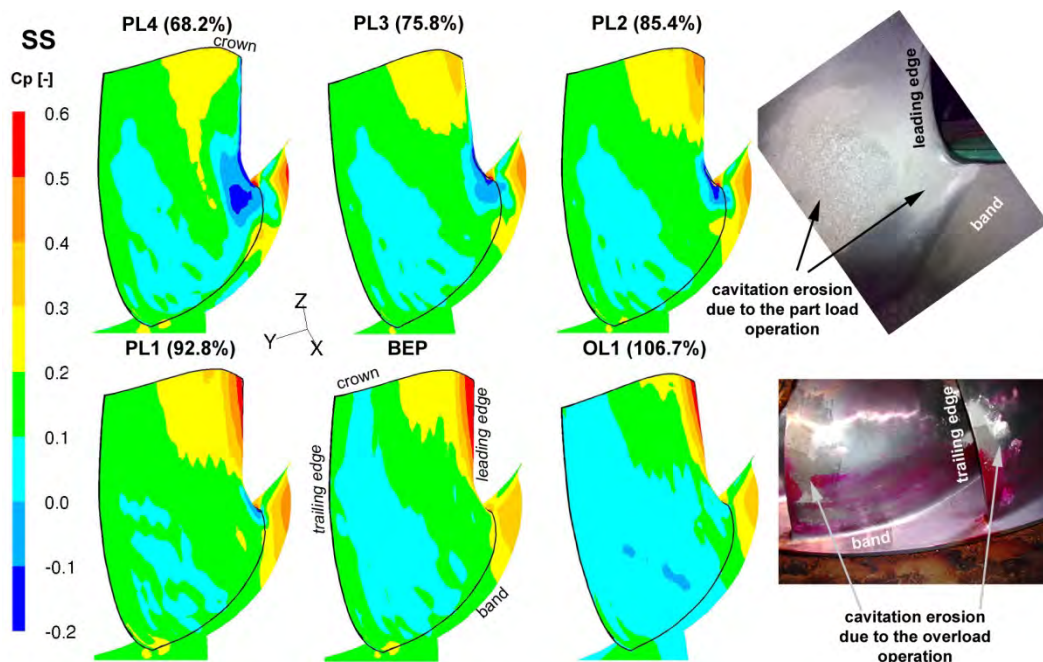


Figure ii.1.17 Pressure coefficient (c_p) map on the suction side (SS) for investigated operating points. The blue spots on the color maps show the regions minimum pressure coefficient that means maximum cavitation risk.

Figures iii.1.16 and iii.1.17 present the pressure coefficient (c_p) maps for the investigated operating points on the pressure side (PS) and suction side (SS), respectively. The red and orange stripes show the maxima values for pressure coefficient that means flow impact on the blade. When the Francis runner operates at larger relative discharge than 90% the flow impact appears on the suction side near to the crown on the blade. Consequently, the minimum values of pressure coefficient are obtained on the suction side near to band at the trailing edge. This observation is supported by cavitation erosion on the runner blade.

The pressure coefficient (c_p) distribution against the normalized blade surface length (s) is plotted in Figure ii.1.18 at BEP.

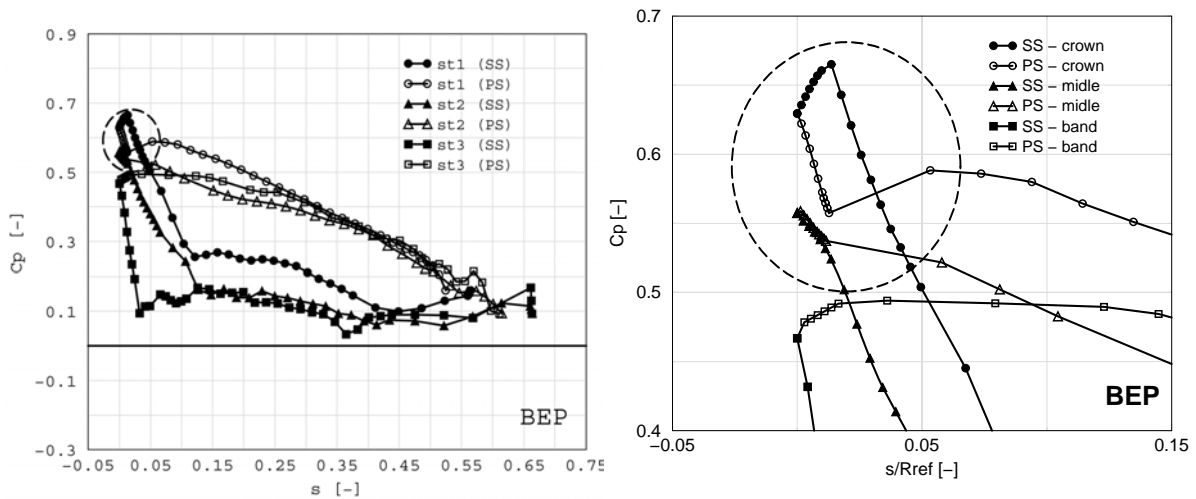


Figure ii.1.18 Distribution of pressure coefficient (c_p) at best efficiency point (BEP) along to the three streamlines displaced: st1 near to the crown (● SS, ○ PS), st2 in the middle (▲ SS, △ PS) and st3 near to the band (■ SS, □ PS).

The pressure coefficient distribution along to the three streamlines (near to the crown, middle and near to the band) at BEP is plotted in Figure ii.1.18. One can observe that the minimum pressure is obtained on the suction side (SS) near to the band. It is well known that this region is associated with cavitation risk. The pressure distribution near to the crown (solid line) presents an unwanted distribution, see Figure ii.1.18, due to the straight leading edge selected in the old design of the Francis runner. The flow impact is moved on the pressure side (PS) when the Francis runner operates at part load conditions. As a result, the minimum pressure coefficient c_p arises on suction side near the leading edge (LE) at the junction with the band. This statement is supported by pressure coefficient c_p distribution along to the streamline near to the band at part load operating point PL3, see Figure ii.1.19.

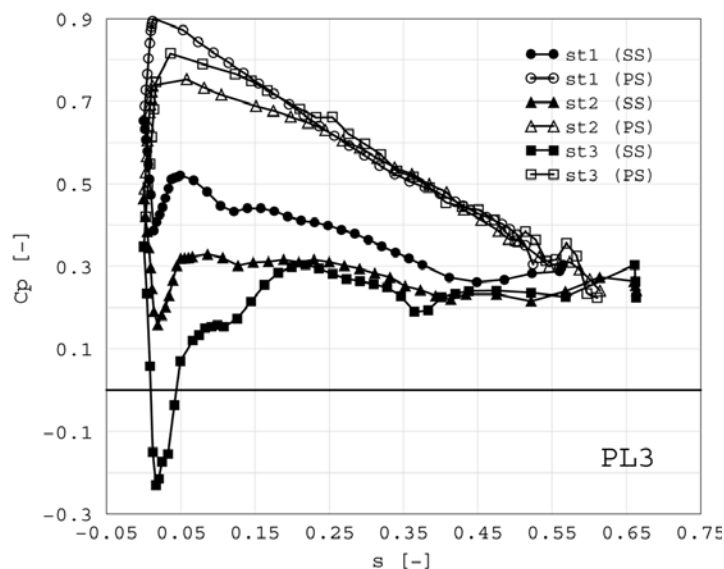


Figure ii.1.19 Distribution of pressure coefficient (c_p) at part load operation point PL3 along to the three streamlines displaced: st1 near to the crown (● SS, ○ PS), st2 in the middle (▲ SS, △ PS) and st3 near to the band (■ SS, □ PS).

The visualization of the pathlines near to the runner crown shows a small recirculation region, Figure ii.1.20.

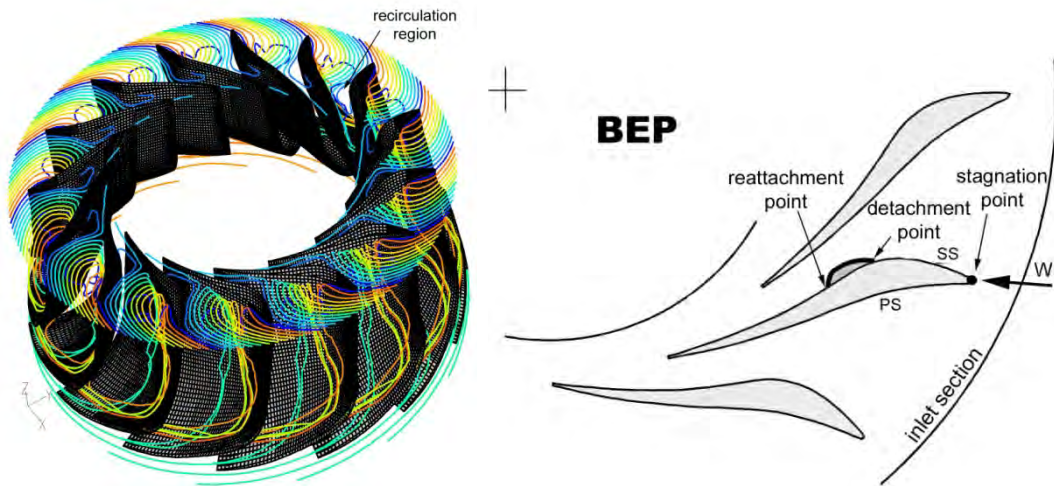


Figure ii.1.20 Visualization of the pathlines starting near to the runner crown operating at BEP and the stagnation point on the hydrofoil cascade near to the runner crown and the recirculation region.

The small recirculation region (marked with grey in Figure ii.1.20) appears on suction side of the runner blade due to the shape of hydrofoil near to the crown even if the stagnation point is near to the leading edge. The recirculation region is delimited by detachment and reattachment points.

The guide vane opening at full load is larger than the opening at BEP. In this case, the stagnation point is moved on the suction side of the runner blade, Figure ii.1.21. As a result, the detachment point is near to the leading edge generating an interblade vortex on the pressure side, Figure ii.1.21. The interblade vortex is developed near to the leading edge on the pressure side of the runner blade then it is carried along to the band in the draft tube cone.

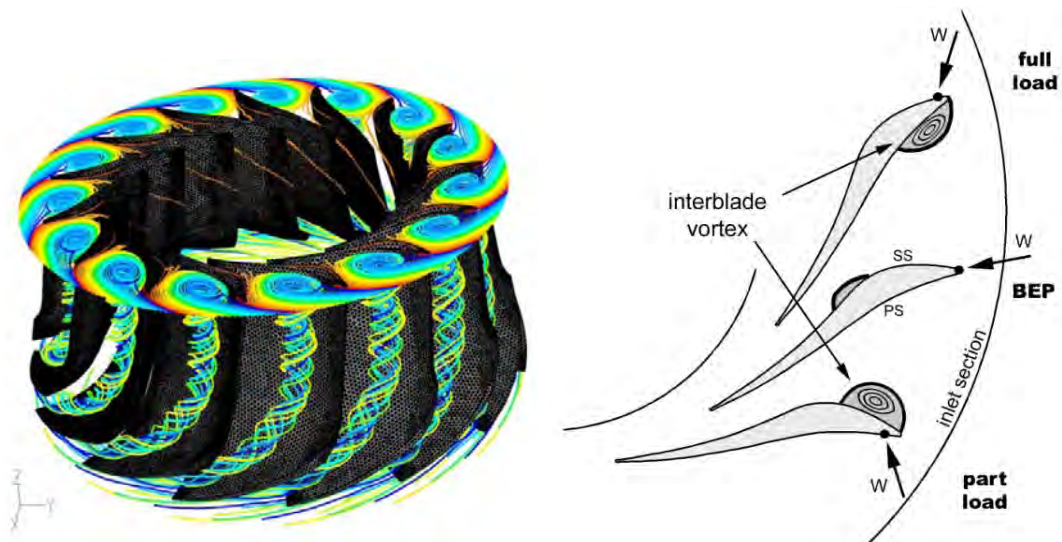


Figure ii.1.21 Visualization of the pathlines starting near to the runner crown operating at full load conditions and the stagnation point on the hydrofoil cascade near to the runner crown and the position of the interblade vortex.

The guide vane opening at part load is smaller than the opening at BEP. As a result, the stagnation point is displaced on the pressure side. The stagnation point is moving away from leading edge as long as the discharge decreases. Consequently, the interblade vortex is developed on the suction side of the runner blade, Figure ii.1.22, along to the leading edge being experimentally visualized by Yamamoto et al. (2015), Yamamoto et al. (2016). The interblade vortex is carried along to the band in the cone of draft tube. As a result, the flow is strongly disturbed inducing hydrodynamic and mechanical problems.

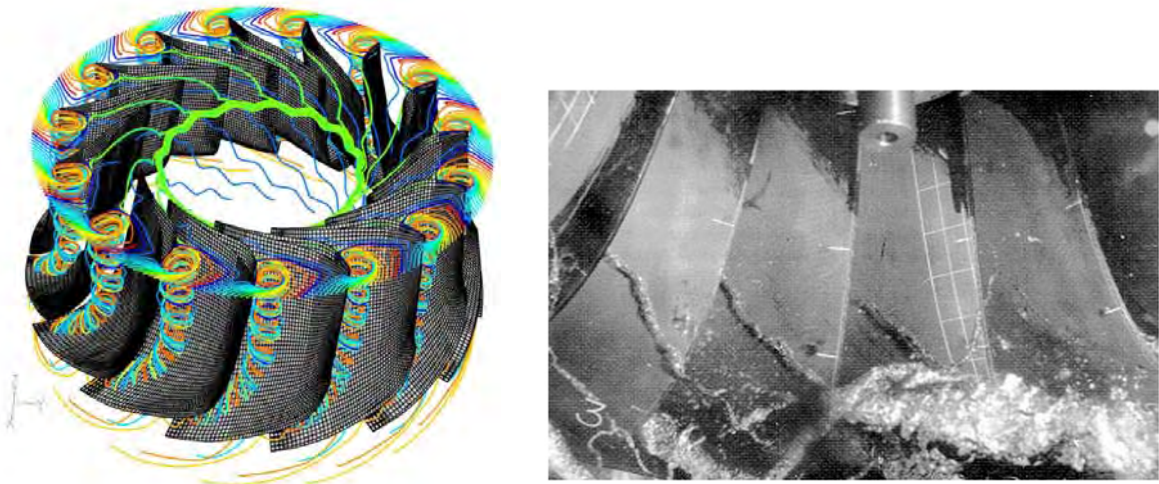


Figure ii.1.22 Visualization of the interblade vortex on the suction side of the runner blades at deep part load conditions and visualization of the interblade cavitation vortices in a Francis runner model, Avellan (2004).

One can conclude the investigated Francis runner is sensitive to off-design conditions due to the geometry of the blade. A new runner with improved hydrodynamic performances can be designed using novel philosophies and new tools in conjunction with the data available about operating conditions during the years. The interblade vortices were observed during the experimental investigations at low discharge values performed on Francis turbine model by Avellan (2004), Figure ii.1.22.

The hydrodynamic analysis of the old Francis runner with medium specific speed at best efficiency point as well as at off-design conditions lead to define the refurbishment strategy. These unwanted aspects will be avoided if the leading edge is leaned according to the flow from guide vane outlet. Moreover, the hydraulic efficiency can be increased up to 2% as well as the cavitation behavior will be improved. Also, the Francis runner can be sensitive to off-design conditions due to the geometry of the blade.

ii.1.8 Cavitating flows in Francis turbine runner

The cavitating behavior of the Francis turbines is evaluated in the design or analysis stage based on a liquid flow numerical simulation. In doing so, one identifies the regions with pressure smaller than the vaporization pressure, and estimates the cavity size and location. However, this approach does not take into account the influence of the cavity presence on the flow field. As a result, conclusions obtained from liquid flow simulation can be useful to evaluate the cavitation inception, or to estimate at most the early cavitation stages.

Computing two-phase cavitating flows is a challenge since the cavitating bubbles, or bubble clouds, have a very complicated dynamics, including inter-phase phenomena. Tracing the gas-liquid interface might be possible for a cavitation bubble, or for a configuration of several bubbles, but this approach is total impractical for industrial application. Moreover, the engineer does not need to know the flow evolution at this level of details. As a result, a local averaging procedure, that considers a homogeneous liquid-vapor mixture, is a reasonable approach as far as the computing time is concerned. It is presented such a method in Resiga et al. (2003), and the comparison with experimental data for simple axisymmetric cavitators is very good. Since it seems that the approach we have employed so far is suitable for stable attached cavitation, at least, we are using this methodology to investigate the cavitating flows in hydraulic Francis turbine.

The turbulent steady relative flow is first computed for a Francis turbine runner. The runner flow field is obtained by coupling the runner relative flow with the turbine distributor absolute flow through a mixing interface. The mixing algorithm averages the velocity and pressure fields in circumferential direction,

Muntean et al. (2004). As a result, there is no unsteady interaction between the guide vane wakes and runner blades, and the runner flow is steady.

Once a liquid steady relative flow is computed for the runner, the cavitating model is switched on. As a result, in regions where the pressure is smaller than the vaporization value a liquid-vapor mass flux is introduced, and the liquid is gradually turned into vapor. As a result, a steady cavity is formed and the liquid flow is locally altered. Moreover, the pressure field is significantly changed since the minimum pressure is increased (toward the vaporization pressure). The pressure field in the liquid phase is modified in the cavity neighborhood since the liquid practically flows around a body with a modified shape that includes the cavity.

ii.1.7.1 Cavitating flow in GAMM Francis turbine runner

The operating conditions are set to achieve a cavitation number

$$\sigma = \frac{P_{atm} - P_v - \rho_l g H_s}{\rho_l E} = 0.1 \quad (\text{ii.1.9})$$

with $p_{atm} = 96200 \text{ Pa}$, $p_v = 1285 \text{ Pa}$, $H_s = 9.06 \text{ m}$ and $E = 60.33 \text{ J/kg}$. Note that the suction head H_s is adjusted in the test rig by adjusting the tank pressure.

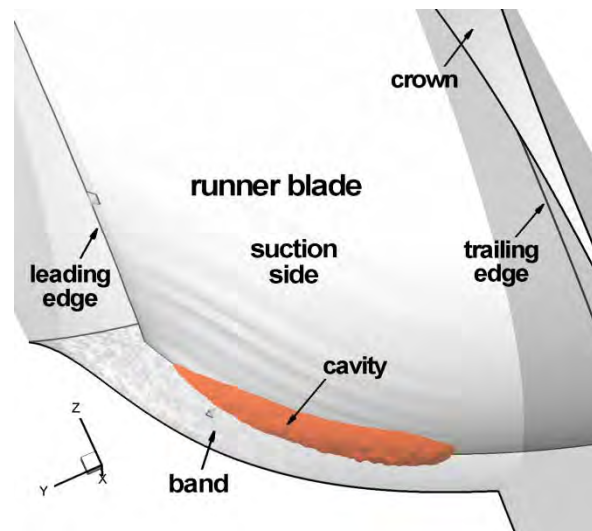


Figure ii.1.23 Cavity shape at $\sigma = 0.1$ presented as an iso-surface of $\alpha = 0.5$.

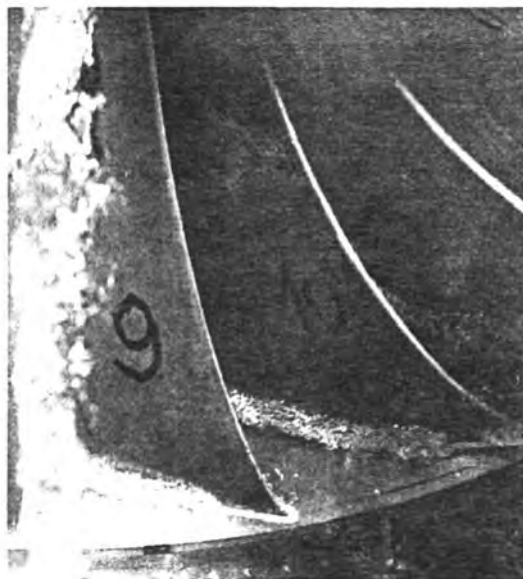


Figure ii.1.24. Cavitating flow visualisation for the GAMM Francis turbine, at $\sigma = 0.14$, Avellan et al (1993).

The pressure coefficient is computed using eq. (ii.1.8) where p_{ref} is the pressure measured on the draft tube cone wall in a reference section downstream the runner, corresponding to the draft tube inlet Avellan et al. (1993).

The iso-surface of $\alpha = 0.5$ is presented in Figure ii.1.23 in order to evaluate the 3D shape and extend of the cavity. Of course, this is only a qualitative assessment of the cavity boundary, as one may choose another iso-surface as the cavity boundary. Nevertheless, the position, shape and size of the cavity seem to be in good agreement with the cavitating flow visualisation in GAMM Francis turbine runner, Figure ii.1.24.

Note that the flow visualisation shows a travelling-cloud cavitation, where distinct bubbles can still be observed. Although the mixture model used here does not account for individual bubbles, the fact that α does not exceed 0.6 inside the cavity shows that there are no parts of the cavity completely filled with vapour.

The main advantage of directly computing the cavitating flows is that one can evaluate the influence of the cavity on the pressure distribution on the blade and further on the runner torque and turbine efficiency. Figure ii.1.25a shows the pressure distribution on the runner blade suction side, near the leading edge and at the blade-band junction, where no cavitation is presented (liquid flow). Several lines of constant c_p are shown. The cavitation inception would occur theoretically if c_p drops below.

$$c_p = \frac{p_v - p_{ref}}{\rho_l E_{ref}} = \frac{1285 - 7013}{1000 \cdot 58.43} = -0.098$$

Note that the above reference pressure $p_{ref} = 7013 \text{ Pa}$ corresponds to a gauge reference pressure of $p_{ref}|_{gauge} = -89187 \text{ Pa}$.

The pressure of cavitation significantly changes locally the pressure distribution on the blade suction side. One can see from Figure ii.1.25b that the lines of $c_p = -0.1$ and $c_p = -0.15$ significantly differ from those in Figure ii.1.25a. The small distance between the two lines at intersection with blade-band junction in Figure ii.1.25b corresponds to the relatively abrupt end of the cavity.

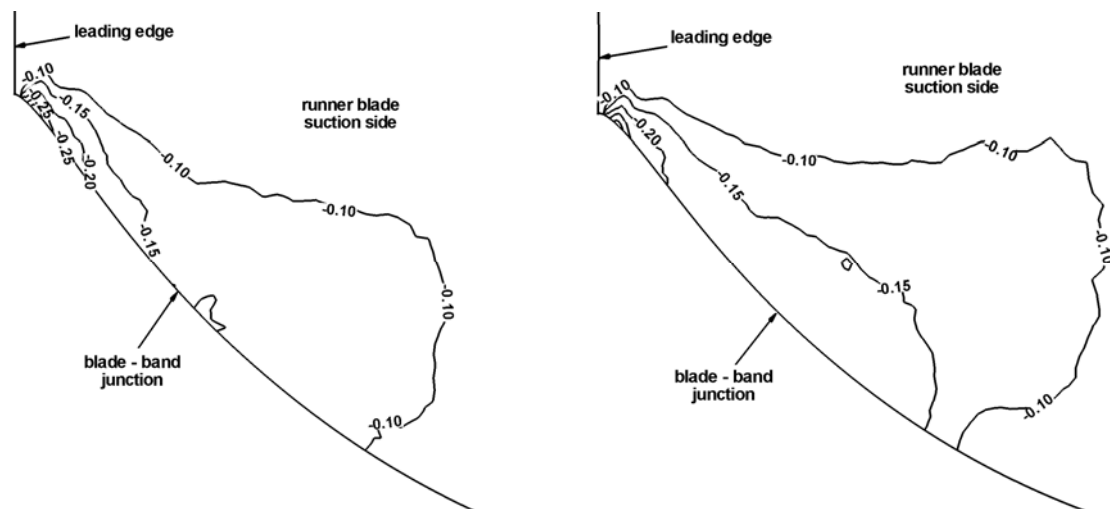


Figure ii.1.25 Detail of the pressure coefficient distribution on the runner blade suction side: a) flow without cavitation and b) cavitating flow with $\sigma = 0.1$

Measurements are available for c_p on the blade, on three selected sections. The section closest to the band is S15, Avellan et al. (1990), Sottas and Ryhming (1993). Although S15 barely reaches the cavity, one can see from Figure ii.1.26 that there are some small changes on the pressure induced by cavitation.

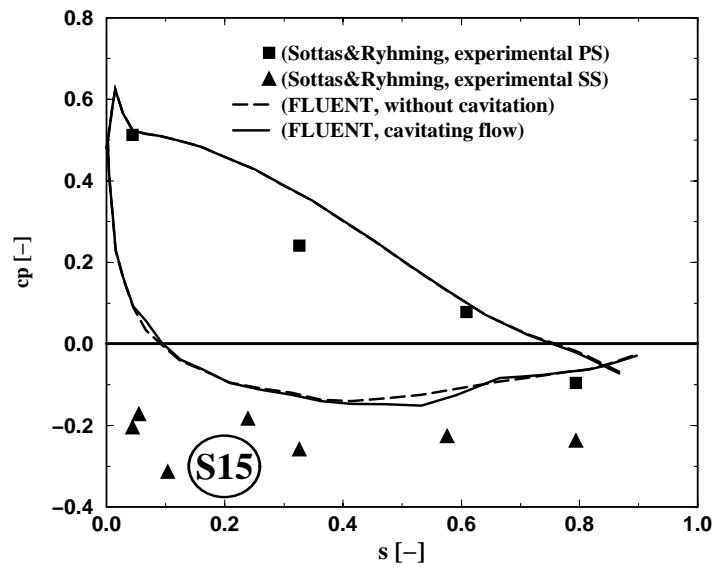


Figure ii.1.26 Pressure coefficient distribution on the suction S15 of the runner blade (near the band)

The disagreement between the measured values on the suction side of S15 and numerical results has been debated in several papers so far, but it seems that no conclusion has emerged yet. For $\sigma = 0.1$ the computed runner torque slightly increases from 374.3 Nm (liquid flow) to 375.6 Nm (cavitating flow). This is in good agreement with the turbine efficiency behaviour in the initial stage of the tolerated industrial cavitation regimes.

When the cavitation coefficient σ is further decreased, the cavity size (estimated as an iso-surface of vapour volume fraction $\alpha = 0.5$) increases. Figure ii.1.27a shows the cavity at $\sigma = 0.075$, and Figure ii.1.27b at $\sigma = 0.05$. Moreover, Figure ii.1.27 clearly shows the onset of the vortex rope on the crown.

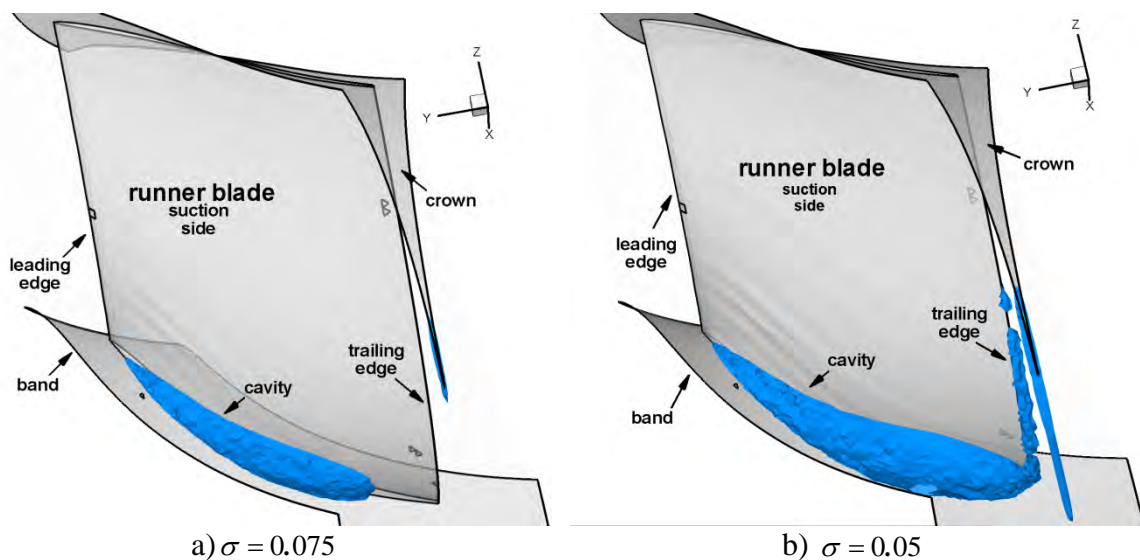


Figure ii.1.27 Cavity shape on the Francis runner at two values of cavitation coefficient presented as an iso-surface of $\alpha = 0.5$.

ii.1.9 New solutions for Francis turbine runner

Two new runners are designed taking into account the hydraulic passage available in the hydropower plant and the technical constrains imposed in service. The new runner named **V1** is designed together with Prof. Eberhard Goede using platform developed at Stuttgart University, Goede (2006). The second runner labeled **V2** is developed starting from the previous one. The existing Francis runner available in the hydropower plant has 14 blades (denoted **CHE** with red in Figure ii.1.28) and new runners (**V1** with blue and **V2** with

green in Figure ii.1.28) are designed with 17 blades. All solutions are investigated using three - dimensional fluid flow simulation using FLUENT (2006) in seven operating points (from 0.7 to 1.2 of best efficiency point discharge).

The normalized discharge and the dimensionless flux of moment of momentum quantities are defined according to eq (ii.1.10):

$$Q^* = \frac{Q}{Q_{ref}}, m_2 \equiv \frac{M_2}{\rho V_{ref}^3 \pi R_{ref}^2}, \tag{ii.1.10}$$

where Q is the turbine discharge, $Q_{ref} = 42.5 \text{ m}^3/\text{s}$ the discharge value corresponding to the best efficiency point, M_2 the flux of moment of momentum at the runner outlet, $R_{ref} = 1.1375 \text{ m}$ the runner outlet radius and the transport velocity $V_{ref} = \Omega R_{ref} = 44.67 \text{ m/s}$.

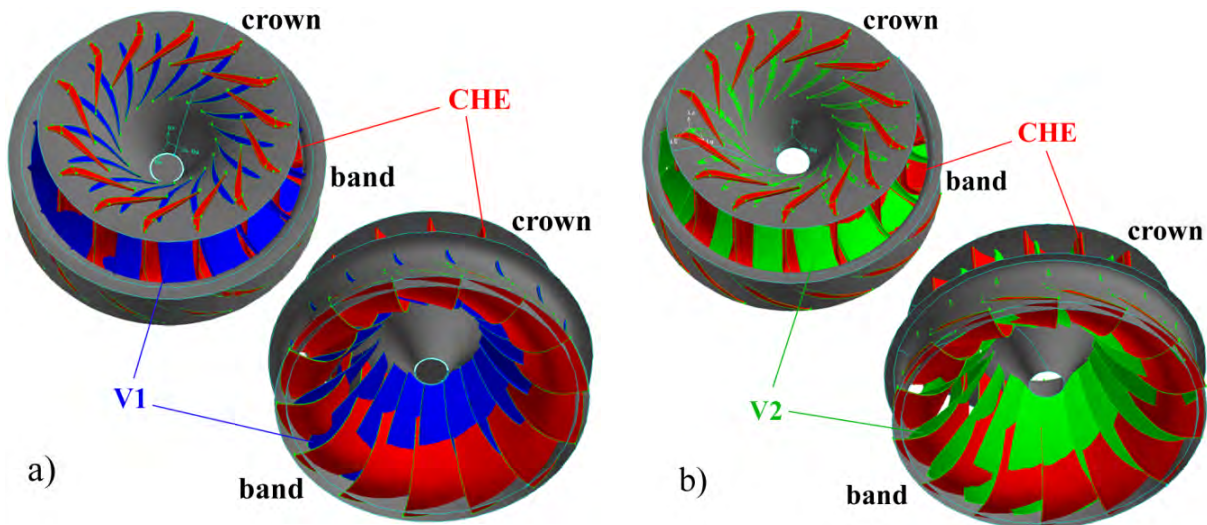


Figure ii.1.28 New runner geometries with 17 blades against old runner geometry with 14 blades available in the hydropower plant (red): **V1** (blue) and **V2** (green).

The dimensionless flux of moment of momentum at the runner outlet is computed based on numerical simulation flow and it is plotted in Figure ii.1.29a for each solution. One can observe smaller values for new runners than old one providing a lower level of the residual swirl that is ingested by the draft tube. The hydraulic efficiency over an extended operating range is shown in Figure ii.1.29b for each solution computing the runner flow together with draft tube available in the hydropower plant Susan-Resiga et al. (2012), Susan-Resiga et al. (2014), Ciocan et al. (2014).

A polynomial cubic fitting is applied to hydraulic efficiency (η_h^*) for each solution against normalized discharge (Q^*). The fitting parameters are included in Table ii.1.6. The main constrain corresponds to the maximum normalized discharge of $Q^* = 1.223$ due to the capacity of the tailrace tunnel. As a result, both new solutions are designed with best efficiency point location at lower discharge values than existing one.

Table ii.1.6 The hydraulic efficiency (η_h^*) versus normalized discharge (Q^*) for each solution

Polynomial cubic fitting equations	
CHE	$\eta_h^* = 1.73821Q^* - 0.69318Q^{*2} - 0.0725609Q^{*3}$
V1	$\eta_h^* = 2.68918Q^* - 2.33751Q^{*2} + 0.619441Q^{*3}$
V2	$\eta_h^* = 2.32431Q^* - 1.74060Q^{*2} + 0.389499Q^{*3}$

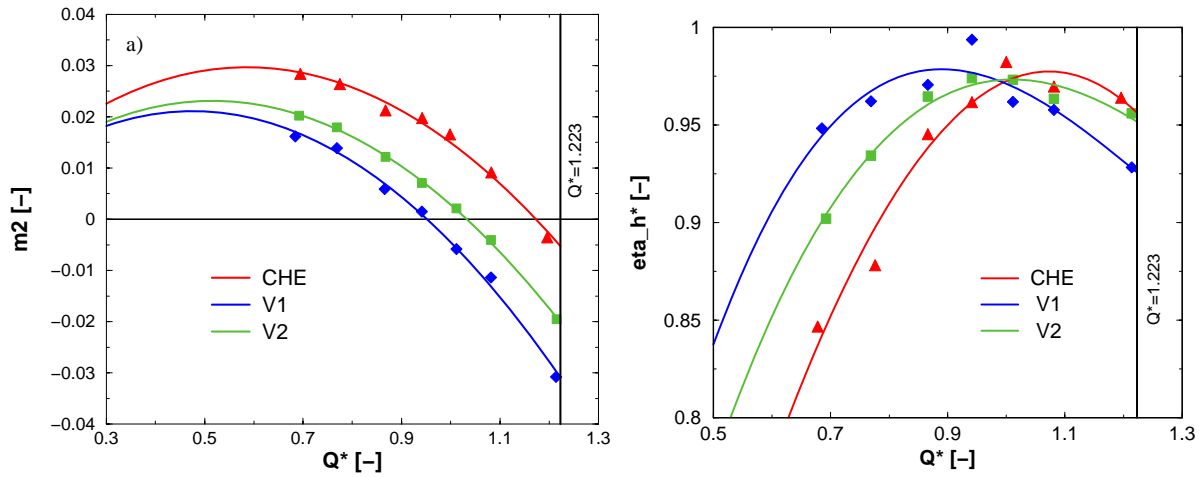


Figure ii.1.29 a) The dimensionless flux of moment of momentum (m_2) versus the normalized discharge (Q^*) and the hydraulic efficiency (η_h^*) versus the normalized discharge (Q^*).

The dimensional and dimensionless forms of the swirl-free velocity are defined in eq. (ii.1.11).

$$\frac{V_z}{\Omega R - V_\theta} = \tan \beta_2 = \frac{V_{sf}}{\Omega R} \Rightarrow V_{sf} = \frac{\Omega R V_z}{\Omega R - V_\theta}, \quad v_{sf} = \frac{r v_z}{r - v_\theta}. \quad (ii.1.11)$$

The swirl-free velocity expresses the relative flow direction β_2 at the runner outlet, Figure ii.1.30a. It is a fictitious quantity associated with the velocity field. Locally, where the meridian velocity matches the swirl-free velocity the circumferential velocity vanishes. The swirl-free velocity profile practically remains unchanged at all operating points being unique for each runner Susan-Resiga et al. (2011), Ciocan et al (2016), Muntean et al. (2016). One can approximate the swirl-free velocity with a linear equation $v_{sf} = n + mq$ where q is the discharge fraction. The m coefficient is named *slope* while n is *average*, respectively. The pair ($m=0, n=0.278$) is obtained based on numerical simulations for Francis runner available in the hydropower plant as shown in Figure ii.1.30b. The same values are yielded based on the experimental data of the GAMM Francis model by Ciocan et al (2016). A constant swirl-free velocity profile corresponds to the classical design of the runner blades.

Both new runners are yielded with negative slope values ($m < 0$). As a result, both maxima of the hydraulic efficiency curves associated to the new runners are shifted toward lower discharge values than the solution available in the power plant, Figure ii.1.30b. This aspect was planned in the design stage due to the maximum discharge value imposed by the capacity of the tailrace tunnel.

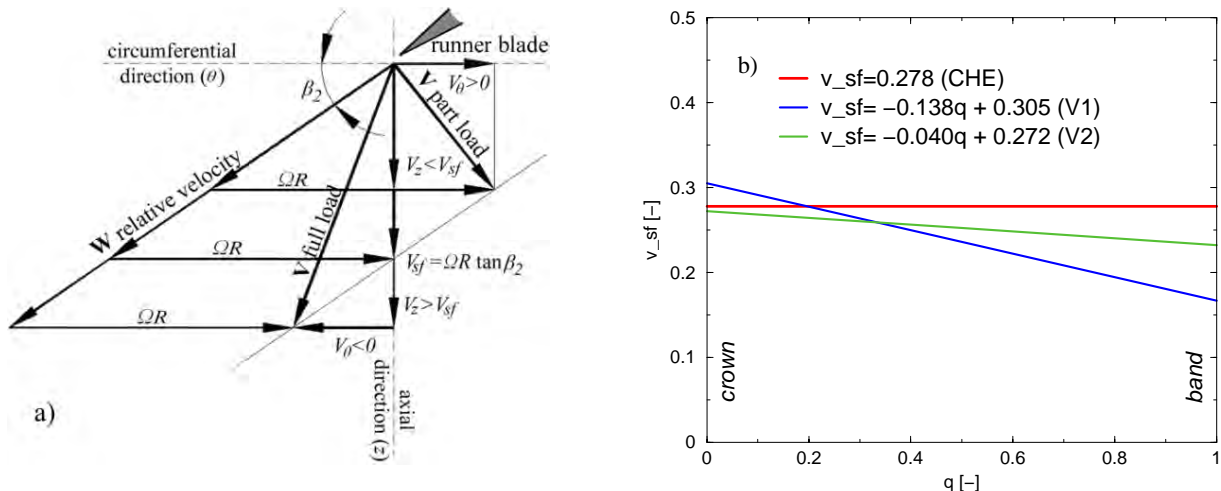


Figure ii.1.30 a) Swirl-free velocity definition. b) Swirl-free velocity profile for each runner

ii.1.10 Scenarios for refurbishment a Francis turbine

The weighted efficiency is defined according to eq. (ii.1.12) in order to quantify the solution efficiency over a wide range Susan-Resiga et al. (2015).

$$\eta^* = \sum_{i=R1}^{R5} w_i (\eta_h^*)_i \quad \text{(ii.1.12)}$$

where w is the weights associated to each operating regime and η_h^* the hydraulic efficiency, respectively. The efficiency gain is introduced by eq. (ii.1.13) in order to quantify the deviation from existing solution. A new solution is better suited to the conditions than one installed into the hydropower plant when a positive value is obtained:

$$\Delta\eta_{vi}^* = \frac{(\eta_{vi}^* - \eta_{CHE}^*)}{\eta_{CHE}^*} \times 100 \text{ [%]}, \quad i = 1, 2 \quad \text{(ii.1.13)}$$

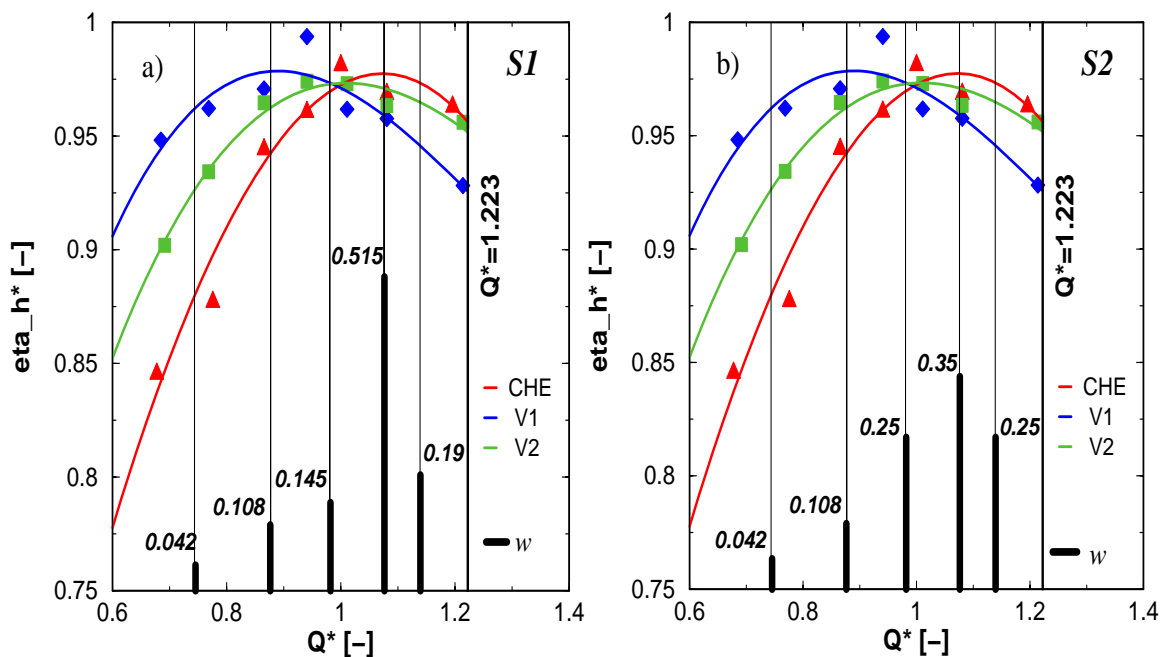


Figure ii.1.31 The hydraulic efficiency (η_h^*) versus the normalized discharge (Q^*) and the weights associated to two scenarios: a) Scenario no. 1 ($S1$) and b) Scenario no. 2 ($S2$).

A first scenario labeled $S1$ is built considering all technical solutions (old runner and new ones) in peak load operation, Figure ii.1.31. In this scenario, the weights presented in Table ii.1.7 correspond to the regimes from $R1$ to $R5$ as in Figure ii.1.3. The efficiency gain values for both new solutions are negative meaning a more appropriate operation for existing solution in these conditions.

Other three scenarios are investigated for all three Francis runners considering different operating conditions from peak load to wide range. The hydropower plant operating conditions are modified based on distribution of weighted values associated to control operating regimes denoted R_i , $i=1, 2, \dots, 5$. As a result, the second scenario $S2$ keeps the same weights for regimes $R1$ and $R2$ as in scenario $S1$ while the weights from regime $R4$ to regimes $R3$ and $R5$ are balanced as in Figure ii.1.31. One can observe a redistribution of the weights as follow: $w_{R3} = w_{R5} = 25\%$ and $w_{R4} = 35\%$, respectively. The scenario $S2$ corresponds to a peak load operation, too. However, the efficiency gain for second solution $V2$ becomes positive ($\Delta\eta^* = +0.06\%$) leading to the conclusion that $V2$ solution is an option for existing one.

Table ii.1.7 The hydraulic efficiency for each solution and the efficiency gain with respect to the existing one in Scenario no. 1 (*SI*).

Scenario no. 1 (<i>SI</i>)	Weight w_i [%]	CHE	V1	V2
Regime 1 (R1)	4.2	0.880	0.962	0.926
Regime 2 (R2)	10.8	0.942	0.978	0.962
Regime 3 (R3)	14.5	0.970	0.973	0.973
Regime 4 (R4)	51.5	0.977	0.959	0.971
Regime 5 (R5)	19.0	0.973	0.946	0.965
η^* [-]		0.968	0.961	0.967
$\Delta\eta^*$ [%]			-0.71	-0.03

Table ii.1.8 The weighted hydraulic efficiency for each solution and the efficiency gain with respect to the existing one in Scenario no. 2 (*S2*).

Scenario no. 2 (<i>S2</i>)	CHE	V1	V2
η^* [-]	0.966	0.961	0.967
$\Delta\eta^*$ [%]		-0.52	0.06

The weights in Scenario no. 3 (*S3*) are redistributed between all regimes ($w_{R1}=14\%$, $w_{R2}=17\%$, $w_{R3}=22\%$, $w_{R4}=25\%$), Figure ii.1.32. In this scenario, the operation time of the Francis turbine at part load conditions ($w_{R1}+w_{R2}=31\%$) is larger than twice with respect to the scenario *SI* ($w_{R1}+w_{R2}=15\%$). Both new solutions (**V1** and **V2**) lead to positive values of efficiency gain being more appropriate to be selected for these operating conditions.

Table ii.1.9 The weighted hydraulic efficiency for each solution and the efficiency gain with respect to the existing one in Scenario no. 3 (*S3*).

Scenario no. 3 (<i>S3</i>)	CHE	V1	V2
η^* [-]	0.955	0.963	0.962
$\Delta\eta^*$ [%]		0.82	0.75

The last scenario (*S4*) takes into account a hypothetical case with equal weights for all regimes ($w_i=20\%$ with $i=R1\dots R5$), Figure ii.1.32. This scenario corresponds to an operation of the Francis turbine on an extended range. Clearly, both new solutions are more appropriate to be implemented for wide range operation than the existing one in the hydropower plant. However, the solution **V1** fulfills better the operating conditions associated to an extended operating range.

Table ii.1.10 The weighted hydraulic efficiency for each solution and the efficiency gain with respect to the existing one in Scenario no. 4 (*S4*).

Scenario no. 4 (<i>S4</i>)	CHE	V1	V2
η^* [-]	0.948	0.964	0.959
$\Delta\eta^*$ [%]		1.61	1.16

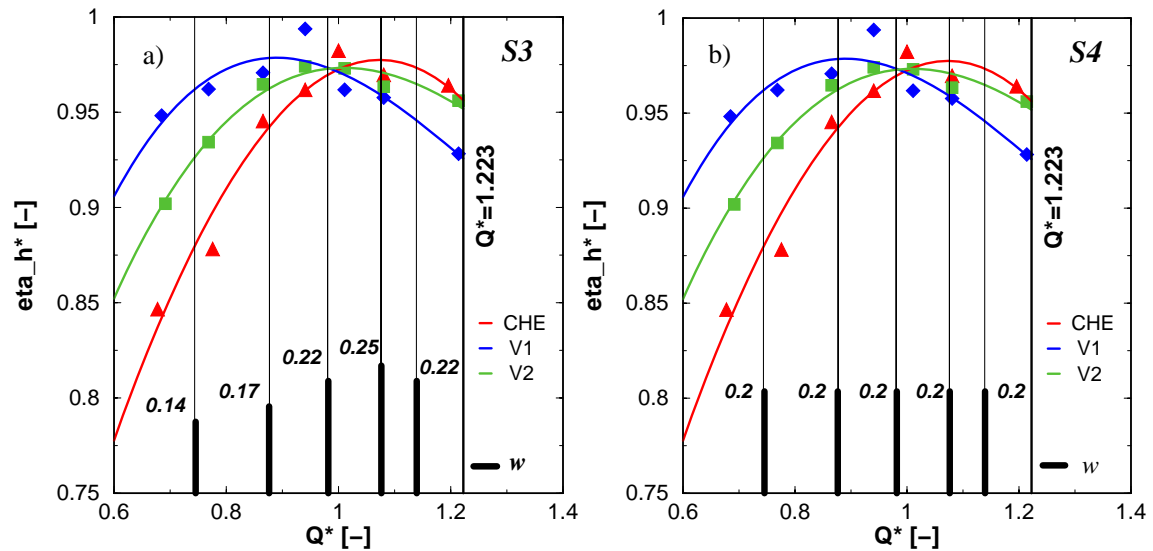


Figure ii.1.32. The hydraulic efficiency (η_h^*) versus the normalized discharge (Q^*) and the weights associated to two scenarios: a) Scenario no. 3 (*S3*) and b) Scenario no. 4 (*S4*).

ii.1.11 Optimization of the swirling flow at the inlet of a Francis turbine draft tube

The changing demand on the energy market, as well as the limited energy storage capabilities, require improved flexibility in operating hydraulic turbines for regulating the electrical grid. As a result, turbines tend to be operated over an extended range of regimes and maximizing the weighted-average efficiency increasingly becomes more important than simply improving the peak efficiency. In particular, for low or medium head Francis hydraulic turbines the shape of the efficiency hill chart is practically given by the steep increase in the draft tube losses at off-design operating points, Vu and Retieb (2002). The main reason why the efficiency of a turbine significantly drops when operating far from the best operating regime is that the inherent residual swirl at runner outlet leads to large draft tube losses. Although this phenomenon cannot be avoided, one can adjust the runner outlet geometry such that a weighted-average draft tube loss becomes as low as possible over a certain range of operating points.

When refurbishing a hydraulic turbine the draft tube remains unmodified due to economical reasons. As a result, the new runner should be the best match for the existing draft tube within a wide operating range. In order to achieve this goal, there are two main approaches: (i) several runner geometries are developed using classical design methodologies then the overall turbine efficiency is determined and compared; and (ii) first optimize the swirling flow at the draft tube inlet corresponding to a set of turbine operating points, then design the runner blades accordingly. The second approach can be straightforwardly used for optimizing the swirl flow ingested by the draft tube at the design operating point Galván et al. (2013a, 2013b). However, there is a distinct need for optimizing the swirling flow at the draft tube inlet over multiple operating regimes Lyutov, et al. (2015). Instead of actually computing the coupled runner – draft tube at each operating point, the approach introduced in this paper employs a surrogate runner model that provides swirling flows at the draft tube inlet consistent with the turbine operation with variable discharge, thus requiring simulation of the three-dimensional turbulent flow only in the draft tube.

In recent years, mature computational fluid dynamics (CFD) expert codes and optimization algorithms have been extensively used to evaluate the performance of industrial flows, with repetitive evaluations performed in optimization procedures. Part of the research on turbomachinery optimization has been focused on turbomachinery components like compressor and impeller blades Yiu and Zangeneh (2000), Oyama et al. (2002), Fan (1998). With respect to the hydraulic turbine runner Derakhshan and Mostafavi (2011) and

Lipej and Poloni (2000) used a global optimization method based on artificial neural networks (ANN) and genetic algorithms (GA) coupled with 3D Navier-Stokes flow solver to improve the performance of an initial geometry of a Francis and Kaplan runners, respectively. Pen et al. (2002) combined a multi-variable and multi-objective constrained optimization method with an improved three dimensional inverse computational model of performance for the Kaplan runner. Singh and Nestmann (2010) investigated the effects of exit blade geometry on the part-load performance of low-head, axial flow propeller turbines and concluded that the effects of exit tip modification are significant. Singh and Nestmann (2011) investigated the influence of design parameters in low head axial flow turbines like blade profiles, blade height and blade number for micro-hydro application and concluded that the influence of blade number is more dominating compared to that of the blade height and that choice of blade number should be carefully made. Goede, Ruprecht and Lippold (2006) investigated the draft tube hydrodynamics at part load operation of a turbine with fixed runner blades, such as Francis or propeller turbines, in order to find a correlation between the inlet conditions and the draft tube vortex flow structure. Wu et al. (2007) developed a CFD-based design optimization system that integrates internally blade design tools, automatic mesh generator, parameterized mathematical geometry models, and inviscid quasi-3D CFD codes that enables a quick and efficient design optimization of turbine components. Marjavaara and Lundström (2005) have demonstrated the potential of an in-house procedure to automatically optimize new or existing parts of the waterways in a hydropower plant. Eisinger and Ruprecht (2001) and Lindgren (2002) used the flow information obtained from CFD prediction and detailed measurements to systematically improve in the geometry design.

It can be seen that none of these approaches directly address the turbine performance in term of velocity profiles at the draft tube inlet. A first step in this direction was performed by Galván (2007), who proposed an optimization methodology for maximizing the draft tube performance as a function of the inlet velocity profile. In their work Galván et al. (2013a, 2013b) adapted the three vortex analytical model introduced by Susan-Resiga et al. (2006) to the swirling flow generated by a Kaplan turbine runner, in order to account for the near wall axial velocity increase at the draft tube entrance. Although, this model is quite accurate, it is mainly suitable for a-posteriori analysis due to a relatively large number of parameters. Additionally, it cannot capture the quasi-stagnant central region developed at low discharge regimes. Such analytical model is rather difficult to use in an optimization procedure due to the relatively large number of parameters.

Motivated by these conclusions, Susan-Resiga et al. (2011) developed a new methodology for computing the swirling flow at the draft tube inlet, within a large operating range, prior to the runner design. This methodology is further developed in this paper and used as a runner surrogate model for finding the best runner outlet geometry that provides an optimized runner-draft tube match.

The main objectives can be summarized as follows. First, the methodology is focused on the runner outlet flow, or more precisely on the relative flow angle instead of designing and computing the full runner. This kinematic parameter is expressed via a novel fictitious quantity called the “swirl-free velocity”, which is intuitive and it lends itself to a two-parameter representation. Second, it is introduced a variational formulation for the differential equation that governs the kinematically constrained swirling flow. This is the main ingredient for the runner surrogate model and it allows the development of a central quasi-stagnant region for low turbine discharge, in agreement with experimental and numerical evidence Susan-Resiga et al. (2009). Third, it is defined an objective function corresponding to weighted average draft tube losses. The weights and the operating points (e.g., various discharge values at a constant head) are chosen for each power-plant according to specific operation requirements. This objective function depends on the parameters chosen for the swirl-free velocity profile, thus completing the optimization problem. The main advantage of this methodology is that the runner outlet can be optimized prior to runner blade design. Moreover, the

optimization accounts for a set of operating points, instead of the traditional choice of one design point. In the end, designing the runner with the above optimum outlet specifications will guarantee the turbine performances, with given draft tube, within a specified operating range, as generally required for modern turbines design or refurbishment.

ii.1.10.1 Mathematical modelling of the swirling flow at the inlet of a Francis turbine draft tube

The first step in the optimization approach implies a parametric representation of the velocity profiles at the draft tube inlet. It is well known, that the complexity of an optimization problem increases exponentially with respect to the numbers of parameters. Therefore, the velocity profile of the swirling flow delivered by the runner blades and further ingested by the draft tube should be represented with a minimum number of relevant parameters in order to achieve a robust and effective optimization procedure. On the other hand, the representation of the velocity profiles with minimum number of parameters has to capture the main features of the swirling flow within the full operating range.

To reach these ambitious goals one must find a method with a good compromise between the necessary accuracy for the complex velocity field approximation and the number of parameters used for its description.

Basic turbine operation model

According to the hill chart of a hydraulic turbine, the operating regimes are defined by the discharge Q and the head H , i.e. by the pair (Q, H) . In order to describe the turbine operation for variable regimes we consider two representative cross-sections as shown in Figure ii.1.33: S_1 is a section upstream the runner and S_2 is a section downstream the runner and normal to the machine axis.

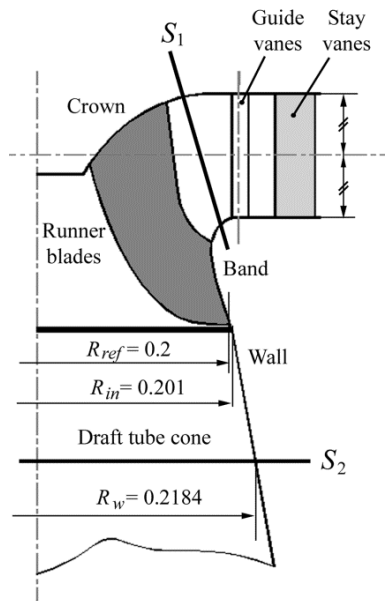


Figure ii.1.33 Meridian cross-section of the GAMM Francis turbine model.

The analysis of the hydraulic turbine operation at variable regimes begins with Euler’s fundamental equation of turbomachines, written for the two representative cross-sections S_1 and S_2 , as follows:

$$\eta(\rho Q)(gH) = \underbrace{\int_{S_1} (\Omega R V_\theta)_r \rho V_r dS_1}_{M_1} - \underbrace{\int_{S_2} (\Omega R V_\theta)_z \rho V_z dS_2}_{M_2} \quad (ii.1.14)$$

where Q is the turbine discharge, H is the turbine head, ρ is the liquid density, g is the gravity acceleration, η is the hydraulic efficiency, Ω is the runner angular speed, R is the radius, V_i is the velocity with axial ($i=z$), radial ($i=r$) and circumferential ($i=\theta$) components, and M is the flux of moment of momentum. The indices 1 and 2 denote sections upstream and downstream the runner.

The left-hand side of eq. (ii.1.14) corresponds to the hydraulic power written as a product between the mass flow rate ρQ and the specific energy gH , multiplied by the hydraulic efficiency η . The right-hand side of eq. (ii.1.14) represents the decrease in the flux of moment of momentum within the turbine runner, as the difference between the flux of moment of momentum upstream, M_1 , and downstream, M_2 , the runner, respectively.

Eq. (ii.1.14) can be rewritten in a simple dimensionless form:

$$\eta q \frac{h}{2} \equiv m_1 - m_2 \quad (\text{ii.1.15})$$

by introducing the following parameters:

$$q \equiv \frac{Q}{\pi R_{ref}^2 V_{ref}}, \quad (\text{ii.1.16a})$$

$$h \equiv \frac{2gH}{V_{ref}^2}, \quad (\text{ii.1.16b})$$

$$m \equiv \frac{M}{\rho V_{ref}^3 \pi R_{ref}^2}, \quad (\text{ii.1.16c})$$

where q is the discharge coefficient, h is the head (energy) coefficient and m is the flux of moment of momentum coefficient, respectively. The reference quantities for the turbine model examined in this paper correspond to the runner outlet radius $R_{ref} = 0.2$ m and the transport velocity $V_{ref} = \Omega R_{ref} = 10.472$ m/s.

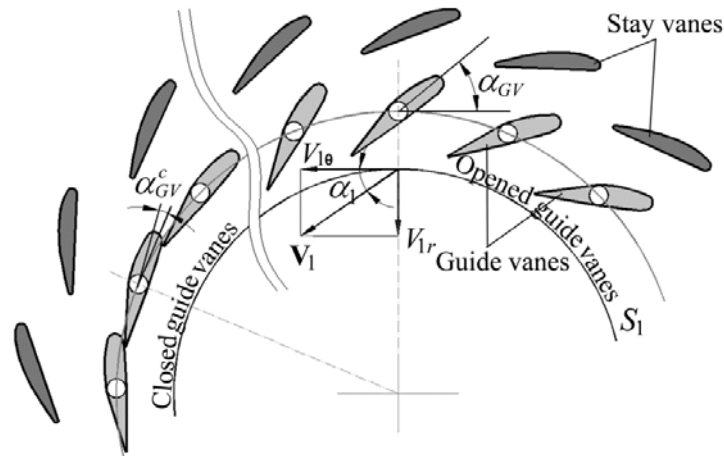


Figure ii.1.34 Flow parameters through the Francis turbine distributor (stay vanes and guide vanes).

Since the present simplified model assumes an inviscid flow there are no hydraulic losses, and thus $\eta=1$. One can easily notice in eq. (ii.1.15) that the flow at draft tube inlet is characterized by two integral quantities, q and m_2 . However, these two integral quantities are not independent for a hydraulic turbine operation. The discharge is regulated during the turbine operation by opening or closing the guide vanes, Figure ii.1.34. Therefore, a function $m_2(q)$ consistent with the Francis operation has to be found. First, the dependence $m_1(q)$ is built to describe the turbine distributor (stay vanes and guide vanes) operation then the $m_2(q)$ follows from eq. (ii.1.15).

A reference operating point (e.g. the best efficiency point for an existing runner, or the full-load operating point for new turbines) further denoted by the pair (q^*, h^*) is considered, assuming α_1^* and m_1^* as

known quantities. α_1 is the average absolute flow angle downstream the guide vanes, as shown in Figure ii.1.34.

Then $m_1(q)$ is estimated by solving a set of two algebraic equations introduced by Susan-Resiga et al. (2011):

$$\frac{q}{q^*} = \frac{\sin(\alpha_1)}{\sin(\alpha_1^*)} \tag{ii.1.17a}$$

$$\frac{m_1}{m_1^*} = \frac{\sin(2\alpha_1)}{\sin(2\alpha_1^*)} \tag{ii.1.17b}$$

This simplified model assumes that the turbine discharge coefficient q is proportional to the square root of the head coefficient \sqrt{h} as well as to the sine of the absolute flow angle, $\sin(\alpha_1)$. In order to check this assumption, it is shown in Figure ii.1.35a the lines $h(q)$ for various guide vane opening, measured for the Gesellschaft für Angewandte Mathematik und Mechanik (GAMM) Francis turbine model, Avellan et al. (1990), Sottas and Ryhming (1993). The experimental data can be quite well approximated with parabola $h(q) = c(\alpha_1)q^2$. As a result, for a constant head the discharge will change with the flow angle α_1 as $q \sim c(\alpha_1)^{-1}$. On the other hand, the kinematical considerations lead to the relationship $q \sim \sin(\alpha_1)$. Consequently, our hypothesis is appropriate if $c(\alpha_1)^{-1} \sim \sin(\alpha_1)$. This proportionality is very well confirmed in Figure ii.1.35b, where the regression line $c(\alpha_1)^{-1} = 0.5456\sin(\alpha_1)$ fits quite well the data for all five operating points.

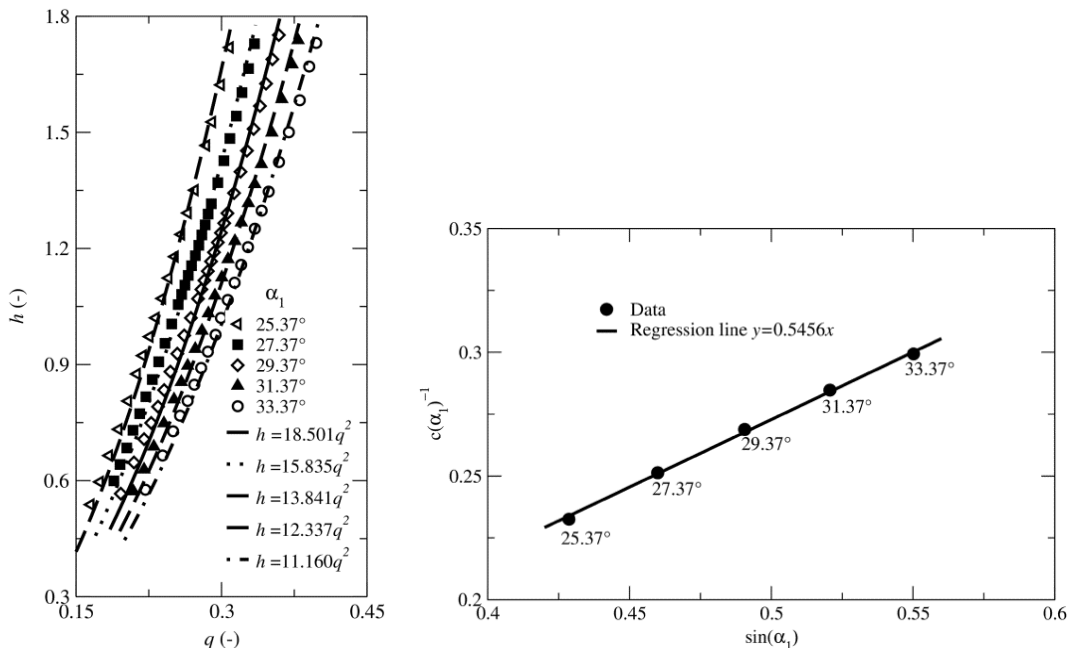


Figure ii.1.35 Head coefficient versus discharge coefficient for various guide vane opening with associated absolute flow angle α_1 for the GAMM Francis turbine model and regression fit for $c(\alpha_1)^{-1}$ versus $\sin(\alpha_1)$ at a constant head.

Once $m_1(q)$ is known, one can compute $m_2(q)$ from eq. (ii.1.15). The dimensionless flux of moment of momentum upstream ($m_1(q)$, solid line) and downstream ($m_2(q)$, dashed line), for the GAMM runner are shown in Figure ii.1.36. The experimental data for three operating points at part-load (PL) Gros et al. (1998),

best efficiency (BEP) Avellan et al. (1990), Sottas and Ryhming (1993) and full-load (FL) Gros et al. (1998) are marked with hollow circles in Figure ii.1.36. One can see that the mathematical model fits quite well the experimental data. The flux of moment of momentum downstream to the runner $m_2(q)$ is going from positive values at part load to negative values at full load operating conditions. Negative values mean that the residual swirling flow ingested by the draft tube is counter-rotating with respect to the runner rotation. On the other hand, the flux of moment of momentum upstream the runner $m_1(q)$, delivered by the turbine distributor, increases monotonically up to a maximum value corresponding to the upper limit of the Francis turbine operation at the head coefficient value $h=1.07$, reaching the so-called distributor saturation. The hydraulic power extracted by the runner is given by the difference between the flux of moment of momentum upstream and downstream the runner, respectively.

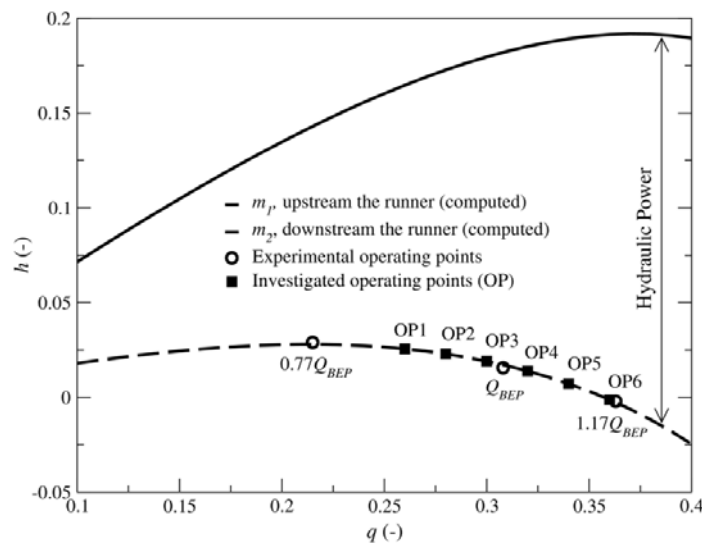


Figure ii.1.36 Dimensionless flux of moment of momentum of the GAMM Francis turbine model versus the dimensionless discharge at constant head coefficient ($h=1.07$).

The above model provides the relationship between two main integral quantities which characterize the swirling flow at the draft tube inlet over the whole operating range of the turbine, i.e. the dimensionless flux of the moment of momentum m_2 , versus the discharge coefficient q .

One can notice that the integral quantity m_2 in Figure ii.1.36 vanishes when the discharge coefficient is approximately $q=0.35$. However, this does not mean that there is no rotation at all downstream the runner. In fact there are both counter-rotation near the hub and co-rotation near the shroud, with respect to runner rotation, and thus the net flux vanishes.

To further exemplify the methodology developed, six operating points are selected on the $m_2(q)$ curve, as shown with filled black squares in Figure ii.1.36, three of them being selected at full-load, i.e. $q > q_{BEP} = 0.285$ in agreement with the requirements of the energy market to increase the power production. On the other hand, three points correspond to the part-load conditions in order to ensure an extended operating range for regulating the power grid. The pairs (q, m_2) for the selected operating point are given in Table ii.1.11. The weight associated to each operating point is mentioned in the last column of this table. Obviously, these data should be customized for each power plant operating conditions. The weighted average losses in the draft tube are going to be computed using these weights.

Table ii.1.11 Investigated operating points at constant head ($h=1.07$).

Operating point	Discharge coefficient q	Flux of moment of momentum coefficient m_2	Weight
OP1	0.26	0.2560640E-01	10%
OP2	0.28	0.2294782E-01	20%
OP3	0.30	0.1910405E-01	20%
OP4	0.32	0.1392180E-01	20%
OP5	0.34	0.7216558E-02	20%
OP6	0.36	-0.1232879E-02	10%

Swirling flow kinematics parameterization

Besides the integral constraints specified by q and m_2 the swirling flow has to satisfy the kinematic constraint corresponding with the relative flow angle β_2 downstream the runner blade, variable from hub to shroud. This kinematic constraint is quantified by Susan-Resiga et al. (2011) through the swirl-free velocity concept v_{sf} , see Figure ii.1.25, instead of the relative flow angle β_2 . This fictitious swirl-free velocity is defined in eq (ii.1.10).

The swirl-free velocity is just another way to express the relative flow direction β_2 , and it is not a physical quantity associated with the velocity field. Locally where the meridian velocity matches the swirl-free velocity the circumferential velocity vanishes. However, this is not simultaneously occurring for the whole cross section. As a result, generally there is no operating point where the flow rotation vanishes from hub-to-shroud downstream the runner.

The main assumption for the swirl-free velocity is that the relative flow angle is not significantly changing with the operating point. This assumption is particularly valid for Francis runners due to the large number of blades (from 11 to 19) that prevents severe flow detachments of the accelerated flow in the inter-blade channels. However, this assumption can be violated if recirculation is developed when the Francis turbine operates at very low part load conditions.

The normalized discharge q_{01} is defined as a fraction of the volumetric flow rate passed through a disc of arbitrary radius $r \leq r_w$ with respect to the overall discharge. As a result, we have $0 \leq q_{01} \leq 1$ at each operating point. The normalized discharge is numerically evaluated from experimental data as:

$$q_{01}(r) = \frac{\int_0^r v_z 2r' dr'}{\int_0^{r_w} v_z 2r' dr'} \quad \text{with } 0 \leq r \leq r_w. \quad (\text{ii.1.18})$$

The plots in Figure ii.1.37 show the swirl-free velocity profile versus the normalized discharge computed from experimental data for three operating regimes at best efficiency point Avellan et al. (1990), Sottas and Ryhming (1993), part and full load regimes Gros et al. (1998), respectively. Three distinct regions can be identified in Figures iii.1.37a and iii.1.37b. The first one corresponds to the main swirling flow which is approximated as inviscid in our simplified model, and includes the data in the middle. The second region close to the axis corresponds to the crown wake, being associated with the viscous effects in the boundary layer developed on the crown surface. The third region corresponds to the boundary layer developed at the wall of the discharge cone and it includes the cumulated development of the boundary layer on the runner

band as well. The swirl-free velocity data in the two viscosity-dominated regions, represented with hollow symbols in Figure ii.1.37, are discarded for the present simplified inviscid model.

One can see that the swirl-free velocity profile, computed from the experimental data, practically remains unchanged at all operating points. While in general one can approximate the swirl-free velocity data with a linear fit $v_{sf}(q_{01}) = v_{sf}^{ave} + v_{sf}^{slo}(q_{01} - 0.5)$, for the present example $v_{sf}^{slo} \cong 0$ with a standard deviation of $\sigma = 0.03511$ as shown as a grey strip in Figures iii.1.37. A constant swirl-free velocity corresponds to the classical design of runner blades.

Since the swirl-free velocity is assumed to remain unchanged with respect to the operating point for fixed pitch runners, let us check this assumption for the three operating points where experimental data are available. Figure ii.1.37a shows independent fits for each operating point, while Figure ii.1.37b shows the fit for the cumulated data set for all three operating points. Compared to the dimensionless swirl-free value of 0.278 from Figure ii.1.37b, we observe the following: (i) 0.283 at part load ($0.77 q_{BEP}$), i.e. +1.8%, (ii) 0.277 at best efficiency point (q_{BEP}), i.e. -0.4%, and (iii) 0.275 at full load ($1.17 q_{BEP}$), i.e. -1.1%. As a result, within a discharge range of approximately $\pm 20\%$ from q_{BEP} , the variation in swirl-free velocity falls below $\pm 2\%$, thus validating our hypothesis.

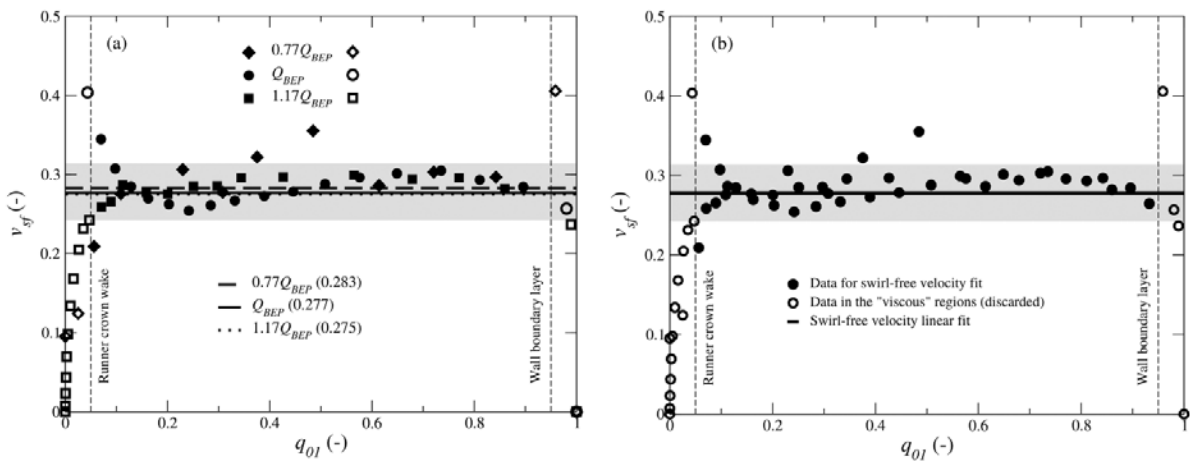


Figure ii.1.37 Swirl-free velocity profile at the GAMM Francis runner outlet at the section S_2 : (a) for each independent operating point, (b) using cumulated data available for all operating points.

Experimental data available for several hydraulic turbines and variable operating regimes support the simple linear parameterization of the swirl-free velocity, Susan-Resiga et al. (2012), Muntean et al. (2012). The swirl-free velocity coefficients, v_{sf}^{ave} and v_{sf}^{slo} are further considered as optimization parameters. The experimental data in the section S_2 of the GAMM Francis model, Figure ii.1.33, give $v_{sf}^{ave} = 0.278$ and $v_{sf}^{slo} = 0$, respectively.

Computation and validation of the velocity profiles at the draft tube inlet

Since the objective function corresponds to the draft tube losses, its dependence on the two parameters introduced in previous section requires the computation of the draft tube inlet velocity components profile. The input data for this computation includes two integral quantities, q and m_2 are defined above, as well as two parameters v_{sf}^{ave} and v_{sf}^{slo} . The dimensionless radius of the S_2 section is r_w , Figure ii.1.33. Note that this approach does not require the runner flow computation, thus it can be used prior actually designing the runner.

The present simplified model considers steady, axi-symmetric, incompressible and inviscid swirling flow. It is convenient to replace the dimensionless radius r by the modified radial coordinate defined as $y \equiv r^2$. The integral constraints corresponding to the discharge and flux of moment of momentum values can be written as follows:

$$\int_0^{y_w} v_z dy = q, \quad (\text{ii.1.19})$$

$$\int_0^{y_w} \left(1 - \frac{v_z}{v_{sf}}\right) v_z y dy = m_2. \quad (\text{ii.1.20})$$

Within the assumption of columnar flow (i.e. negligible radial velocity), valid in the neighborhood of a Francis turbine throat, the differential equation that governs the swirling flow can be written in dimensionless form:

$$-4 \frac{d^2 \psi}{dy^2} + \frac{2}{v_{sf}} \frac{dc}{dy} + \frac{di}{d\psi} = 0 \quad (\text{ii.1.21})$$

where

$$\frac{d\psi}{dy} = \frac{v_z}{2}, \quad c \equiv rv_\theta \quad \text{and} \quad i \equiv \frac{v_z^2 + v_\theta^2}{2} + p - c. \quad (\text{ii.1.22})$$

The above differential equation is written for the Stokes' streamfunction ψ , and it involves both the circulation function $c(y)$ and the rothalpy $i(\psi)$. The differential equation (ii.1.21) is derived from a more general model for axi-symmetric swirling flows in turbomachines Bosman and El-Shaarawi (1977), based on the steady Euler equation, Muntean et al. (2012). Equation (ii.1.21) has the Stokes' streamfunction as the unknown function, since it is far more convenient to solve for a single scalar variable than for the primitive variables, velocity vector and pressure. The boundary conditions for the differential equation (ii.1.21) are $\psi=0$ on the axis and $\psi=q/2$ on the wall, respectively. The equivalent variational formulation requires the minimization of the functional,

$$F(\psi) = \int_0^{y_w} \left[2 \left(\frac{d\psi}{dy} \right)^2 - \frac{c^2}{2y} + c + i(\psi) \right] dy. \quad (\text{ii.1.23})$$

Generally, the rothalpy is quasi-constant across streamtubes, thus the last term in the eq. (ii.1.21) is negligible, and it simply leads to an arbitrary constant added to the functional of eq. (ii.1.23). One of the advantages of the present variational formulation is that it can capture the development of the central quasi-stagnant (dead water) region when operating the turbine at off-design operating points. In this case, the lower limit of the integral in eq. (ii.1.23) becomes an additional unknown of the problem, being the radius of the central quasi-stagnant (dead water) region $y_s \geq 0$.

The velocity profile at the draft tube inlet can now be computed using the mathematical model for the constrained swirling flow. The problem can be summarized as follows: given the volumetric flow rate q through the cross-section of radial extent y_w , the flux of moment of momentum m_2 and the swirl-free velocity profile v_{sf} , find the axial velocity v_z and the stagnant region extent y_s by minimizing the functional:

$$F(v_z, y_s) = \int_{y_s}^{y_w} \left[\frac{v_z^2}{2} + \frac{y}{2} \left(1 - \frac{v_z^2}{v_{sf}^2} \right) \right] dy \quad (\text{ii.1.24})$$

subject to the integral constraints:

$$\int_{y_s}^{y_w} v_z dy = q, \quad (\text{ii.1.25})$$

$$\int_{y_s}^{y_w} v_z \left(1 - \frac{v_z}{v_{sf}} \right) y dy = m_2. \quad (\text{ii.1.26})$$

Once the axial velocity component is obtained, the circumferential component immediately follows as:

$$v_\theta(r) = r \left(1 - \frac{v_z}{v_{sf}} \right). \quad (\text{ii.1.27})$$

The numerical solution of the problem defined by eqs (ii.1.24), (ii.1.25) and (ii.1.26) is detailed by Susan-Resiga et al. (2011), and it employs a Fourier-Bessel series for the unknown axial velocity profile.

Although the above simplified flow model assumes a columnar flow, i.e. a flow with vanishing radial velocity, neglecting the radial velocity may lead to spurious flow detachment at the wall, with accuracy loss in estimating the draft tube hydraulic losses. A first approximation of the radial velocity component was developed for the Turbine-99 test case by Bergström (1999) assuming a linear distribution of the meridian flow angle. Cervantes and Gustavsson (2007) further analyzed this radial velocity component in an attempt to develop a more rigorous approach. Tridon et al. (2010) propose a new analytical representation using a superposition of three vortices adapted after Susan-Resiga et al. (2006).

Analytical developments for the swirling flow models led Muntean et al. (2012) to a more rigorous relationship between the radial and axial velocity components, which is further employed in the present methodology, i.e.:

$$v_r(r) = v_z(r) \frac{r}{r_w} \frac{dr_w}{dz} \quad (\text{ii.1.28})$$

where r/r_w is the normalized radius and dr_w/dz is the cone wall slope, respectively. Equation (ii.1.28) satisfies two important requirements: (i) the radial velocity vanishes at the axis, and (ii) the meridian velocity is tangent to the wall.

Figure ii.1.38 shows the computed velocity profiles (axial, circumferential and radial velocity components) versus the dimensionless radius on the S_2 section, as well as the experimental data from Sottas and Ryhming (1993). One can observe a very good agreement between computed velocity and the experimental data. One exception can be seen in the central region corresponding to the runner crown wake, where viscous effects are dominant. This is a limitation of the present model based on the inviscid assumption. Nevertheless, it is important to note that the quasi-analytical model captures fairly well the stagnant region developed at part load conditions. Moreover, one should bear in mind that the present theoretical model is developed for rapid a-priori assessment of the swirling flow at the draft tube inlet to be used in the optimization procedure.

In conclusion, it is considered that the above runner surrogate model to be accurate enough for optimizing the draft tube inlet swirl via the swirl-free velocity parameters.

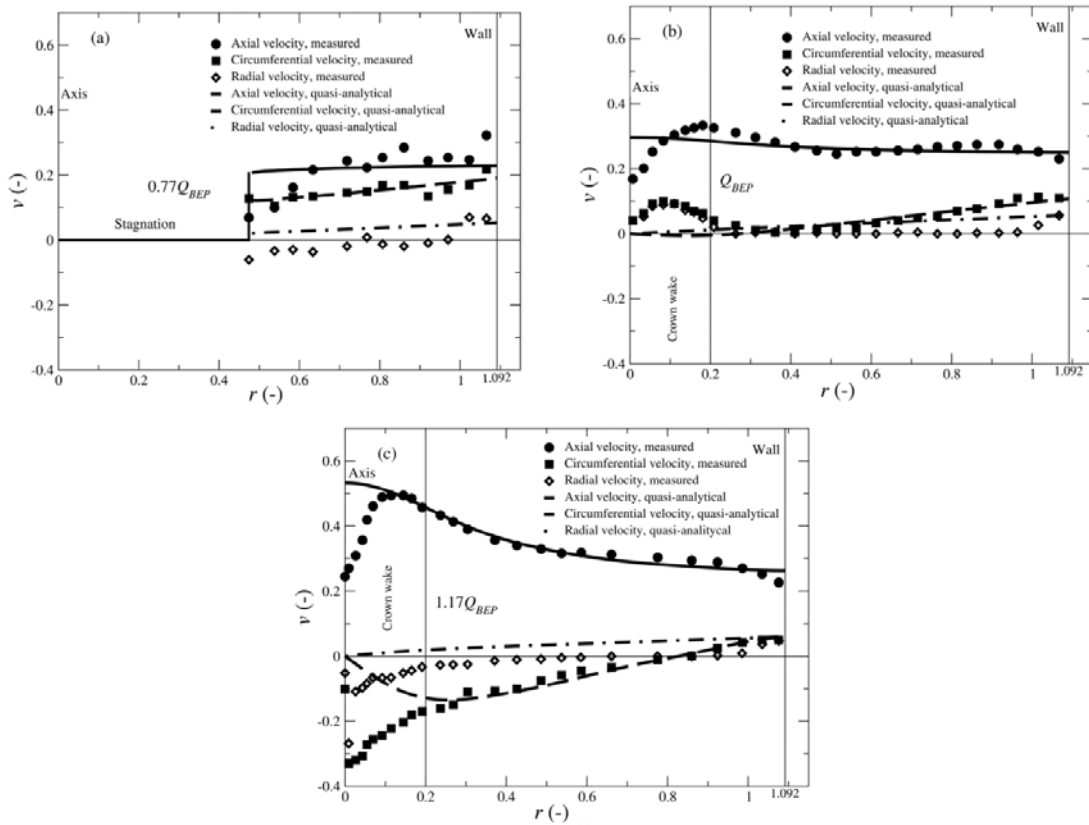


Figure ii.1.38. Velocity profiles downstream the runner of the GAMM Francis turbine model at the section S_2 , for three operating points at constant head coefficient $h=1.07$: (a) part-load operating point $0.77 Q_{BEP}$, (b) best efficiency point Q_{BEP} , and (c) full-load operating point $1.17 Q_{BEP}$, respectively. The experimental data are marked with dots while the quasi-analytical solution is shown with lines.

ii.1.10.2 Draft tube model and its performances

Draft tube geometry

The draft tube geometry considered in the present paper, Figure ii.1.39, corresponds to a standard discharge cone – elbow - one pier diffuser configuration. Such draft tubes with overall length around five inlet diameters were found by Gubin et al. (1974) to have improved performances. The draft tube geometry from Figure ii.1.39a has a dimensionless cross-section area, A/A_{in} , variation versus the dimensionless curvilinear coordinate shown in Figure ii.1.39b. One can observe that the draft tube curvilinear length is approximately ten inlet radii, while the cross-section area increases more than four times with respect to the inlet area.

The draft tube geometry used in the optimisation problem is different from the original geometry of the GAMM Francis draft tube model, Sottas and Ryhming (1993). The computational domain, Figure ii.1.39a, is extended downstream from the actual draft tube outlet section. This is common practice since the flow at the geometrical draft tube outlet might be highly non-uniform, with possible reverse flow regions. The outlet condition cannot properly account for these flow features Mauri et al. (2004). Thereby, the computational domain is extended so that the outflow condition practically does not influence the flow on the draft tube outlet survey section. The dots in Figure ii.1.39a indicate the location of the wall pressure taps on the survey section conventionally considered as draft tube outlet, according to IEC 60193 (1999). These pressure taps provide an average wall static pressure at the draft tube outlet. This survey section is clearly marked in Figure ii.1.39a, with the two parts corresponding to the left and right channels separated by the draft tube pier.

The inlet section in Figure ii.1.39a has the radius of $R_{in}=0.201$ m, with corresponding dimensionless value $r_{in}=1.005$ resulting from a reference radius of $R_{ref}=0.2$ m. On the other hand, the swirl-free velocity was

computed where experimental data for velocity components are available at the S_2 survey section with the dimensionless radius $r_w=1.092$ (Figure ii.1.33). A reasonable way to estimate the swirl-free velocity into a different cross-section is to consider that the corresponding swirl-free discharge is preserved. As a result, the initial guess for swirl-free velocity at the draft tube inlet will be given by $v_{sf}^{ave} = 0.328$, $v_{sf}^{slo} = 0$.

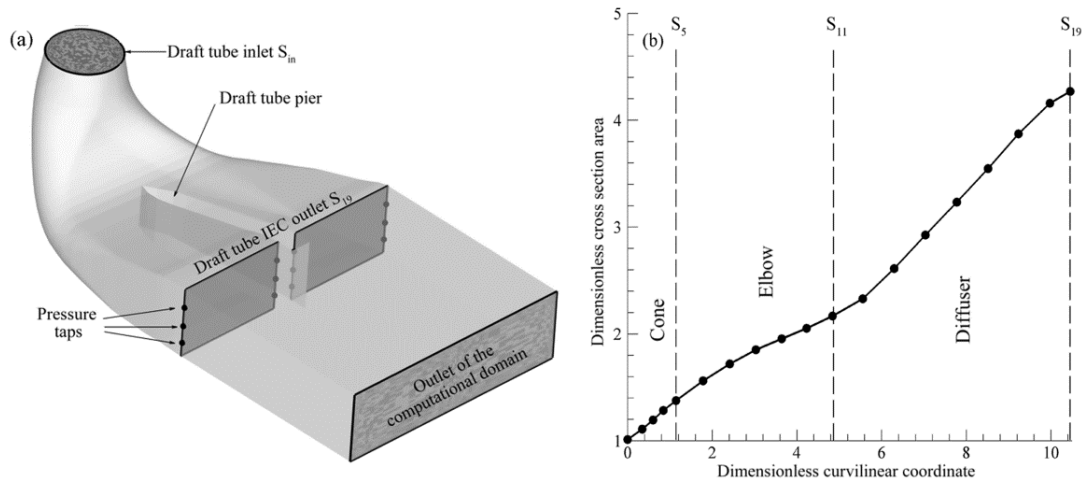


Figure ii.1.39 (a) Three-dimensional computational domain of the draft tube and (b) dimensionless cross section area distribution versus dimensionless curvilinear coordinate along to the draft tube.

Boundary conditions

The radial profiles of the computed velocity components and the turbulent quantities are prescribed on the inlet section of the turbine draft tube while the pressure is imposed on the outlet section. Several assumptions are embedded in the draft tube inlet conditions:

- (1) The inflow is axi-symmetric. This assumption leads to the same boundary conditions as the mixing plane technique being equivalent to the full mixing of the wakes. As a result, no unsteady interaction between the runner and the draft tube is accounted for. The axial-symmetry assumption, disregards the flow-field variations within the inter-blade channel. This assumption is reasonably valid when the runner has a large number of blades, resulting in small variation from one blade to next one.
- (2) The turbulence quantities are given as average inlet values for i) turbulent intensity of 5%, ii) hydraulic diameter of 0.4 m.

Several investigations were previously carried out by Galván et al.(2005), Muntean et al. (2005a, 2005b), Adane et al. (2008), Galván et al. (2011), Ciocan et al. (2012) using the CFD commercial codes in order to choose a suitable grid refinement and turbulence model. Based on these previous experiences, a structured mesh with boundary layer refinement and approximately 500k hexahedral cells is generated, as shown in Figure ii.1.40.

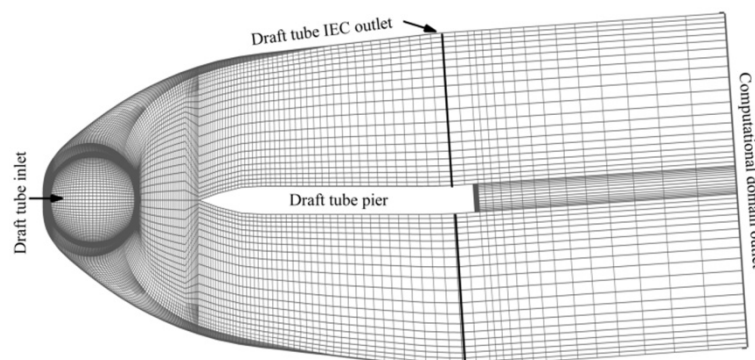


Figure ii.1.40 Spatial discretization of the three-dimensional computational domain.

For the present computations the Reynolds-Averaged Navier Stokes (RANS) equations are solved with the $k-\omega$ turbulence model proposed by Wilcox (1988) and proved to be well suited for swirling flows with adverse pressure gradients. Moreover, Menter's shear stress transport ($k-\omega$ SST) model Menter (1994) is chosen for more accurate prediction of the flow with strong adverse pressure gradients and separation, like in Francis turbines draft tube operated at off-design operating regimes.

ii.1.10.3 Optimization problem set-up

Objective function and the optimization parameters

The mathematical model presented in previous section provides the inlet velocity components (axial, radial and circumferential) for the computation of the three-dimensional turbulent flow computation in the draft tube as it is shown. The fixed parameters of the problem are the pairs (q, m_2) for each operating point in the set considered within the intended operating range and the free parameters are v_{sf}^{ave} and v_{sf}^{sto} .

The objective function is chosen to be the weighted-average hydraulic loss in the draft tube, where the average is performed over the set of selected operating points. The main reason for choosing such an objective function is that this is the usual way to define in practice the weighted-average turbine efficiency. For an existing power plant there are available data for the duration corresponding to each operating point over a period of years or decades. As a result, the weight associated with each operating regime is generally provided as a primary input data for designing a new turbine or refurbishing an existing one. Although the operating points selected in Table ii.1.11 correspond to constant head, and the weights are chosen quasi-arbitrary, it is obvious that the present methodology can accommodate data for real cases, with operating points spread within a range with variable discharge and head.

For each operating point, once the three-dimensional turbulent flow computed in the draft tube, the hydraulic power loss is obtained as:

$$\rho g Q H_{DT} = \int_{S_{in}} \left(p + \frac{\rho V^2}{2} \right) V_n dS - Q \left[\overline{p_{w out}} + \frac{\rho}{2} \left(\frac{Q}{A_{out}} \right)^2 \right], \quad (\text{ii.1.29})$$

where H_{DT} is the draft tube head loss and V_n is the normal velocity component on the inlet section of the draft tube. On the outlet section, the average wall static pressure is computed as the average of the values recorded at the pressure taps shown in Figure ii.1.30a, and the whole outlet flux of the total pressure is evaluated according to the IEC 60193 (1999). The choice of this simplified evaluation in the outlet section is motivated by the current practice. A more rigorous expression, eq. (ii.1.30), considers the total pressure flux in both inlet and outlet sections:

$$\rho g Q H_{DT} = \int_{S_{in}} \left(p + \frac{\rho V^2}{2} \right) V_n dS - \int_{S_{out}} \left(p + \frac{\rho V^2}{2} \right) V_n dS. \quad (\text{ii.1.30})$$

It is further employed both expressions of eqs (ii.1.29) and (ii.1.30). Similar to eq. (ii.1.16b) one can define the dimensionless draft tube head loss as

$$h_{DT} \equiv \frac{2gH_{DT}}{V_{ref}^2}. \quad (\text{ii.1.31})$$

The numerical results obtained on the draft tube for optimized velocity profiles with formulations defined by both eqs (ii.1.29) and (ii.1.30) are plotted in Figure ii.1.32. One can observe that both formulations predict the same distribution of the relative head loss in the draft tube. However, the draft tube losses computed

based on the rigorous formulation (ii.1.30) are smaller than data determined using the IEC form (ii.1.29). The IEC formulation is further selected in our optimization procedure.

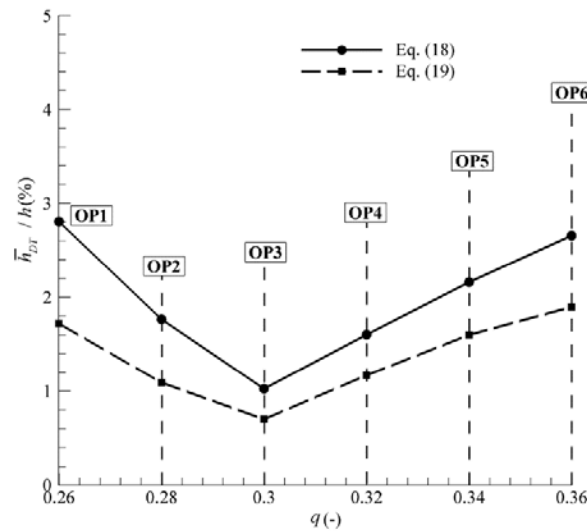


Figure ii.1.32 Relative head loss on the draft tube is computed according to IEC standards eq. (ii.1.29) with solid line and with eq. (ii.1.30) with dashed line.

In the end, the objective function is computed as:

$$\bar{h}_{DT}(v_{sf}^{ave}, v_{sf}^{slo}) \equiv \sum_i h_{DTi} w_i \quad (ii.1.32)$$

The response surface (also known as metamodels, surrogates, emulators, auxiliary models, etc. Kleijnen (1996) of the weighted averaged relative head loss in draft tube, \bar{h}_{DT}/h , is shown in Figure ii.1.33, generated from 25 points $(v_{sf}^{ave}, v_{sf}^{slo})$. A region with minimum \bar{h}_{DT} is clearly revealed, centered at a point different from the initial guess $v_{sf}^{ave} = 0.328, v_{sf}^{slo} = 0$ which corresponds to the current design of the GAMM Francis turbine model runner. The conclusion is that there is room for improvement. However, the location of the minimum depends on the particular draft tube geometry as well as on the set of selected operating points, with associated weight, thus the optimum must be found for each particular power plant and machine.

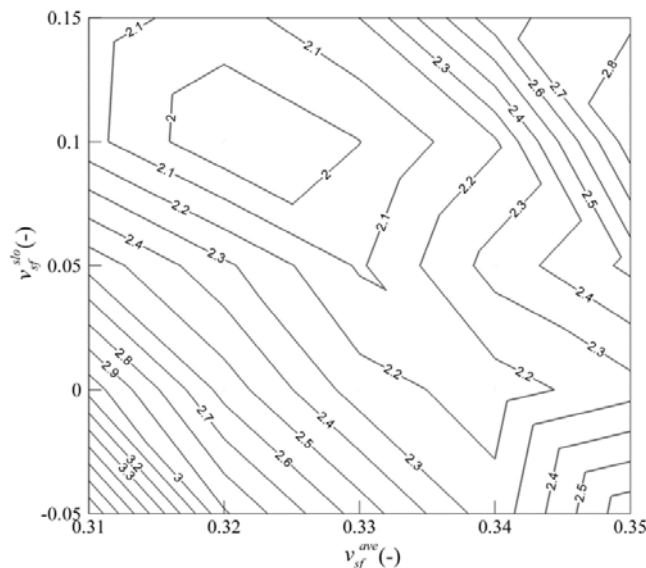


Figure ii.1.33 Response surface of weighted average relative head loss on the draft tube.

Optimization of the swirl-free velocity profile using the downhill simplex method

The downhill simplex method is introduced by Nelder and Mead (1965), and according to Press et al. (1996): (i) the method requires only function evaluations, not derivatives; (ii) the method has a geometrical naturalness which makes it intuitive to describe or work through.

The algorithm works in three steps: (1) change the position of the vertex, where the highest evaluation is computed, in the opposite direction towards the lower value; (2) whenever possible the algorithm tries to expand the simplex in order to obtain a larger step; (3) when the method detects the N -dimensional appearance of a valley, the method contracts itself in all directions, pulling itself in around its lowest (best) point. When it reaches a minimum it will give up and shrink down around it, triggering a stopping decision when the values are no longer improving.

The optimisation procedure employed by Ciocan et al. (2014) of the swirl-free velocity profile is exemplified in Figure ii.1.34. This procedure includes the following nine steps: (1) the data pairs (q, m_2) , corresponding to the six investigated operating regimes, are selected; (2) the first guess of the free parameters, e.g. $v_{sf}^{ave} = 0.328$ and $v_{sf}^{slo} = 0$, is chosen from an existing runner designed with a classical methodology; (3) the velocity profiles at the draft tube inlet for each operating point are computed based on the mathematical model for constrained swirling flow implemented in FORTRAN using the International Mathematics and Statistics Library (IMSL) numerical library; (4) the steady three-dimensional turbulent flow is computed using SST $k-\omega$ turbulence model for each operating point (six operating points are computed for each pair of problem parameters) with the inflow conditions determined at previous step; (5) the objective function \bar{h}_{DT} is computed according to eq. (ii.1.32) with associated weights from Table ii.1.11; (6) the solution is checked and the stopping decision is triggered when the objective function reaches the global minimum value, meaning the optimization algorithm converged to a solution. As a result, the optimized configuration of the control variables is obtained (8), leading to the improved velocity profile at the draft tube inlet (9) plotted in Figure ii.1.37. Otherwise, the process will continue by adjusting the control variables through the optimization algorithm (7) until the objective function will cease to improve for any possible configuration of the control variables.

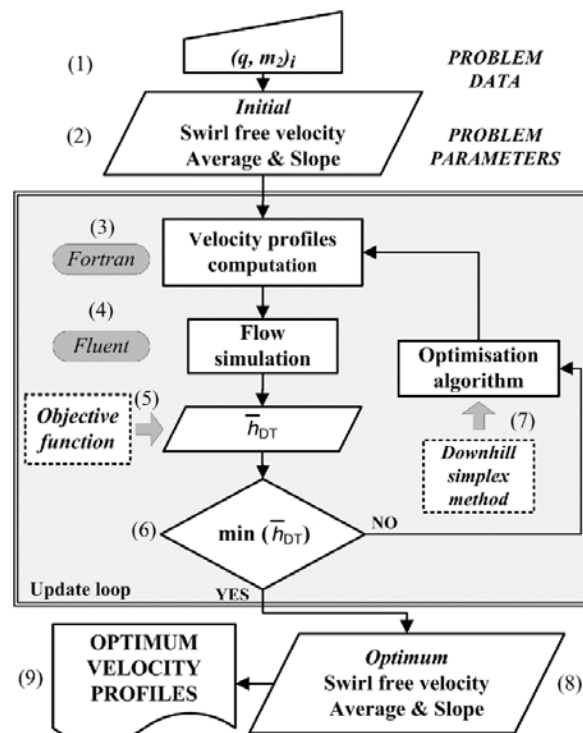


Figure ii.1.34 Draft tube inlet velocity profile optimization flow chart.

Particularly, eight successive moves of the Downhill Simplex Method are required to reach the optimum swirl-free velocity configuration, corresponding to the optimized runner geometry at the trailing edge, starting from the existing geometry. The moves are summarized in Table ii.1.12 and plotted in Figure ii.1.35. Note that such an optimum is depending on the particular requirements for turbine operation, as well as on the geometry of an existing draft tube, thus there is no “universal” optimum runner blade geometry at the trailing edge.

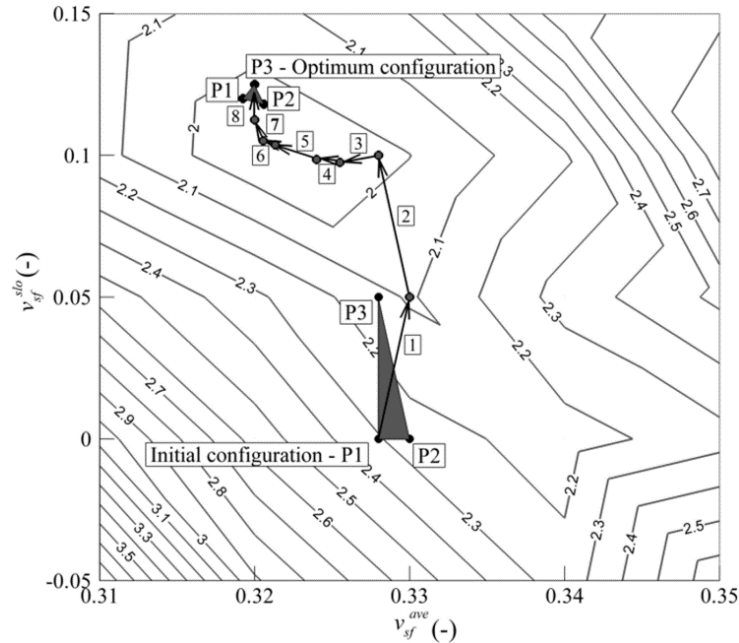


Figure ii.1.35 Downhill simplex method steps for optimisation the GAMM Francis runner: step 1 – reflection; step 2 – reflection; step 3 – reflection; step 4 – reflection and expansion; step 5 – reflection; step 6 – reflection; step 7 – contraction; step 8 (optimum) – reflection.

Table ii.1.12 Downhill simplex moves toward the optimised swirl free velocity configuration.

Step No.	Swirl-free velocity configuration	Objective function value	Simplex type step
Initial	$v_{sf}^{ave} = 0.3280, v_{sf}^{slo} = 0$	2.3080	-
1	$v_{sf}^{ave} = 0.3301, v_{sf}^{slo} = 0.0500$	2.0870	Reflection
2	$v_{sf}^{ave} = 0.3280, v_{sf}^{slo} = 0.1000$	1.9806	Reflection
3	$v_{sf}^{ave} = 0.3255, v_{sf}^{slo} = 0.0975$	1.9633	Reflection
4	$v_{sf}^{ave} = 0.3240, v_{sf}^{slo} = 0.0985$	1.9484	Reflection + Expansion
5	$v_{sf}^{ave} = 0.3210, v_{sf}^{slo} = 0.1040$	1.9170	Reflection
6	$v_{sf}^{ave} = 0.3205, v_{sf}^{slo} = 0.1050$	1.9124	Reflection
7	$v_{sf}^{ave} = 0.3200, v_{sf}^{slo} = 0.1125$	1.9119	Contraction
Optimum	$v_{sf}^{ave} = 0.3200, v_{sf}^{slo} = 0.1250$	1.8570	Reflection

The optimum configuration of the swirl-free velocity profile associated to the considered draft tube corresponds to $v_{sf}^{ave} = 0.32, v_{sf}^{slo} = 0.125$ leading to the minimum weighted relative head loss of the draft tube $\bar{h}_{DT}/h = 1.857\%$. The location of the optimum point computed with the Downhill Simplex Method algorithm in the parameter space is clearly shown in Figure ii.1.36.

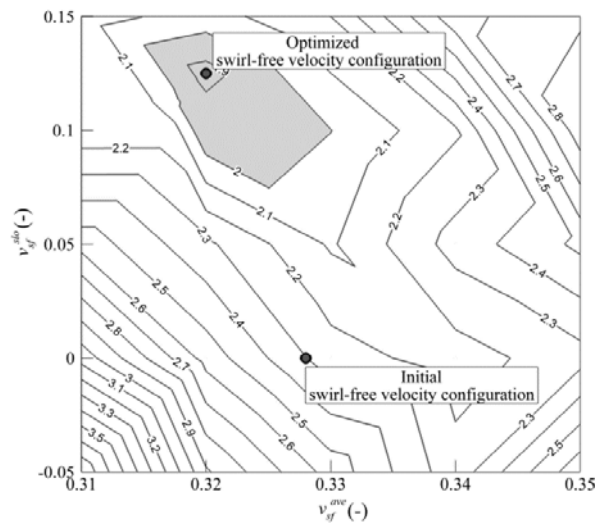


Figure ii.1.36 Location of the optimized solution in the “minimum canyon” (grey region) of the response surface.

ii.1.10.4 Numerical results

The draft tube inlet velocity profiles for the initial and the optimized swirl-free velocity configuration associated to the six investigated operating points are shown in Figure ii.1.37. One can see a jet-like axial velocity profile near the axis at the operating points with largest discharge (from OP3 to OP6) while a wake-like axial velocity profile at the operating point with lowest discharge (OP1) is revealed. A slight reduction in the axial velocity near the axis at all operating regimes is revealed for the optimized swirl-free velocity configuration from Figure ii.1.37b with respect to the initial one plotted in Figure ii.1.37a. As the discharge increases, the axial velocity reaches a quasi-constant distribution, while the circumferential velocity goes from co-rotation with respect to the runner, at part load, to counter-rotation at full load Susan-Resiga et al. (2006). In both cases, the circumferential velocity is counter-rotating near the axis at the operating points with largest discharge (from OP3 to OP6) and co-rotating at the operating point with lowest discharge (OP1) with respect to the runner direction. However, a slight increase of the counter-rotating region near the axis at all operating regimes is observed for the optimized swirl-free velocity configuration from Figure ii.1.37b with respect to the initial one plotted in Figure ii.1.37a, except OP2. Additionally, an increased circumferential velocity component is distinguished near the wall at optimized configuration with respect to the initial one. Consequently, the flow separation on the cone wall is delayed at optimized configuration with respect to the initial one leading to improved kinetic-to-potential energy conversion.

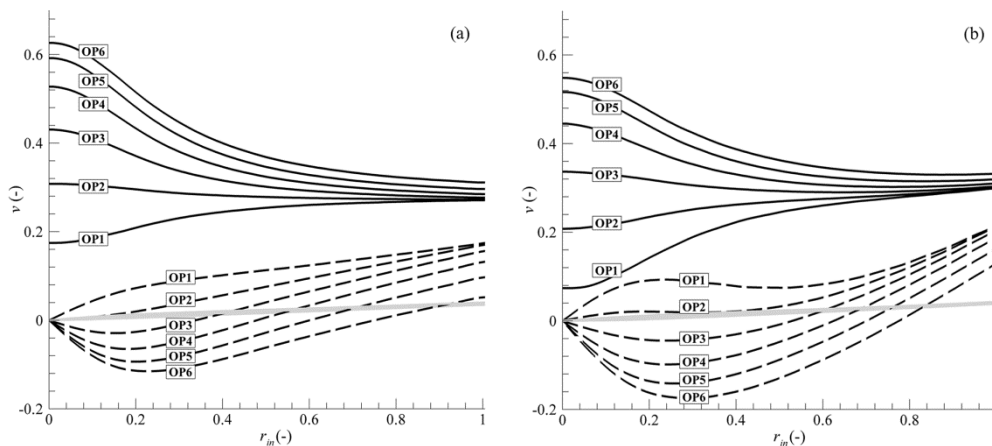


Figure ii.1.37 Velocity components profiles at the draft tube inlet: axial – solid line, circumferential – dashed line, radial – grey line. (a) Initial swirl-free velocity configuration corresponding to the existing runner, (b) Optimized swirl-free velocity configuration corresponding to the optimized runner.

The distribution of the relative head losses in the draft tube computed according to eq. (ii.1.32) corresponding to the six investigated operating points for the initial and optimized swirling flow configurations is plotted in Figure ii.1.38. The initial flow configuration with the swirl-free velocity profile given by $v_{sf}^{ave} = 0.328$, $v_{sf}^{slo} = 0$ reaches the minimum draft tube loss at the turbine discharge coefficient of $q_{BEP} = 0.28$. One can observe a flattened distribution of the draft tube loss associated to the optimized configuration. Additionally, the minimum loss value corresponding to the optimized swirl-free velocity profile given by $v_{sf}^{ave} = 0.32$, $v_{sf}^{slo} = 0.125$ is lower than the initial configuration being shifted at higher discharge coefficient of $q=0.30$ meaning the peak efficiency is higher in this case. The minimum loss value is achieved at OP3, which means that the hill chart of the GAMM Francis runner is shifted at higher discharges, as it is required in industry in the refurbishment procedure in order to increase the turbine power.

In the end, a 19.5% improvement in \bar{h}_{DT}/h is obtained through the optimisation process.

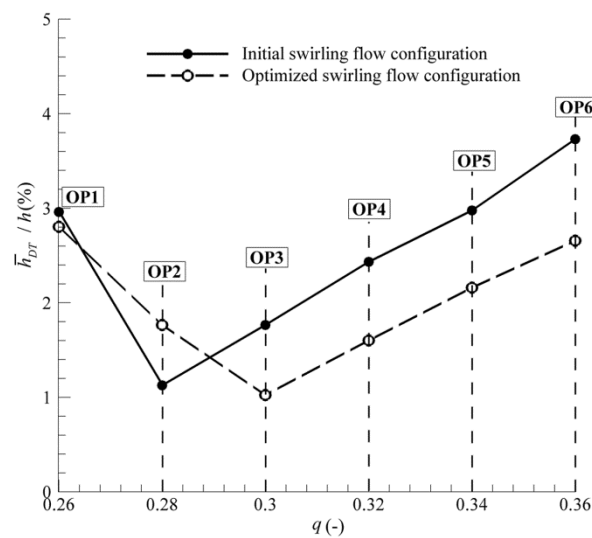


Figure ii.1.38 Relative head loss on the draft tube: Initial ($v_{sf}^{ave} = 0.328, v_{sf}^{slo} = 0$) and Optimized ($v_{sf}^{ave} = 0.32, v_{sf}^{slo} = 0.125$) swirling flow configurations. The initial configuration corresponds to $\bar{h}_{DT}/h = 2.308\%$ and the optimized configuration $\bar{h}_{DT}/h = 1.857\%$, respectively.

It is recommended that the design solution be selected as close as possible to the operating point with minimum draft tube loss. However, the flexibility in choosing the swirl-free velocity average and slope values, respectively, might be important when other considerations have to be taken into account for practical runner design, such as structural integrity and technological aspects. Therefore, one could consider other solutions within the “minimum canyon” region leading to reasonably good runner outlet configurations from the hydrodynamic point of view. Finally, the most suitable runner blade configuration at the trailing edge can be obtained using the inverse design approach proposed by Zangeneh (1991), Zangeneh et al. (1999).

Analysis of the draft tube hydrodynamics

In order to analyze the flow deceleration we have considered a set of cross-sections, as shown in Figure ii.1.41, from inlet to the outlet survey section according to the IEC 60193 (1999). These plane cross-sections are oriented normal to the main flow direction. However, one must keep in mind that the cross-sections are defined geometrically, and are not directly related to flow-field surfaces. However, defining such

geometrical cross-sections allows a one-dimensional analysis of evolution of various integral quantities of interest from inlet to the outlet of draft tube.

Figure ii.1.41 also shows the centroids of the cross-sections, and the curvilinear coordinate used as abscissa when analyzing the flow evolution within the draft tube.

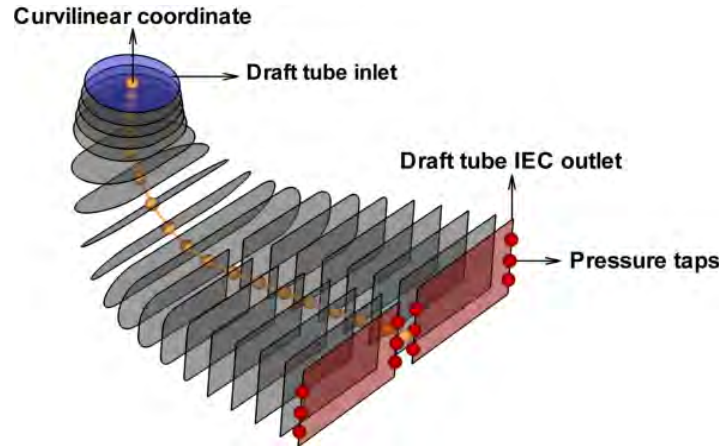


Figure ii.1.39. Draft tube geometry and cross sections

Since the draft tube should decelerate the flow, we focus our analysis on the evolution of the fluxes of kinetic energy, defined as:

$$F_{kin} = \int_S \rho \frac{V^2}{2} \vec{V} \cdot \vec{n} dS \quad (\text{ii.1.33})$$

$$F_{kin}^{(n)} = \int_S \rho \frac{V_n^2}{2} \vec{V} \cdot \vec{n} dS \quad (\text{ii.1.34})$$

$$F_{kin}^{(t)} = \int_S \rho \frac{V_t^2}{2} \vec{V} \cdot \vec{n} dS \quad (\text{ii.1.35})$$

$$F_{kin}^{(ideal)} = Q \frac{\rho}{2} \left(\frac{Q}{S} \right)^2 \quad (\text{ii.1.36})$$

where $V_n = \vec{V} \cdot \vec{n}$ is the velocity component normal to the cross-section, V_t is the velocity component within the cross-section plane, with $V^2 = V_n^2 + V_t^2$. We first compute the flux of kinetic energy F_{kin} , eq. (ii.1.33), the fraction of this flux corresponding to the normal velocity component $F_{kin}^{(n)}$, eq. (ii.1.34), and the ideal (minimum) flux of kinetic energy, eq. (ii.1.36). $F_{kin}^{(ideal)}$ corresponds to an ideal flow with constant average discharge velocity on each cross-section and it will be taken as baseline in the performed analysis. Then, we evaluate the fraction of the kinetic energy flux corresponding to the tangential velocity component $F_{kin}^{(t)}$, eq. (ii.1.37), and the excess of kinetic energy $F_{kin}^{(exces)}$, eq. (ii.1.38), computed as the difference between the flux of kinetic energy and ideal flux of kinetic energy, since F_{kin} will always have larger values. The non-uniformity of the flow can be quantified through the coefficient ζ , according to eq. (ii.1.39).

$$F_{kin}^{(t)} = F_{kin} - F_{kin}^{(n)} \quad (\text{ii.1.37})$$

$$F_{kin}^{(exces)} = F_{kin} - F_{kin}^{(ideal)} \quad (\text{ii.1.38})$$

$$\xi = \frac{F_{kin}}{F_{kin}^{(ideal)}} \quad (\text{ii.1.39})$$

The relationship between the actual kinetic energy flux through a cross-section, F_{kin} , and its minimum possible value $F_{kin}^{(ideal)}$ can obviously be expressed either as a difference, eq. (ii.1.38) or as a ratio, eq. (ii.1.39). Both versions are considered to be relevant for the complex analysis of the draft tube performance in decelerating the flow.

In Figure ii.1.40 is represented in comparison from inlet to outlet of the draft tube at operating point three, the flux of specific kinetic energy corresponding to the existing and the optimized swirl-free velocity profiles, respectively. We can see that almost half of the specific kinetic energy is recovered in the cone and about only one quarter remains at the elbow outlet for both swirl-free velocity configurations. The differences occur from the elbow outlet to the draft tube outlet, where for the existing swirl-free velocity configuration corresponding to the existing runner, the flux of kinetic energy F^{kin} (solid black line) is quasi-constant compared with the ideal flux of kinetic energy $F_{kin}^{(ideal)}$ (dashed black line). This means that the draft tube diffuser is no longer recovering the kinetic energy, which is well quantified through the excess of kinetic energy $F_{kin}^{(exces)}$ (dashed green line) until the draft tube outlet. On the other hand for the optimized swirl-free velocity configuration, the differences between F^{kin} and $F_{kin}^{(ideal)}$ are small from inlet to outlet, thus resulting in a very small excess of kinetic energy at the draft tube outlet. Also, practically all tangential kinetic energy $F_{kin}^{(t)}$ (solid red line) is also recovered within cone – elbow.

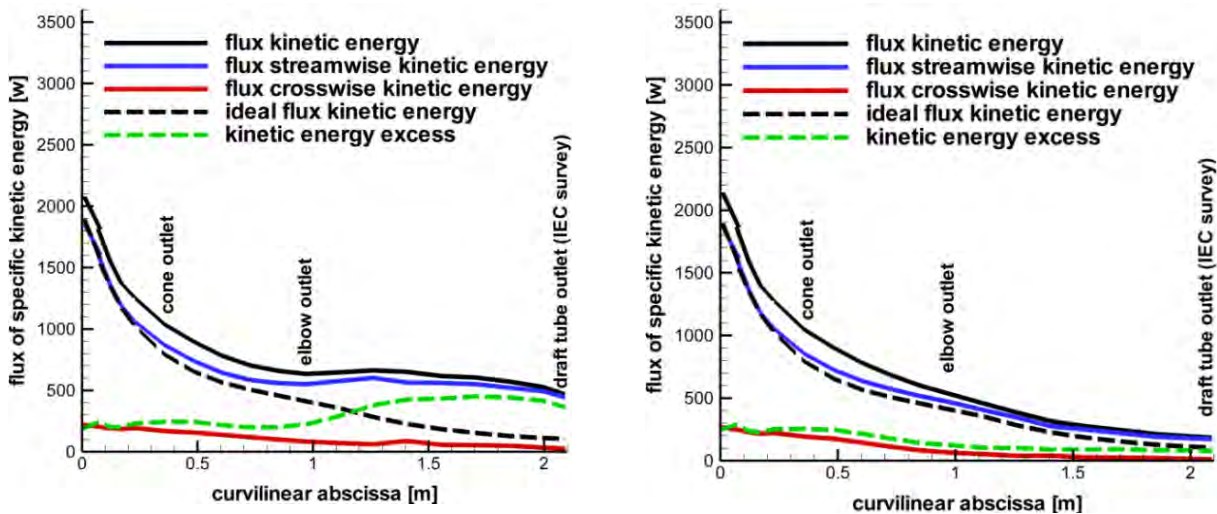


Figure ii.1.40. Kinetic energy decrease in the draft tube at OP3 (a) Existing swirl-free velocity configuration (b) Optimized swirl-free velocity configuration

In Figure ii.1.40 is represented the flow non-uniformity from inlet to the draft tube outlet, quantified by the non-uniformity coefficient ζ , eq. (ii.1.35), for the whole investigated operating range.

The flow non-uniformity is relatively small in the cone and even decreases in the elbow, reaching a local minimum at the elbow outlet, for both swirl-free velocity configurations. The differences occur in the draft tube diffuser, where for the existing configuration, Figure ii.1.43a, excepting operating point two, the flow non-uniformity is large. The flow non-uniformity increases in the diffuser channels, where only a fraction of the cross-section is actually occupied by the main flow, and large dead-water regions develop by Tridon et al. (2012). At the optimized swirl-free velocity configuration, Figure ii.1.43b, the flow non-uniformity is

lower in the diffuser, excepting the operating points from the extremities, which have approximately the same distribution as in the existing case.

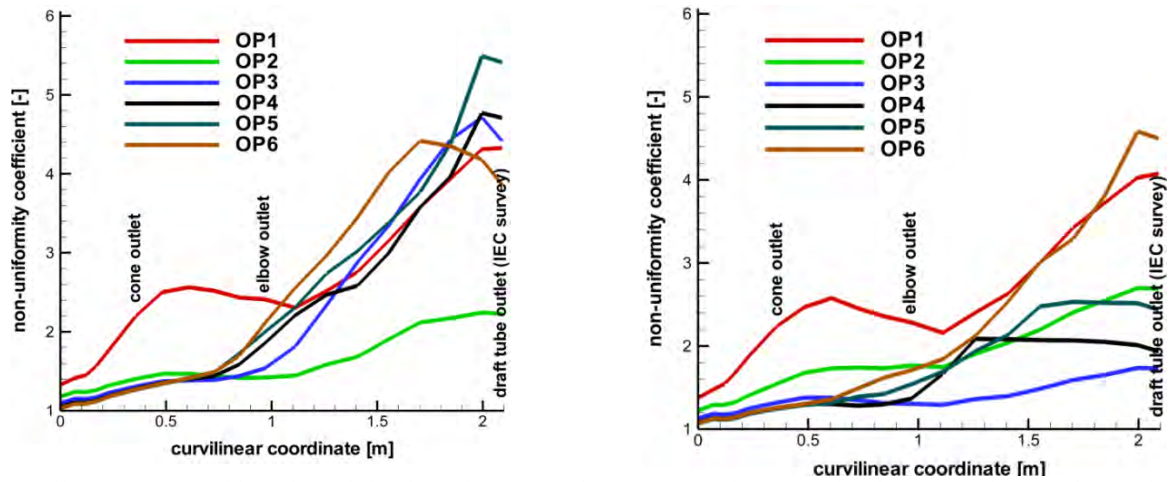


Figure ii.1.43 Non-uniformity of the flow in the draft tube (a) Existing swirl-free velocity configuration. (b) Optimized swirl-free velocity configuration.

Discharge imbalance at the draft tube outlet

Because of the flow rotation, the discharge is not evenly distributed among the outlet channels. Table ii.1.12 presents the discharge imbalance between the left and right channel of the draft tube outlet.

Table ii.1.12 Discharge fraction through the outlet channels

	Outlet channel	OP1	OP2	OP3	OP4	OP5	OP6
<i>Existing configuration</i> $V_{SFAVE} = 0.328,$ $V_{SFSLO} = 0.00$	Left	66.30%	55.00%	56.30%	54.00%	52.00%	47.80%
	Right	33.70%	45.00%	43.70%	46.00%	48.00%	52.20%
<i>Optimized configuration</i> $V_{SFAVE} = 0.32,$ $V_{SFSLO} = 0.125$	Left	65.14%	60.45%	53.27%	52.64%	50.25%	49.43%
	Right	34.86%	39.55%	46.73%	47.36%	49.75%	50.57%

It can be seen that for low turbine discharge, the discharge in the left channel is practically half the discharge through the right channel. As the turbine discharge increases, and the swirl general direction changes from co-rotating to counter-rotating with respect to the runner, the discharge in the left channel increases and eventually becomes larger than the discharge in the right channel for large turbine discharge. Note that for the optimized swirl-free configuration the discharge distribution through the outlet channels for operating points three to six, it is much closer to the ideal distribution of 50% – 50%.

ii.2 Swirling flows

ii.2.1 Introduction

Confined turbulent swirling flows are encountered in many industrial applications, such as hydraulic turbines, gas turbine combustors and internal combustion engines. The flow patterns associated with turbulent swirling flows are vortex-dominated and have long been of interest to scientists and engineers. The goal of the study on which this AC is based has been to understand and control the naturally occurring phenomena. The knowledge can be applied to increase the turbulent convection in heat and mass transfer applications Javadi and Nilsson (2015a), or to reduce unwanted pressure pulsations in e.g. hydro power systems Javadi and Nilsson (2015b). The present test case primarily relates to the flow in water turbines.

ii.2.2 Relevance to industrial sector

The flow in water turbines running at off-design conditions often has a strong swirl which may cause vortex breakdown and pressure pulsation in the draft tube, Thicke et al. (1981). The pulsations may damage the structure Frunzaverde et al. (2010) and also its can produce electrical power swing, Rheingans (1940). The occurrence of the pulsation and its impact on the efficiency of the draft tube depends mainly on the flow rate of the turbine, the local pressure level, and the velocity field downstream of the runner Bosioc et al. (2012). Under circumstances leading to the surge, the swirling flow tends to separate into two concentric flow regions. The axial flow basically occurs in the outer region, while the inner region may contain an on-axis recirculation region, also called stagnation region Resiga et al. (2016). The breakdown of the swirling flow leads to a precessing helical vortex, also called vortex rope, which makes the recirculation region unsteady. The swirling flow configuration of the present test case corresponds to part load operation of a Francis turbine. A swirl generator is designed to generate similar flow properties as the Francis turbine at the inlet to the draft tube, yielding a precessing vortex rope in the draft tube.

ii.2.3 Assessment parameters

The dimensionless precession frequency of the vortex rope is expressed using the Strouhal number Bosioc et al. (2012). The swirl apparatus generates a vortex rope with Strouhal number equal to 0.39, which is quite close to the Strouhal number for a model Francis turbine ($St = 0.408$). The shear layer between the two flow regions in the draft tube has two edges, one or both of which may give negative turbulence production Javadi and Nilsson (2015a). The production of the turbulence structure depends mainly on the level of the swirl in the flow field Javadi et al. (2016). The turbulence models have been assessed based how well they predict the on-axis recirculation region and the vortex breakdown.

ii.2.4 Flow physics

The highly turbulent swirling flow and the Reynolds number based on the throat diameter and bulk velocity of 3.81×10^5 is a challenging task for CFD. The flow in the cone contains the wakes of the blades, the vortex rope, an on-axis recirculation region, as well as separations from both the hub and in the divergent walls of the cone.

ii.2.5 Timisoara swirl test case

ii.2.5.1 Overview of test case

A closed loop experimental test rig was developed and measured at the Politehnica University of Timisoara, Resiga et al. (2007) for investigating decelerated swirling flows in a conical diffuser and for assessing various flow control methods.

The test rig includes the following (see Figure ii.2.1): (i) a main hydraulic circuit, marked blue; (ii) an auxiliary hydraulic circuit, marked red that is implemented in order to assess different control methods, Bosioc et al. (2012), Tanasa et al. (2013), Bosioc et al. (2014), Tanasa et al. (2016), Muntean et al. (2017); (iii) a lower reservoir with a volume of 4 m³; (iv) a main pump that is able to provide a maximum flow rate of 40 l/s; (v) an upper reservoir equipped with a honeycomb section to provide an uniform flow at the inlet of the swirl test section, and (vi) a test section, marked magenta.

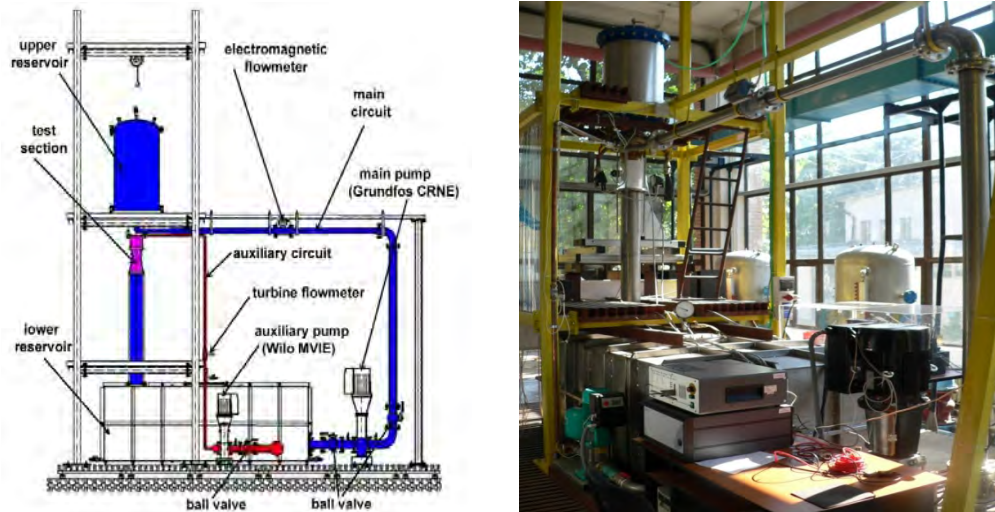


Figure ii.2.1 Experimental closed loop test rig installed in Hydraulic Machinery Laboratory, Politehnica University of Timisoara: sketch of the test rig and photo.

The Timisoara swirl generator is shown in Figure ii.2.2. The swirl generator was designed to operate similar to a Francis turbine model at partial discharge Avelan (2000), Ciocan et al. (2007). This part load operating point was chosen at 70% because at this regime the vortex rope is well developed and generates the largest pressure pulsations, Ciocan et al. (2007).

The swirling flow apparatus (Figure ii.2.3) is installed with two main parts, the swirl generator (Figure ii.2.2) and the convergent-divergent test section. The swirl generator has an annular section with hub and shroud diameters of $D_{\text{hub}}=0.09\text{m}$ and $D_{\text{shroud}}=0.15\text{m}$, respectively, and 13 guide vanes and a free runner with 10 blades for generating the swirling flow. The guide vanes create a tangential velocity component while keeping a constant total pressure, Resiga and Muntean (2009). The purpose of the runner is to redistribute the total pressure by inducing an excess in the axial velocity near the shroud and a corresponding deficit near the hub, like a Francis turbine operation at partial discharge, Figure ii.2.4. The runner blades thus act like a turbine near the hub and a pump near the shroud. This keeps the free runner at a constant rotational speed. The runner speed is 920rpm at a discharge of 30 l/s.

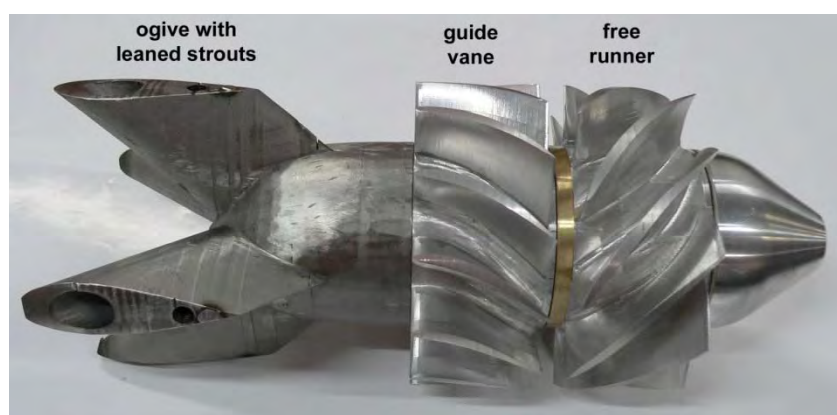


Figure ii.2.2 The swirl generator designed and installed on Timisoara test rig.

The inverse method developed by Zangeneh (1991) was used to design the runner and the guide vanes. The runner's exit velocity profiles resulted from the FLINDT project were imposed for design. These velocity profiles were measured by Ciocan et al. (2007) and numerically determined by Stein et al (2006). As a result, the meridian and the swirl velocity profiles on the test section are quite similar to the ones measured downstream the runner of a Francis turbine model, Figure ii.2.4.

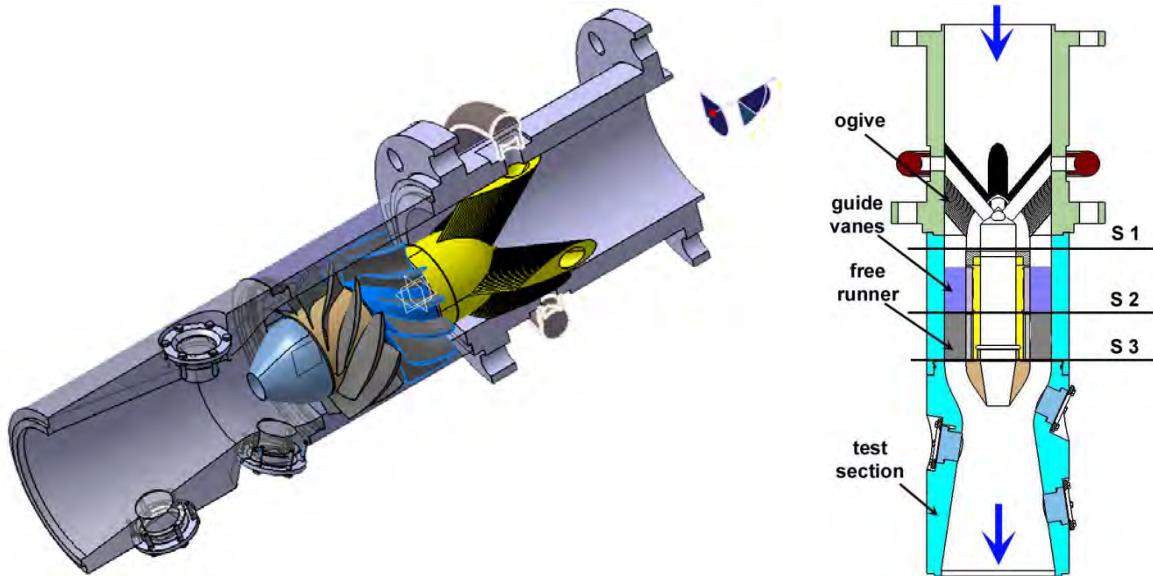


Figure ii.2.3 The swirl apparatus (swirl generator and test section) installed at Politehnica University of Timisoara: view and cross-section.

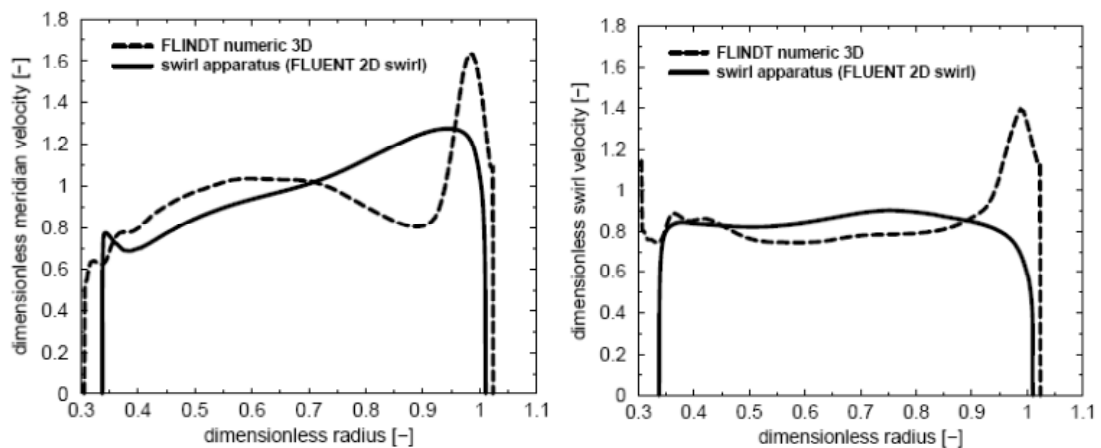


Figure ii.2.4 The validation of velocity profiles (dimensionless meridian and swirl velocity components) between design of swirl generator (Fluent 2D) Resiga et al. (2007) and numerical simulations for FLINDT project, Ciocan et al. (2007).

The divergent part of the test section, with total angle of 17° ($2 \times 8.5^\circ$) is designed to generate a flow similar to that in a Francis turbine draft tube. There is a gap between the blade tip and the shroud of about 0.4 mm.

ii.2.5.2 Test case data

All experimental data are gathered in single phase (non-cavitating) conditions by keeping the test rig pressurized at 0.4 bars, since a cavitating vortex rope brings additional complexity to the flow phenomenon and the experimental methodology. The experimental velocity is obtained with a Dantec Dynamics two-

component LDV. The LDV system consists of an argon laser of 300 mW power and an optical probe with focal length 159.6 mm, beam diameter 2.2 mm and beam spacing 39.2 mm. A three-dimensional traversing system is used for the probe positioning, with 0.01 mm accuracy on each axis. The measurements are performed along each survey axis with a step size of 1 mm. Silver coated hollow glass particles are added into the water for efficient light back-scattering. The average particle diameter is 10 μm , and the relative density is $\rho_{\text{particles}}=\rho_{\text{water}}=1.402$. For each experimental point there are between 20000 and 50000 particles crossing the measuring volume, during the acquisition time of 30 seconds.

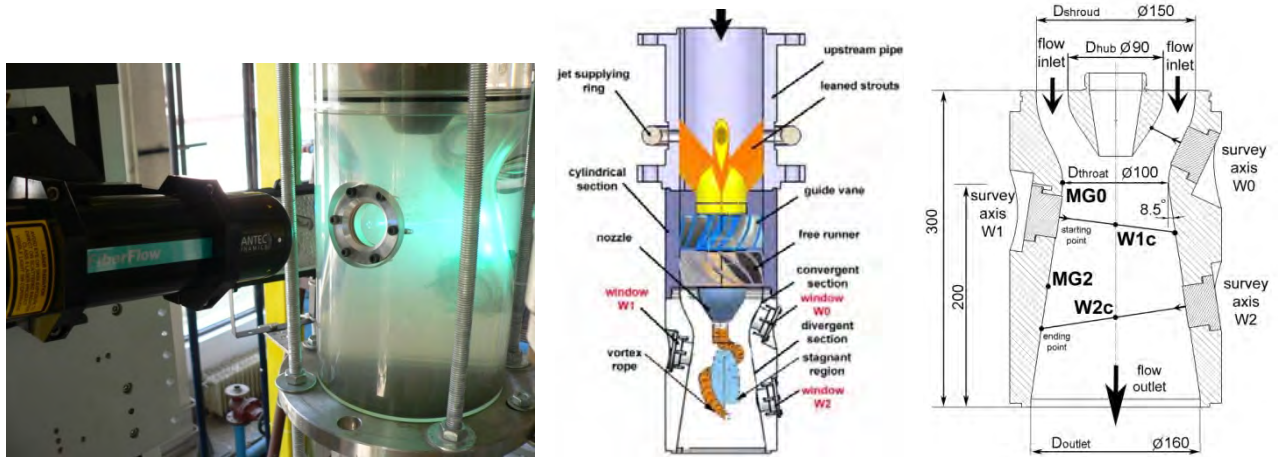


Figure ii.2.5 LDV system installed on the test rig. Swirling flow apparatus and the test section with three optical windows (W0, W1 and W2) installed to measure velocity field.

The velocity measurements are performed along survey axe W0-W2 in the test section, shown in Figure ii.2.5. Survey axis W0 is 70 mm downstream the inlet of the test section. Survey axes W1 and W2 are located in the divergent part of the test section 113 mm and 168 mm downstream of the inlet of the test section, respectively. The LDV measurements start measuring on the point near to the wall and move the probe along to the survey axis to the opposite wall.

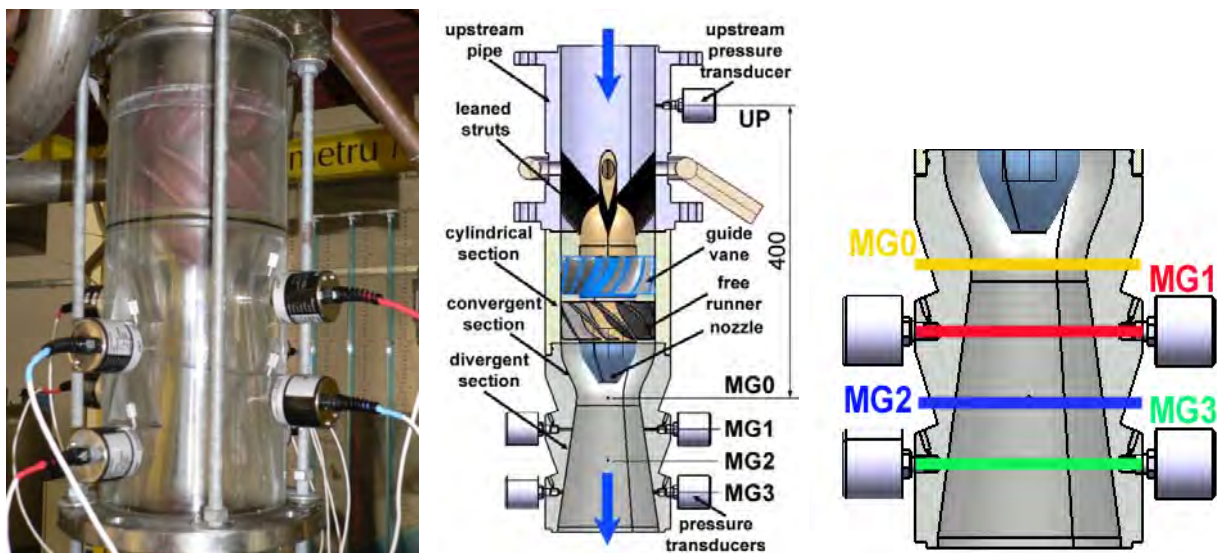


Figure ii.2.6 The test section with wall flash mounted pressure transducers on the rig and the labels for each level.

The unsteady pressure was measured in 9 points on the test rig wall, Figure ii.2.6. Two pressure transducers are installed along to the cone on each level being positioned at 180° each other. The first level corresponds to the throat (MG0) and the next levels are displaced at 50 mm (MG1), 100 mm (MG2) and 150 mm (MG3)

with respect to the first one. One more transducer (UP) is flush mounted on the wall of the upstream cylindrical part of the test section. The position of the upstream pressure transducer is 400 mm with respect to the throat (MG0) level. The transducers measurement range was ± 1 bar with a precision of $\pm 0.13\%$. However, the upper limit of frequency for unsteady pressure transducers is beyond to 50 Hz.



Figure ii.2.7 The visualization of the cavitating vortex rope from the cone of the test section.

It can be seen that the vortex rope develops along the entire length of the cone having a spiral shape with a precession movement, Figure ii.2.7. Several control techniques have been developed and tested by our group to mitigate the self-induced flow instability and its unsteady effects (e.g. axial water jet control Resiga et al. (2007), Muntean et al. (2008), Bosioc et al. (2009, 2012), flow-feedback control (FF) Resiga et al. (2007), Tanasa et al. (2010, 2012, 2013), additional flow-feedback control (FF+) Tanasa et al (2012, 2013), pulsating jet control Tanasa et al. (2016), adjustable diaphragm control Tanasa et al (2015) and variable speed control using a magneto-rheological fluid, Bosioc et al. (2014), Muntean et al. (2017).

Boundary Data

An upper reservoir equipped with a honeycomb section is employed to provide uniform flow at the inlet of the swirl apparatus, as shown in Figure ii.2.3. The runner spins freely under the water flow action (there is no shaft attached to it). Any measurement solution to be found has to be able to determine the speed, to work in a non-invasive manner, and to avoid any through holes in the test section wall in order to keep away from leakage problems. A possible solution to achieve these objectives is to sense the blades when they pass in front of a sensor. Indeed, if using every blade (the manufactured runner has 10 blades) several values of speed may be computed for 360° rotation. An electric signal is generated when sensing a blade.

The sensor has to be able to detect blade's passing from at least 10 mm (to avoid any risks the wall's thickness has to be at least this value). The swirl generator wall geometry was modified to allow for the sensor's mounting Figure ii.2.8. A magnetic sensor was chosen to measure the runner's speed. Its sensing distance is reported to be 60 mm at a maximum frequency of 1 kHz. Despite the fact these sensors detect magnets only this solution is the only one able to handle this sensing distance. Experiments have been performed to decide whether the magnetic sensor is the right solution or not. The purpose was to determine if it is possible to find a magnet which can trigger the sensor from at least 10 mm distance and can be inserted in the runner's blade (the blade's thickness is 5 mm). Cubic ($L \times L \times L = 5 \text{ mm} \times 5 \text{ mm} \times 5 \text{ mm}$) and cylindrical ($D \times H = 1 \text{ mm} \times 2 \text{ mm}$) magnets of neodymium-iron-boron (NdFeB) were considered. The

MM12-60APS-ZCK sensor manufactured by Sick was used for testing purpose. Both magnets triggered the sensor but the distance was different. The cubic magnet triggered the sensor at about 25 mm while for the cylindrical one the sensing distance was about 8-9 mm. Despite their satisfactory sensing range, attaching the cubic magnet to the blade was considered to be too difficult. As such, a bigger cylindrical NdFeB magnet of dimensions ($D \times H = 3 \text{ mm} \times 8 \text{ mm}$) was chosen. A 3 mm diameter hole was made in each blade and a magnet was inserted. A sensor's assembling mechanism allowing distance adjustment is designed. The entire ensemble installed on the rig can be seen in Figure ii.2.8.

Each blade passing by triggers the sensor and generates a single rectangular pulse. The runner's rotation generates a train of pulses (10 of them corresponding to one complete rotation). The NI 6289 data acquisition board manufactured by National Instruments is used to acquire the pulses and compute the runner's speed. Due to the low frequency range, a single counter is used to measure the time intervals between two pulses. A LabVIEW program was employed to measure the runner's speed, Muntean et al. (2011).

Using the above setup, the runner's speed was measured using the pulse train frequency. The measured frequency was 153.3 Hz which corresponds to a speed of 920 rpm for a flow rate of 30 l/s. Previous speed measurements were performed using a strobe. The frequency measured using the stroboscope was 152.4 Hz (corresponding to a speed of 914.4 rpm). Actually, the relative error of the measured speed with strobe respect to magnetic system is less than $\pm 1\%$. The runner speed is 920 rpm at a discharge of 30 l/s.

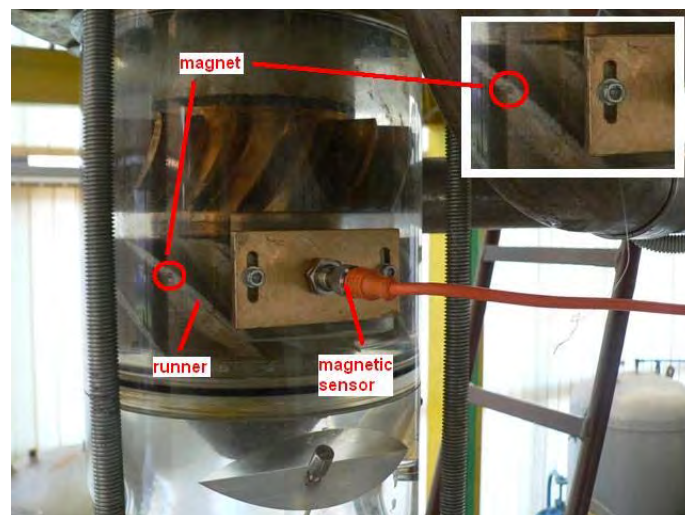


Figure ii.2.8 The system equipped with magnetic sensor installed on the rig in order to measure the speed of free runner.

Measured Data

The survey axes, S^* at sections W0-W2 (see Figure ii.2.5), are normalized by the throat radius, $R_{\text{throat}}=0.05 \text{ m}$, and the velocity is normalized by the bulk velocity, $W_{\text{throat}}=3.81 \text{ m/s}$, at the throat. The survey axes have their origin at the wall and are perpendicular to the wall. The mean meridian velocity component is measured along the survey axes. The meridian velocity is defined as the velocity component which is perpendicular to the survey axes. The meridian velocity is called axial velocity hereafter for simplicity. The mean tangential velocity component is measured along the survey axes. The length is based on the distance from the wall. The root mean square values corresponding to the velocity fluctuations are included.

LDV data

The computed velocity profiles with measured runner speed are compared with measured velocity data on the window W0 installed on test section in order to verify the statement from previous section. In the experimental investigations two velocity components were measured by Dr. A. Bosioc using the optical

LDV system (meridian and tangential velocity components). Each point measured along to the survey axis contains the average meridian and tangential velocity components as well as the variation of random mean square or RMS, Bosioc et al. (2012). Formulas for measured average velocity and for RMS are given below:

$$\bar{u}_i = \sum_{i=0}^{N-1} \frac{1}{N} \cdot u_i \quad (\text{ii.2.1})$$

$$u_{RMS} = \sqrt{\sum_{i=0}^{N-1} \frac{1}{N} \cdot (u_i - \bar{u})^2} \quad (\text{ii.2.2})$$

The measurements of the mean and fluctuating axial and tangential velocities are provided along to three survey axes (W0, W1 and W2 see Figure ii.2.5) in the following files of the test case, see Figure ii.2.9:

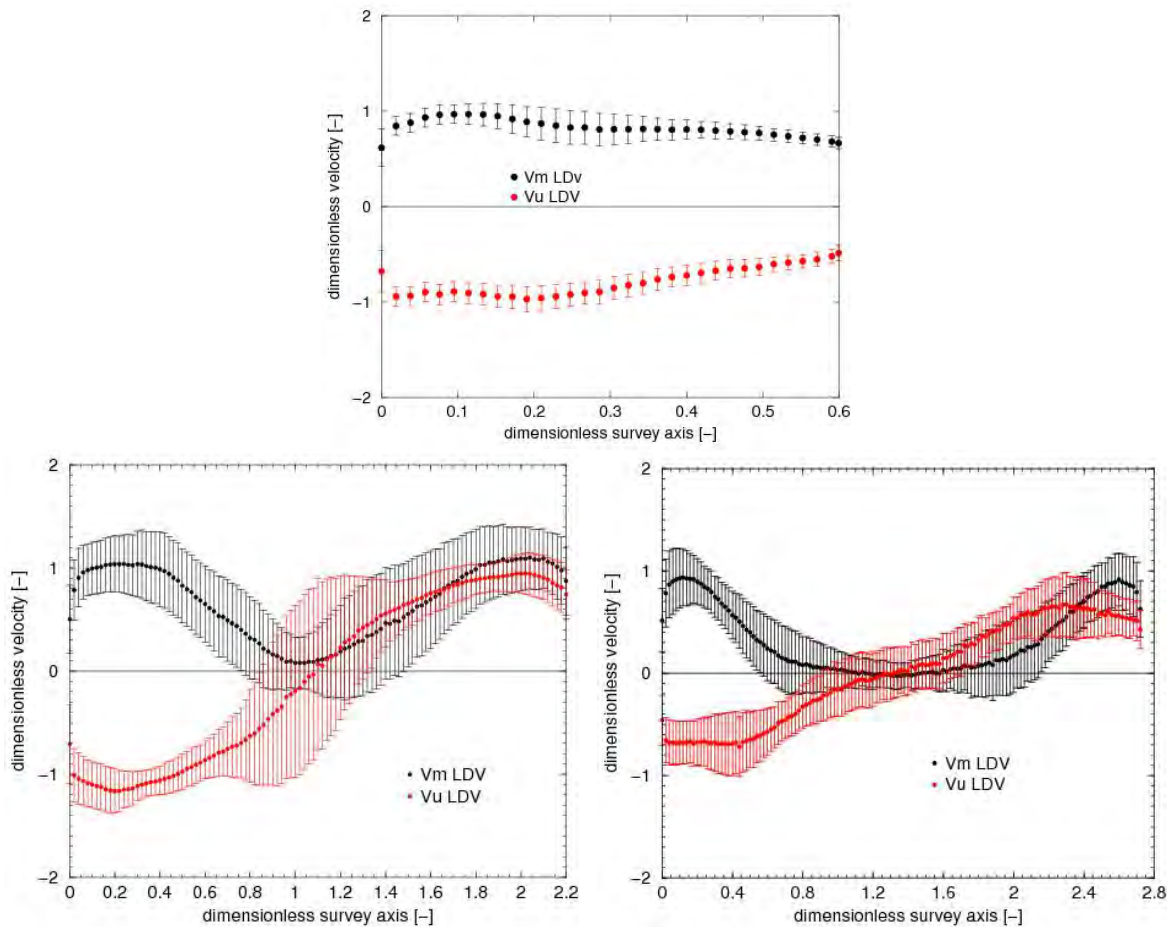


Figure ii.2.9 The meridian (black) and circumferential (red) velocity components measured with LDV system along to the survey axes: W0 up, W1 down/left and W2 down/right.

The swirling flow hydrodynamics, and in particular its stability properties and various approaches to control it is a very important topic in the context of hydraulic turbines. In particular, the decelerated swirling flow in the turbine draft tube cone becomes unstable when the Francis turbine is operated at part load, with the development of a precessing spiral vortex (so-called vortex rope) and associated severe pressure fluctuations, Bosioc et al. (2012). In order to investigate the swirling flow downstream the Francis runner blades, at operating points corresponding to partial discharge, one can obviously use a model turbine or choose a less expensive approach and build a suitable swirl generator, Resiga et al. (2007), Resiga and Muntean (2009), Muntean et al. (2011). The swirling flows were designed by considering either absolute or relative flow angles distributions from hub to tip in the annular bladed region. A special configuration is investigated, with fixed guide vanes followed by a free runner.

Experimental data analysis

Firstly, the Fourier spectrum of the signal recorded by the pressure transducer (UP) located upstream to the test section (see Figure ii.2.6) is plotted in Figure ii.2.10. The discriminated signals cannot be obtained on this level due to only one transducer is installed. Two frequencies around 2.5 Hz and 19 Hz can be clearly identified at this level.

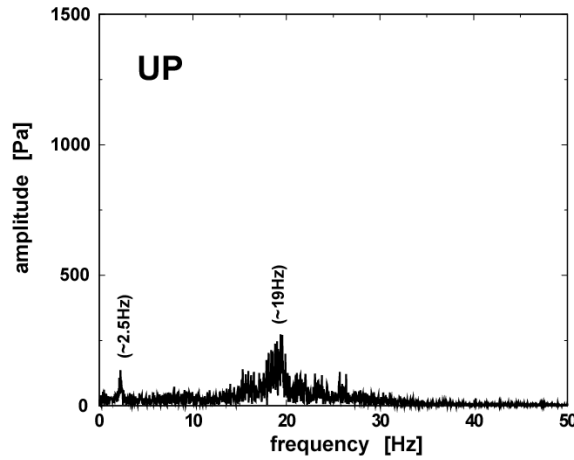


Figure ii.2.10 Fourier spectrum of the unsteady pressure signal acquired by the pressure transducer (UP) located upstream to the test section, see Figure ii.2.6.

Secondly, two unsteady pressure signals acquired on each four level of the cone are used to discriminate the plunging and rotating components using the procedure proposed by Nishi et al (1984, 1988). The Fourier spectra of the decomposed signals are plotted in Figure ii.2.11. Further, the spectrum of the plunging component (PC) is shown with black while the spectrum of the rotating one (RC) with red, respectively.

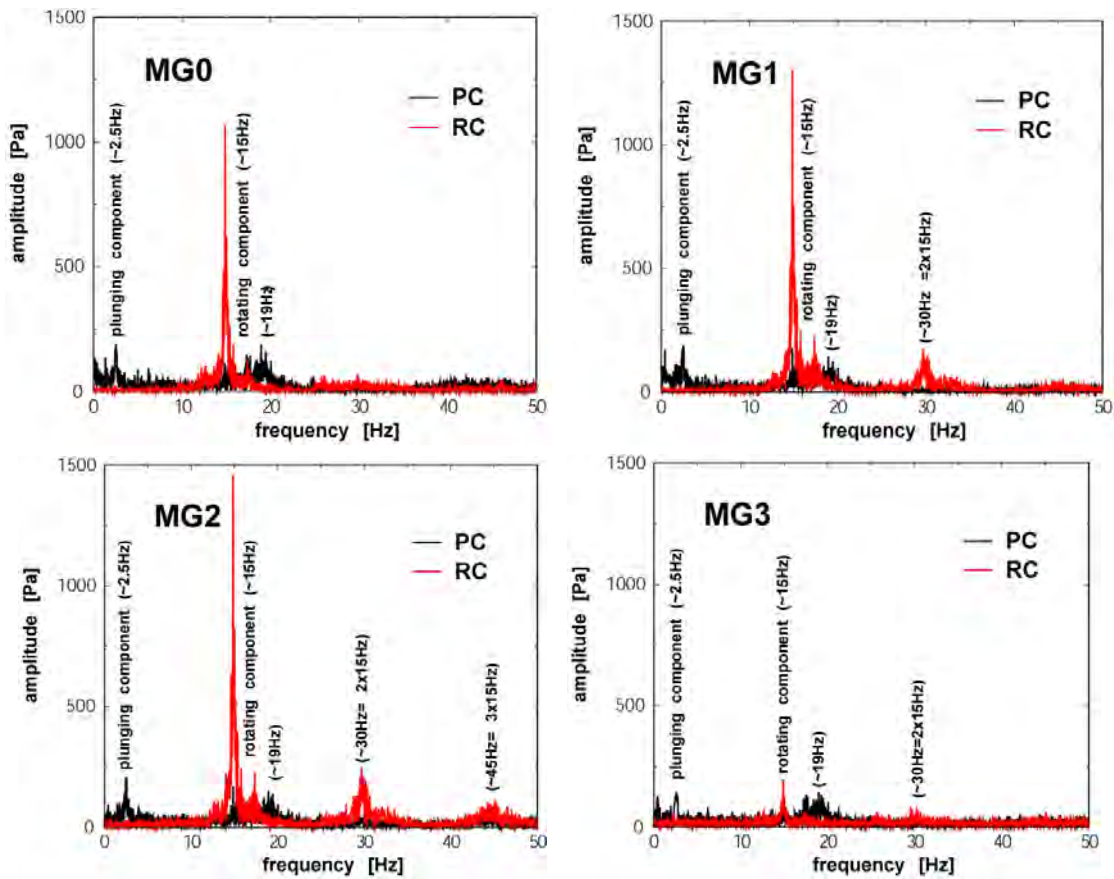


Figure ii.2.11 Fourier spectra of the decomposed unsteady pressure signals at all four levels on the cone wall (from MG0 to MG3, Figure ii.2.6).

One can observe on the Fourier spectrum of the rotating component that the vortex rope frequency is around 15 Hz. The largest amplitude of the rotating component is measured on MG2 level. The amplitude of the rotating component is ten times smaller on MG3 level with respect to MG2 level. The frequency of 30 Hz is distinguished on the Fourier spectrum of the rotating component on the levels MG1 and MG2. This frequency corresponds to second harmonic of the vortex rope ($30 \text{ Hz} = 2 \times 15 \text{ Hz}$). The largest amplitude of this frequency is assessed on MG2 level being the same level with rotating component. It is obvious that these two frequencies are connected with the vortex rope. The rotating component is generated by the vortex rope and it remains trapped in the cone Wu et al. (2013).

Two frequencies around 2.5 Hz and 19 Hz can be distinguished on the plunging component of the Fourier spectra. The low frequency around 2.5 Hz is clearly observed on all levels displaced in the cone (from MG0 to MG3) and upstream. The largest amplitude is determined in the cone and the smallest one on the upstream level. It is assumed that this frequency originates in the cone based on above observation.

The frequency around 19 Hz is observed on all levels along to the test section. The largest amplitude is obtained on the upstream level while the smallest one on MG3 level. Therefore, it is expected that this frequency to be associated to the test rig being generated upstream to the test section and it is propagated downstream. However, all above spectra are obtained using signals recorded at the wall. Next, LDV system with two components is used to check if previous frequencies can be identified within the unsteady flow field. In this case, two monitors (W1c and W2c, see Figure ii.2.5) located on the test section axis are selected to check the occurrence of the low plunging component. The Fourier spectra of the signals acquired in these two monitors are plotted in Figure ii.2.12. One can observe that the low plunging component of 2.5 Hz is stronger in the first part of the cone than the second part. Conclusively, our experimental investigation within a straight diffuser clearly reveals a low synchronous (plunging) pulsation and the source of it is located in the first part of the cone.

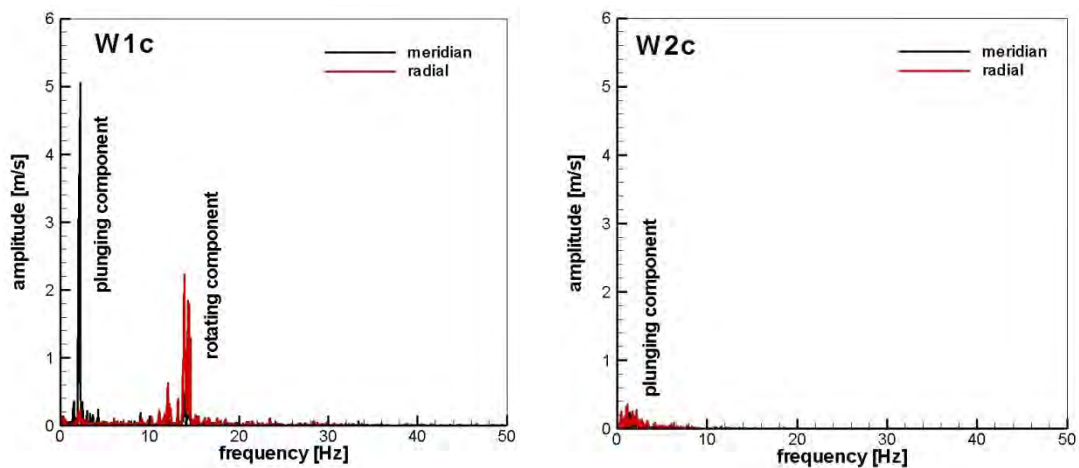


Figure ii.2.12 Fourier spectra of the unsteady velocity component signals acquired in two locations on the test section axis: W1c and W2c (see Figure ii.2.5c)

The geometrical configurations and experimental data were included in two test cases called Timisoara Swirl Generator: ERCOFTAC test case, Javadi et al. (2016), and OpenFOAM test case Petit et al. (2010). As a result, several foreigner groups from Sweden (Chalmers), Germany (Stuttgart), Czech Republic (Brno), Japan (Yokohama) and Russia (Novosibirsk) were used our test case to validate numerical results using several numerical methods. Moreover, our swirl generator was cloned by the colleagues from Novosibirsk in their laboratory to extend experimental investigations on twin vortex ropes.

ii.2.6 Numerical flow simulation

ii.2.6.1 3D computational domains and boundary conditions

3D computational domains correspond to the swirl generator from our experimental test rig, Figure ii.2.3. The swirl apparatus (swirl generator and test section) includes four subdomains: ogive, guide vane, free runner and test section, see Figure ii.2.3.

The ogive is the first element of the swirl generator and it ensures the connected point with the upper pipe. Figure ii.2.13 presents the ogive geometry and the 3D computational domain (1/8 part from whole geometry) is shown in Figure ii.2.14. A structured grid with 40000 hexahedral cells is generated on the 3D computational domain of the ogive using Gambit (2006). The uniform axial velocity corresponding to the operating point discharge is prescribed as inflow condition on the inlet surface while the pressure distribution is imposed on the outlet section of the ogive.

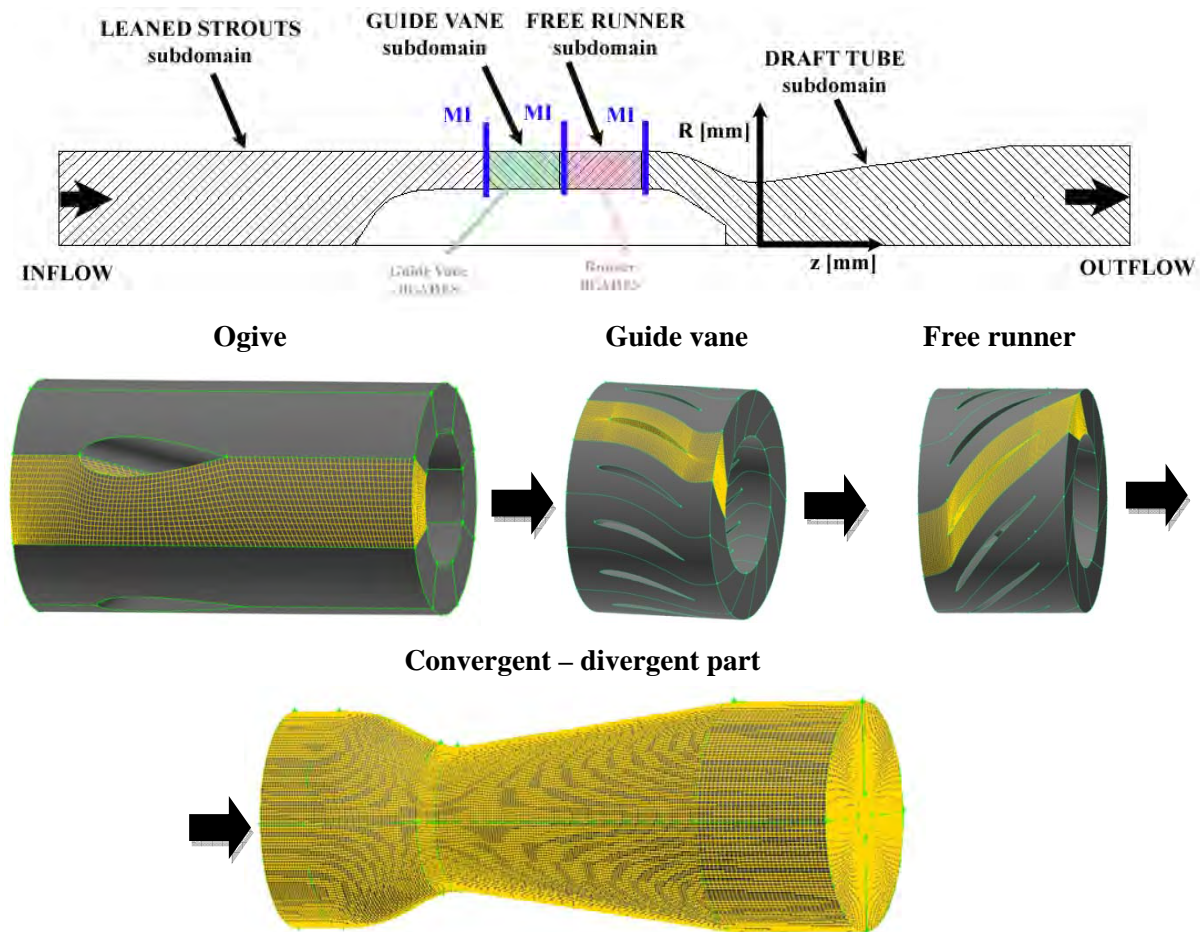


Figure ii.2.13 2D domain of the swirling apparatus (upper) and 3D subdomains of the swirl generator (lower)

The guide vane with 13 blades was designed using TurboDesign¹ (2006) in order to provide a particular velocity field (constant axial velocity component and free vortex distribution like tangential velocity component, see dashed lines in Figure ii.2.17) at the runner inlet. Only one guide vane interblade channel is selected in our computation, see Figure ii.2.15. A structured grid with 60000 hexahedral cells is used. The velocity field is imposed like an inflow condition on the inlet section while the pressure distribution is considered on the outlet section of the guide vane.

The free runner is located just downstream to the guide vane and it spins freely. Only one runner interblade channel is selected in our computation, see Figure ii.2.15. A structured grid with 245000

hexahedral cells is built for runner computational domain. The numerical simulation is performed on each subdomain using FLUENT (2006). The boundary conditions imposed on each subdomain are shown in Figures iii.2.14 and Figure ii.2.15.

The mixing interface technique is used in order to couple the steady absolute flow from stationary parts with steady relative flow from runner. The mixing interfaces are marked with blue color in Figure ii.2.13 and labeled with MI. As can be seen in Figure ii.2.13, three mixing interfaces are used between ogive – guide vane, guide vane - runner and runner – test section. The benchmark problem called “*Timisoara Swirl Generator*” which includes the computational domains with mesh and experimental data are available like OpenFOAM test case, Petit et al. (2011).

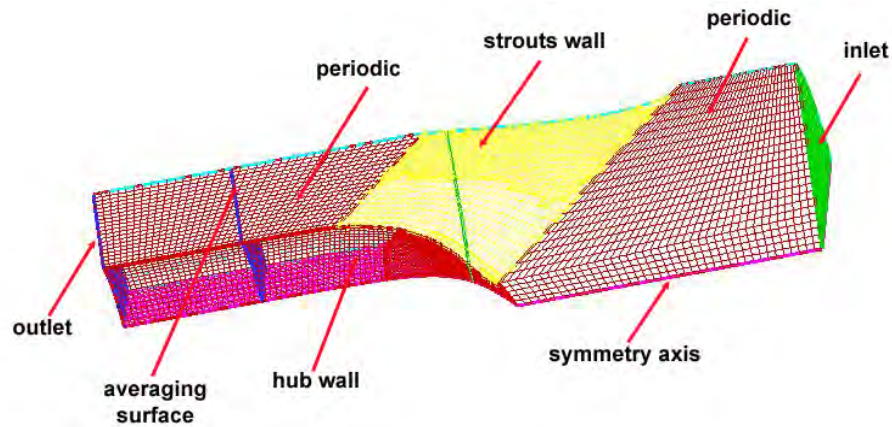


Figure ii.2.14 3D computational domain and boundary conditions for the ogive.

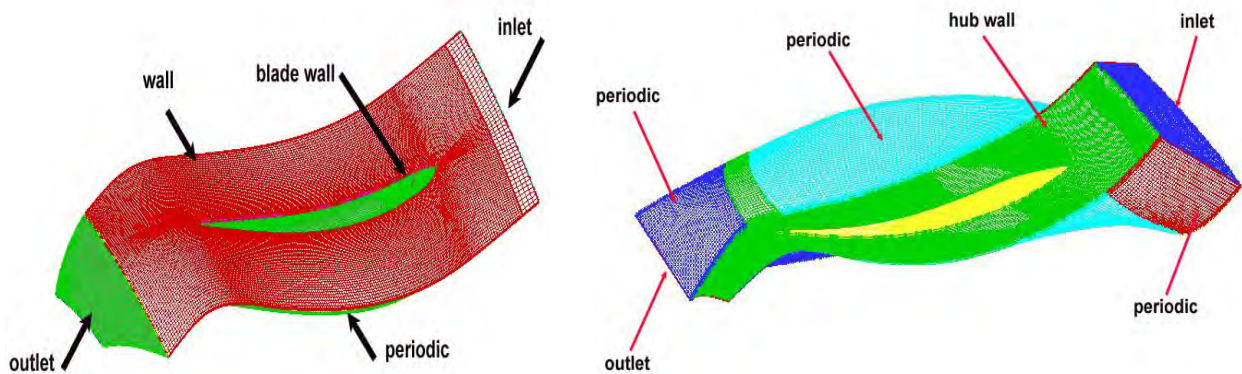


Figure ii.2.15 3D computational domain and boundary conditions for guide vane (left) and free runner (right)

ii.2.6.2 Numerical analysis

The flow in entire swirling generator is computed using FLUENT (2006). Three cross-sections are defined in order to analyze the flow into the swirl generator. The first cross-section called S1 is defined at the ogive exit in order to check the velocity profiles at guide vane inlet. The second cross-section labelled S2 is considered at the guide vane exit. The last cross section marked S3 is located at the runner exit. All the velocity profiles from numerical simulation have been circumferentially averaged that is equivalent to the full mixing of the wakes.

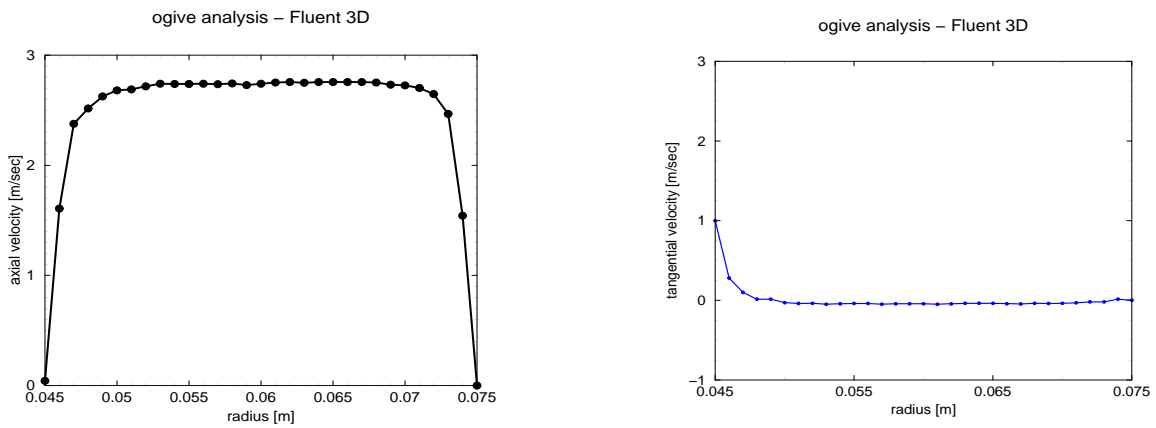


Figure ii.2.16 Axial and tangential velocity components on the cross-section S1 located between ogive and guide vane. The velocity profiles obtained from numerical simulation.

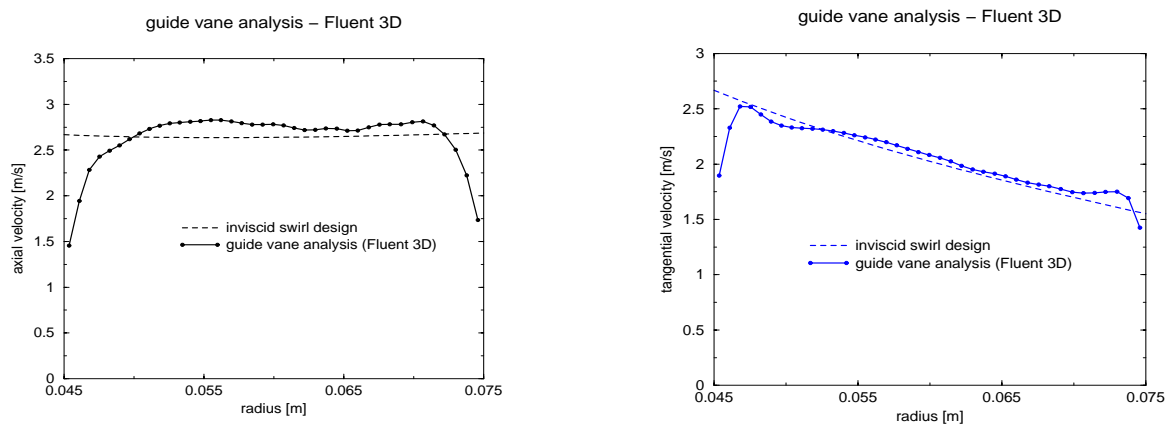


Figure ii.2.17 Axial and tangential velocity components on the cross-section S2 displaced between guide vane and runner. The designed velocity profiles (dashed lines) against computed velocity profiles (solid lines).

Figure ii.2.16 presents the axial and tangential velocity components on the cross section S1 displaced upstream to the guide vane (see Figure ii.2.3). One can observe a uniform velocity field on the annular section with velocity deficit in the boundary layer near to the hub and shroud, respectively.

The axial and tangential velocity components on the annular cross section S2 displaced downstream to the guide vane (upstream to the runner) is plotted in Figure ii.2.17. An excellent agreement is obtained between numerical results (solid lines) and designed data (dashed lines) for both axial and tangential velocity components. As it is expected, the discrepancy is obtained in the boundary layer of the hub and the shroud due to the inviscid design theory.

The axial and tangential velocity components on the cross-section S3 are plotted in Figure ii.2.18. An excellent agreement is obtained between numerical results (solid lines) and designed data (dashed lines) for axial velocity component. Also, the discrepancy is obtained in the boundary layer of the hub and the shroud due to the inviscid design theory. Moreover, it can be clearly seen the axial velocity deficit near to hub and the axial velocity excess near to shroud. A different profile for tangential velocity component (red solid line) is obtained from numerical simulation in comparison with the designed one (red dashed line). One can observe an acceptable discrepancy in tangential velocity distribution near to the hub where the runner acts like a turbine.

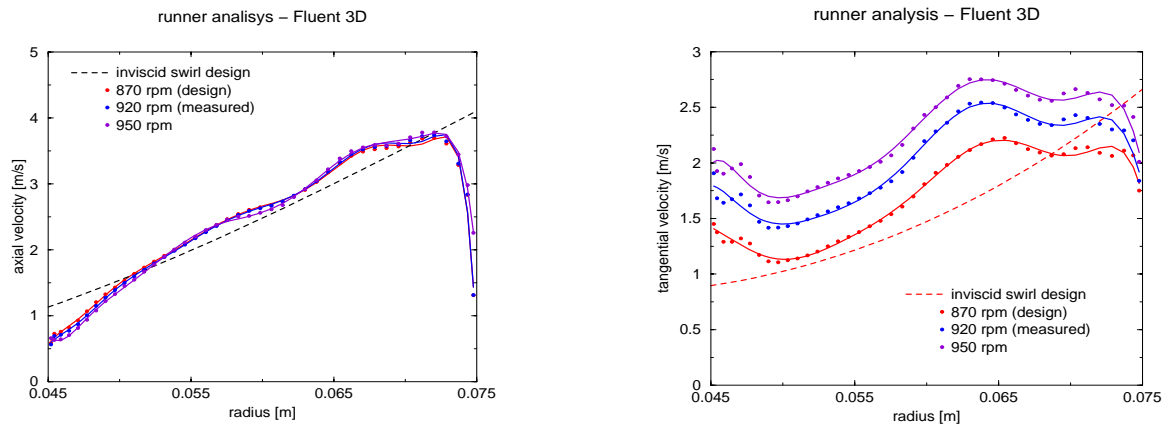


Figure ii.2.18 Axial and tangential velocity components on the cross- section S3 located at the free runner outlet. The designed velocity profiles (dashed lines) against computed velocity profiles (solid lines). Comparison between velocity profiles computed with different values of the runner speed: 870 rpm – designed speed (red), 920 rpm – measured speed (blue) and 950 rpm (magenta).

However, a constant distribution near to shroud is obtained where the runner operates like a pump. This constant distribution of the tangential velocity near to the shroud occurs due to the flow separation. Consequently, the runner is working worse like a pump near to the shroud than the designed one. As a result, the torque balance between the turbine and the pump conditions on the runner blades is modified leading to an increased runner speed (from 870 rpm at design stage to the 920 rpm measured on the test rig) in order to spin freely. A system equipped with magnetic sensor was installed on the rig to measure the free runner speed in order to support the previous explanation. The experimental setup is described in next section.

Figure ii.2.19 presents comparison of the two velocity components: meridian and tangential velocity from experimental investigation in comparison with numerical simulation. A good agreement between numerical results and experimental data is obtained for both velocity components. For measured meridian velocity component at the middle of survey axis is noted largest values for RMS due to transition of the blade geometry from turbine configuration to the pump configuration, Muntean et al. (2011).

Conclusively, the numerical results are validated against experimental data. The hydrodynamics of swirl generator is accurately evaluated. As a result, the flow ingested by the test section is accurately computed leading to a controlled decelerated swirling flow in the conical diffuser.

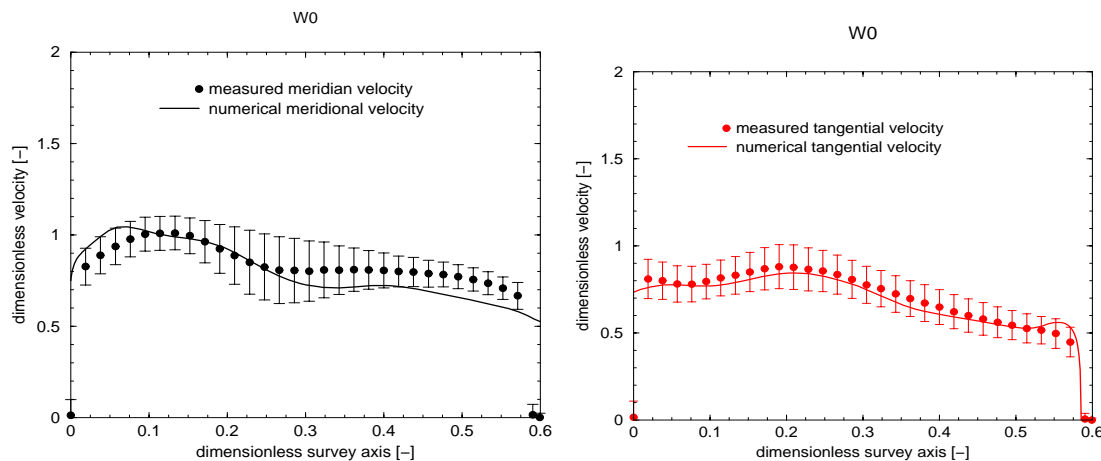


Figure ii.2.19 Comparison between measured velocity profiles (meridian and tangential components) along to the survey axis from W0 and computed velocity profiles with 920 rpm runner speed.

Numerical setup/data

The 3D numerical investigations are performed in order to elucidate the cause of the low plunging component. The numerical setup is presented followed by the validation of the numerical results against experimental data.

The 3D computational domain corresponds to the convergent-divergent section of the test rig, Figure ii.2.5. The inlet boundary conditions are imposed on the annular section located just downstream to the free runner while the outlet section belongs to a cylindrical extension of the divergent part, see Figure ii.2.3.

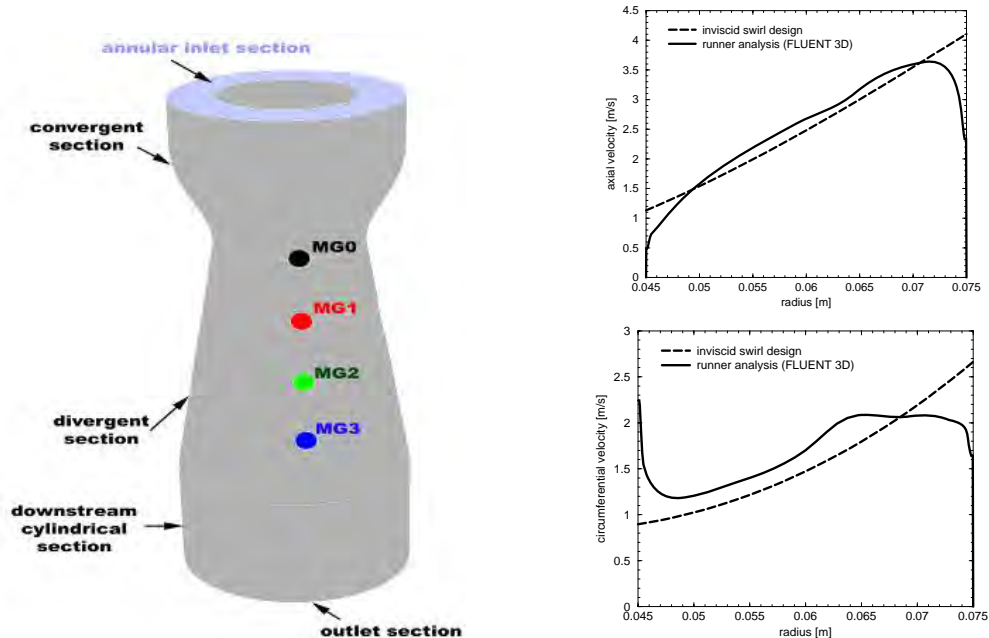


Figure ii.2.20 3D computational domain with pressure tap markers and the velocity profiles imposed as inflow conditions.

Figure iii.2.20 shows the inviscid design of the swirl just downstream the runner (dashed lines) and the actual velocity profiles yielded from a turbulent 3D numerical analysis of the flow in the runner (solid lines). Although the axial velocity closely follows the intended profile, the circumferential velocity cannot reach the intended profile near the shroud. However, this 3D turbulent velocity profile is used as inflow condition in our numerical computations, together with profiles of turbulence kinetic energy and dissipation from the same numerical results, Muntean et al. (2009).

The numerical simulations presented in this work were done with FLUENT 6.3/16.2 codes. A structured mesh with 2 million cells is used. The average y^+ values range between 40-240, except in the separated region below the central body, where it increases to 400, but in that region the log-law doesn't make sense anyway. The unsteady Reynolds-averaged Navier-Stokes equations with three turbulence models closure are considered. The RNG k - ϵ model is selected together with enhanced wall treatment and pressure gradient effects. The radial equilibrium condition was used at the outlet, based on previous validations, Muntean et al. (2004), Muntean (2008).

The convection terms are discretized using a 2nd order scheme, and the time terms are discretized using the 2nd order scheme for numerical stability. The time step was selected 10^{-4} s based on numerical study performed to capture as accurate as possible the frequency of the rotating component, Muntean et al. (2015).

ii.2.7 Numerical results. Validation against experimental data

Although the computational domain is axi-symmetric, Figure ii.2.20, and the inlet boundary conditions are steady and axi-symmetric (radial profiles for axial and circumferential velocity components), the decelerated

swirling flow in the conical diffuser develops an instability, with a precessing helical vortex, Muntean et al. (2009, 2015) as shown in Figure ii.2.7 with a low frequency component embedded in it Petit et al. (2011).

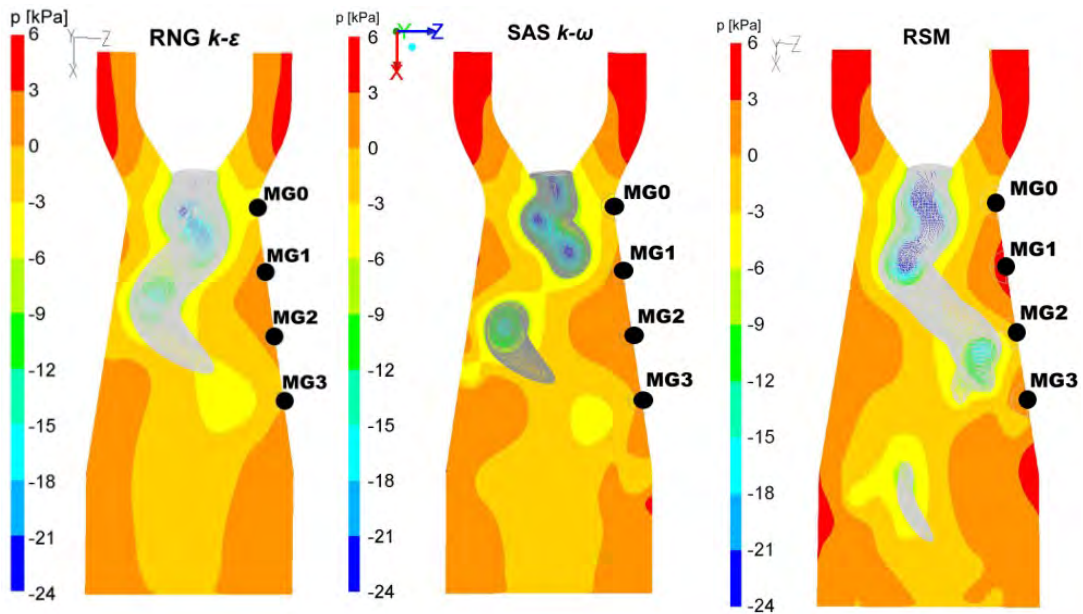


Figure ii.2.21 Visualisation of the cavitating vortex rope on the test rig and the vortex rope based on numerical simulation with three models (RNG $k-\epsilon$ model, SAS $k-\omega$ model and RSM model) using iso-pressure surface.

All turbulence models considered in this paper are able to reproduce the well-known precessing vortex rope visualized on the test rig. The vortex rope is visualised for all turbulence models in Figure ii.2.21 using a snapshot of an iso-surface of static pressure. The meridian cross-section is colored by the static pressure. Both RNG $k-\epsilon$ and SAS $k-\omega$ models are able to reproduce the vortex rope development situated in the first part of the cone. However, both models are limited to capture the vortex rope tail located in the second part of the cone. One can observe that the RSM model captures better the vortex rope extension than other two models considered in this study.

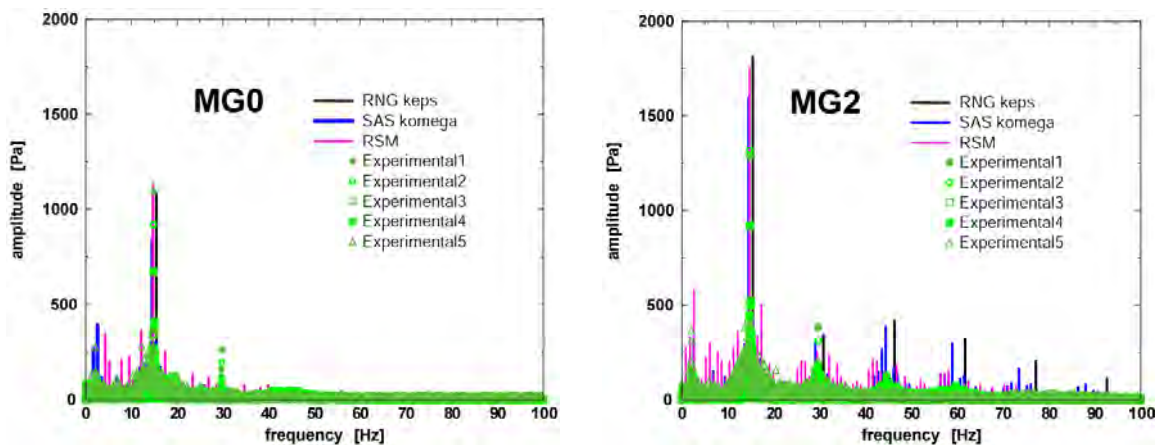


Figure ii.2.22 Validation of the numerical results against experimental data using Fourier spectra of the unsteady pressure signals acquired on MG0 and MG2 levels

This three-dimensional precessing helical vortex induces an unsteady pressure field. Four numerical pressure monitors (from MG0 to MG3) are located along to the element of the cone in the same positions as the pressure taps in the experimental setup, see Figure ii.2.6. The numerical results are validated against experimental data in order to assess the numerical set-up. The Fourier spectra on MG0 and MG2 levels are

presented in Figure ii.2.22. The numerical simulation with RNG $k-\varepsilon$ model captures well both frequency and amplitude of the rotating component on MG0 level while its amplitude is overestimated on MG2 level. Moreover, this model is not able to capture any plunging component Muntean et al. (2009).

The SAS $k-\omega$ model provides an improved prediction of the unsteady field than RNG $k-\varepsilon$ model. The frequency of the rotating component is accurately restored by the SAS $k-\omega$ model on both levels while the amplitude is underestimated on MG0 level. Contrary, the higher harmonics on MG2 level are overestimated with respect to the experimental data. The low frequency plunging component is captured on both levels with this model.

Both frequency and amplitude of the rotating component are accurately computed by the RSM model on both levels excepting the amplitude on MG2 level which is overestimated. One can observe an improved prediction for the higher harmonics on MG2 level than numerical results obtained with SAS $k-\omega$ model. Also, the low frequency plunging component is captured on both levels with this model even if an overprediction can be observed on MG2 level. Conclusively, the most accurate numerical results against experimental data are obtained with RSM model. As a result, the RSM model is further used in order to investigate the vortex rope dynamics.

ii.2.8 Influence of the adverse pressure gradient on swirling flow

2D axi-symmetric turbulent swirling flow with SRM model produces a central stagnant region and the main flow occupying an annular section up to the wall. The cases selected include a straight pipe (with 0°) and four discharge cones (with half-angle from 2.125° to 12.75°). The quasi-stagnant region extension is identified on axial velocity component map for all investigated cases, Figure ii.2.23. It is already proved by Resiga et al. (2009) that the vortex breakdown (also known as precessing vortex rope) is wrapped on a quasi-stagnant region developed in the axis neighbourhood.

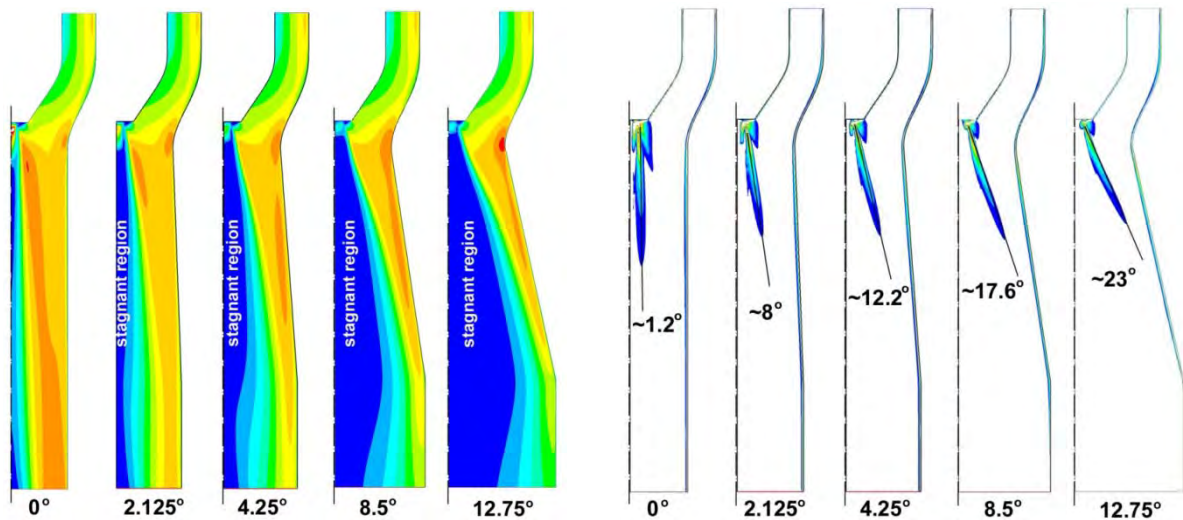


Figure ii.2.23 Stagnant region extension identified on the meridional velocity component map and the vortex sheet from 2D axi-symmetric turbulent swirling flow with SRM model.

As a result, the vortex sheet generated between the quasi-stagnant region and the main stream is an indicator about self-induced instability. Therefore, the vorticity magnitude map is plotted in Figure ii.2.23 selecting the maximum values in the computational domain in order to visualize the vortex sheet.

Clearly, the vortex sheet angle is larger than the discharge cone angle for all cases (Figure ii.2.24) being in agreement with experimental data provided by Ciocan and Iliescu (2007) for a Francis turbine model.

However, the self-induced instability type cannot be identified based on 2D axi-symmetric numerical analysis.

The main purpose of the cone is to convert as much as possible the kinetic energy into pressure potential energy with minimum energy losses. Therefore, *loss coefficient* ζ and the *kinetic-to-potential energy conversion ratio* χ are defined in order to assess the discharge cone performance.

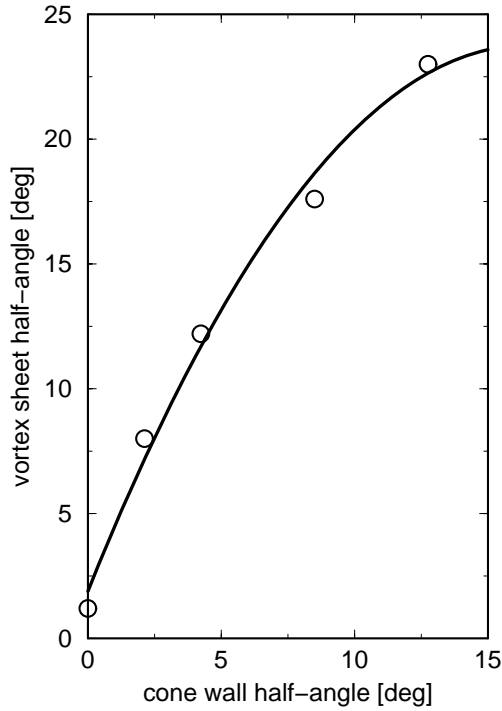


Figure ii.2.24 Vortex sheet half-angle computed using 2D axi-symmetric turbulent swirling flow with SRM model.

The following integral quantities are introduced by Resiga et al. (2010) on a generic cross section $S(z)$ at the axial distance z from the inlet section in order to analyze the kinetic-to-potential energy transformation process:

Flux of potential energy

$$\Pi(z) \equiv \int_{S(z)} p(z,r) \mathbf{V} \cdot \mathbf{n} dS \quad [W] \quad (\text{ii.2.3})$$

Flux of kinetic energy

$$\mathbf{K}(z) \equiv \int_{S(z)} \frac{\rho V^2(z,r)}{2} \mathbf{V} \cdot \mathbf{n} dS \quad [W] \quad (\text{ii.2.4})$$

Flux of mechanical energy

$$E(z) \equiv \Pi(z) + \mathbf{K}(z) \quad (\text{ii.2.5})$$

For a loss-free flow the flux of total mechanical energy E is constant. However, when energy losses are present E decreases monotonically as the cross section $S(z)$ is moved downstream, i.e. for increasing z in our case.

If we denote $\Pi_0 = \Pi(z=0)$ and $\mathbf{K}_0 = \mathbf{K}(z=0)$, where $z=0$ corresponds to the inlet section (the throat), the total hydraulic power dissipated up to a section $S(z)$ is $E_0 - E(z) > 0$, where obviously $E_0 = \Pi_0 + \mathbf{K}_0$.

The dimensionless *loss coefficient* ζ and the *kinetic-to-potential energy conversion ratio* χ are defined as follow Resiga et al. (2010):

$$\zeta(z) \equiv \frac{E_0 - E(z)}{K_0}, \quad \chi(z) \equiv \frac{\Pi(z) - \Pi_0}{K_0 - K(z)} < 1 \tag{ii.2.6}$$

The evolution of the energy loss coefficient $\zeta(z)$ in the discharge cone for all five cases using 2D and 3D computation, Figure ii.2.25, emphasizes the rapid increase when the adverse pressure gradient is stronger. The loss coefficient is underestimated with 2D axi-symmetric model. The distribution of the energy losses along to the cone with half-angle from 0° to 4.25° seems to be linear. Particularly, the same distribution along to the cone with half-angle of 8.5° is like in the pipe on the first half part while significant energy losses are quantified in the second half part. As a result, a compact discharge cone with half-angle of 8.5° has small energy losses. The energy losses along to the discharge cones with half-angle of 12.75° are significantly larger than other cases. The vortex breakdown phenomenon leads to increased energy losses.

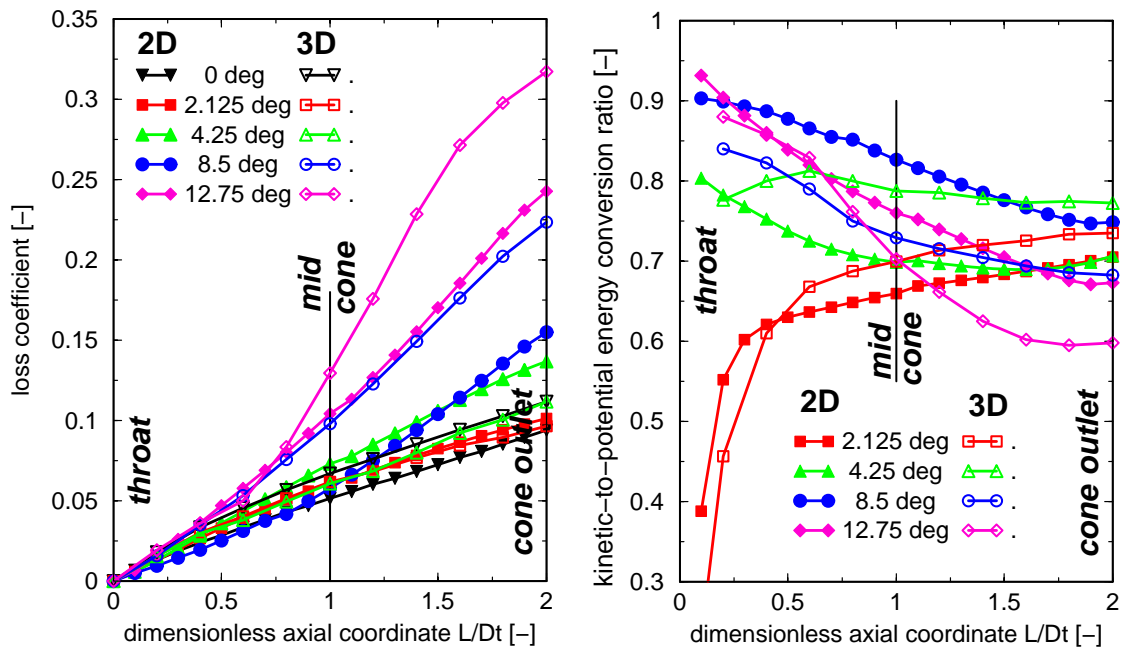


Figure ii.2.25 The energy loss coefficient ζ computed with 2D model and 3D for all cases and he kinetic-to-potential energy conversion ratio χ .

Expectedly, the kinetic-to-potential conversion ratio χ is higher for large cone angles while the ratio is almost negligible for small angles, Figure ii.2.25. One can observe that the conversion ratio is better in the second part of the cone at lower angles. Contrary, the conversion ratio is better in the first part of the cone at large cone angles.

Bear in mind that this behaviour is yielded for a particular swirling flow configuration. As a result, the appropriate geometry for this particular swirl is the cone with half angle around 4.25° due to the almost constant conversion ratio and reduced energy loss coefficient.

The self-induced instability type developed in the discharge cone is determined based on 3D unsteady numerical simulation, Figure ii.2.26. The shape of self-induced instability is visualized using iso-pressure (grey shapes in Figure ii.2.26). Once can observe that the self-induced instability type evolves from an axi-symmetric form in a straight pipe to a helical form in a discharge cone Alekseenko et al. (2007). It is clearly

observed that the stagnant region (blue zone in Figure ii.2.26) becomes larger once the adverse pressure gradient is stronger.

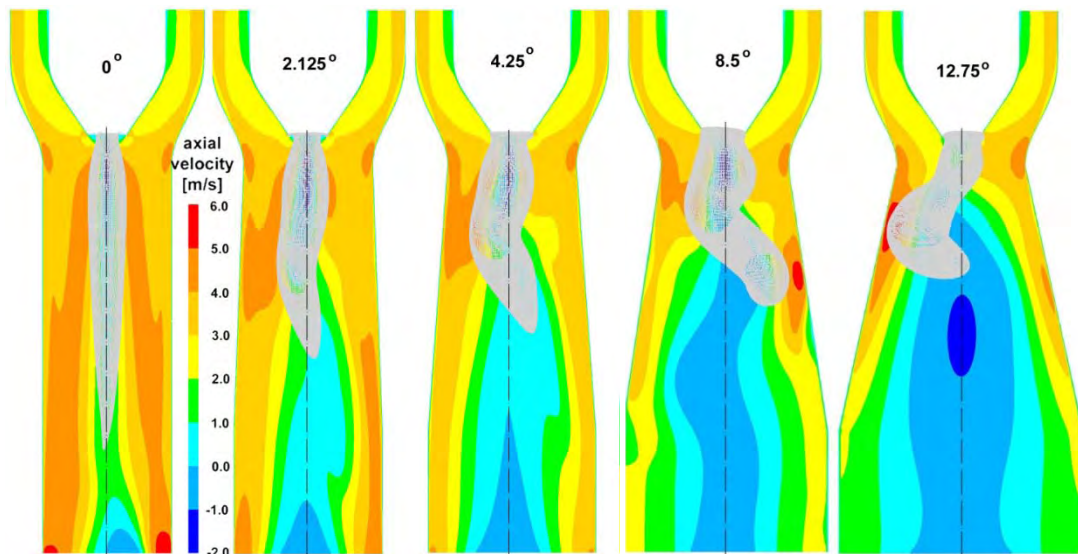


Figure ii.2.26 Axial velocity map in a meridian cross-section and self-induced instability type marked with grey as a iso-pressure surface.

The pressure pulsations associated to the self-induced instability is recorded on the wall at four levels denoted MG0 (in the throat), MG1, MG2 and MG3 in Figure ii.2.26. Fourier spectra on all levels are obtained using two time steps (1 ms and 0.1 ms), Figure ii.2.27. The fundamental frequency (f_v) associated to the self-induced instability increases once the pressure gradient is weaker suggesting a vortex stretching phenomenon, Figure ii.2.27. The discrepancy between values of fundamental frequency computed with 1 ms and 0.1 ms becomes larger toward to stronger adverse pressure gradient, Table ii.2.1. The accurate fundamental frequency with respect to experimental data is captured using a time step of 0.1 ms (relative error of 1.3%). The higher harmonics are generated at stronger adverse pressure gradients, Figure ii.2.

The maximum amplitude (A_m) associated to the fundamental frequency is overestimated by computations for both time steps with respect to experimental data, Table ii.2.2. However, a more accurate value is computed using a time step of 0.1 ms (relative error of 23.25%). The maximum amplitude associated to the fundamental frequency is directly proportional with the adverse pressure gradient, Figure ii.2.27. Moreover, the maximum amplitude associated to the fundamental frequency is moving upstream towards the throat once the adverse pressure gradient is stonger.

Table ii.2.1 Fundamental frequency (f_v) of the self-induced instability.

	f_v [Hz] (t=1 ms)	f_v [Hz] (t=0.1ms)	Exp. [Hz]
0 deg.	24.59	25	-
2.125 deg.	15.71	16.74	-
4.25 deg.	13.89	16.2	-
8.5 deg.	10.86	15.8	15.6
12.75 deg.	10.91	15.42	-

Table ii.2.2 Maximum amplitude (A_m) values associated to fundamental frequency.

	A_m [Pa] (t=1 ms)	A_m [Pa] (t=0.1ms)	Exp.	Level
0 deg.	111.8	118.2	-	MG3
2.125 deg.	439.2	530.6	-	MG3
4.25 deg.	1005.6	1274	-	MG2
8.5 deg.	1935.4	1854.9	15.05	MG1
12.75 deg.	3559.8	3329.5	-	MG0

The 2D axi-symmetric swirling flows are computed using available solvers by introducing a stagnant region model (SRM). Also, 3D unsteady computations are performed imposing the same boundary conditions in order to quantify the self-induced instability developed in the cone. Numerical results for five cases from a straight pipe up to cone with angle of 25.5° are analyzed for a particular swirling flow configuration. The evolution of the quasi-stagnant region is quantified plotting the velocity map. The angle of the vortex sheet that separates the quasi-stagnant region and the main flow is quantified. The vortex sheet angle is larger than the discharge cone angle for all cases. The energy losses and kinetic-to-potential conversion ratio distributions are plotted along to the discharge cone length in order to evaluate its performance.

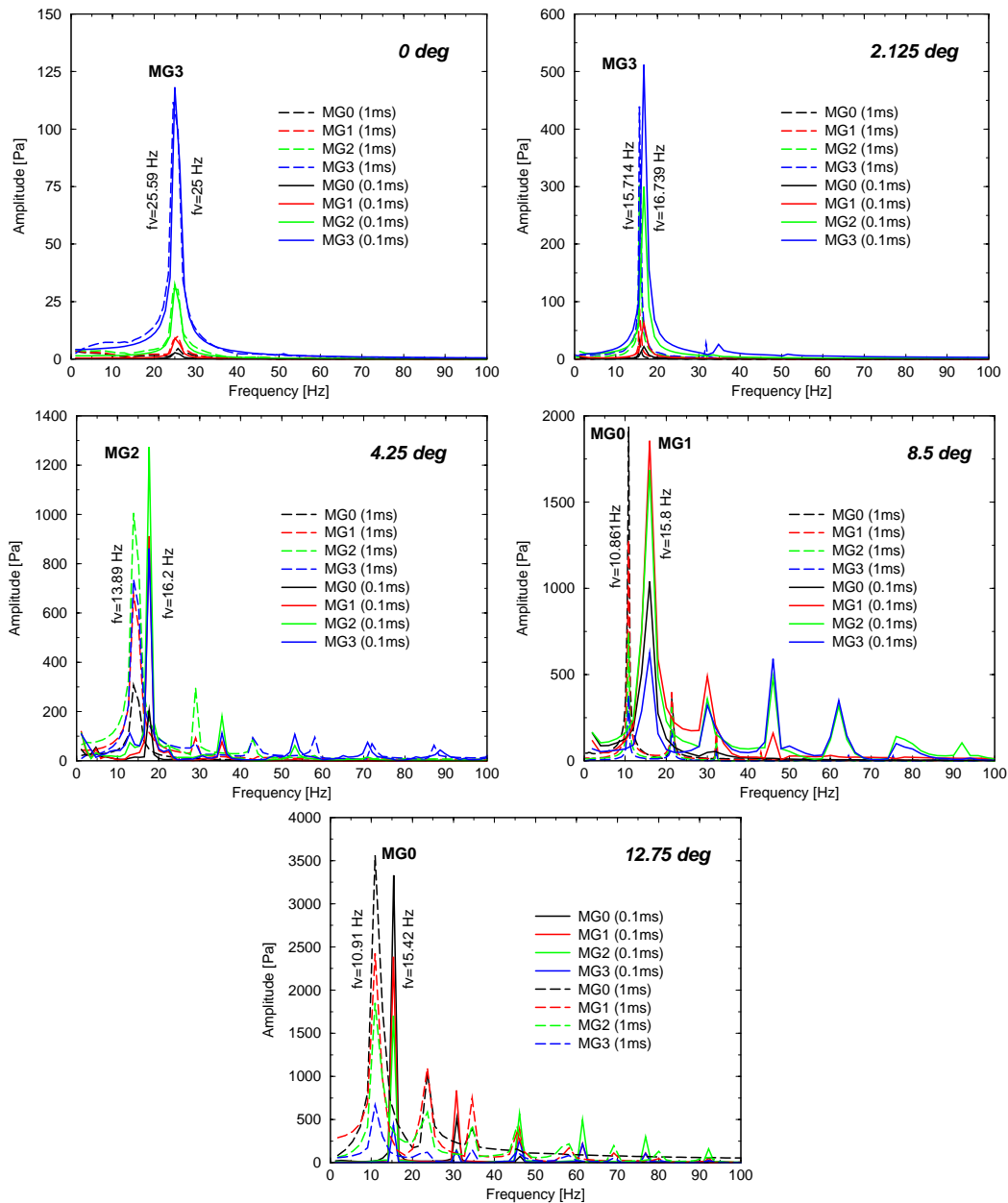


Figure ii.2.26 Fourier spectra for unsteady pressure signals in MG0, MG1, MG2 and MG3 computed using two time steps: 1 ms (dash line) and 0.1 ms (solid line)

The vortex breakdown phenomenon leads to increased energy losses while the energy conversion is directly proportional with cone angle. The fundamental frequency of the self-induced instability increases once the pressure gradient is weaker suggesting a vortex stretching phenomenon. The maximum amplitude associated to the fundamental frequency is directly proportional with the adverse pressure gradient. The maximum

amplitude associated to the fundamental frequency is moving upstream towards the throat once the adverse pressure gradient is stronger. These results have paved the way toward new control technique of the swirling flows Resiga et al. (2014).

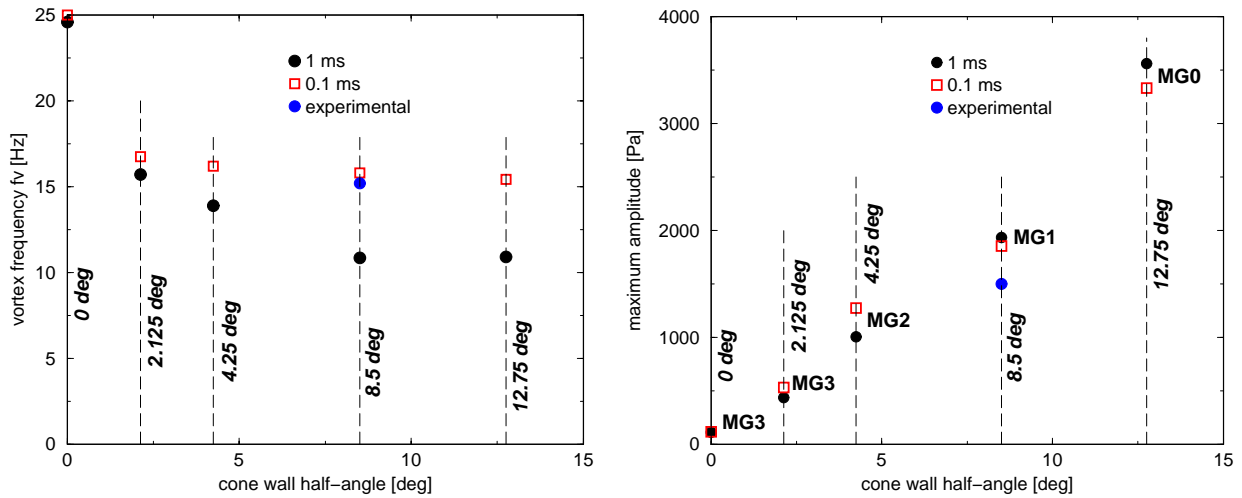


Figure ii.2.27 Fundamental frequency and maximum amplitude associated to the fundamental frequency of the self-induced instability computed using two time steps: 1ms (●) and 0.1 ms (□) for all cases (solid line)

ii.2.9 Vortex rope dynamics

The vortex rope dynamics in a straight diffuser is explored using the numerical results obtained with RSM model in order to elucidate the cause of the plunging pulsation with low frequency. The unsteady pressure signals recorded during numerical simulation at all four levels are plotted in Figure ii.2.28. It can be easily distinguished on the unsteady pressure signal at MG0 level that a low frequency around 2.5 Hz (1/0.4s) is superposed on a higher frequency around 15 Hz (6 periods associated to higher frequency correspond to 1 period of the low frequency of 2.5 Hz). The previous procedure can be straightforwardly implemented on the unsteady pressure signals recorded on MG1 and MG2 levels. Contrary, it is rather difficult to be applied this procedure on the unsteady pressure signal recorded on MG3 level due to the time periods associated to the frequency of 15 Hz are not so easily detected.

The analysis of the vortex rope morphology during a low frequency period is performed in order to examine its dynamics. As a result, eighty snapshots with a time step of 0.005s are collected during a low frequency period of 0.4s. All these snapshots are marked with red circles in Figure ii.2.28 on recorded signals at all four levels. However, only six snapshots at 3.1, 3.22, 3.275, 3.35, 3.4 and 3.5 seconds (marked with blue spots in Figure ii.2.28) are selected in this paper in order to reveal the time evolution of the vortex rope. The same iso-pressure surface is plotted for these time steps in Figure ii.2.29.

One can observe that the vortex rope is compressed during the first phase of the low frequency cycle. After that the vortex is stretching, leading to an elongated rope, followed by a bouncing back phase. The vortex rope can be imagined like a conical spring with variable helix angle. The cycle with low frequency is responsible for the plunging (synchronous) pressure fluctuations superimposed over the rotating (asynchronous) pressure field associated with the precession of the vortex rope. Conclusively, the cause of the low plunging (synchronous) pressure component in a straight diffuser at particular hydrodynamic conditions is the self-excitation of the vortex rope instability.

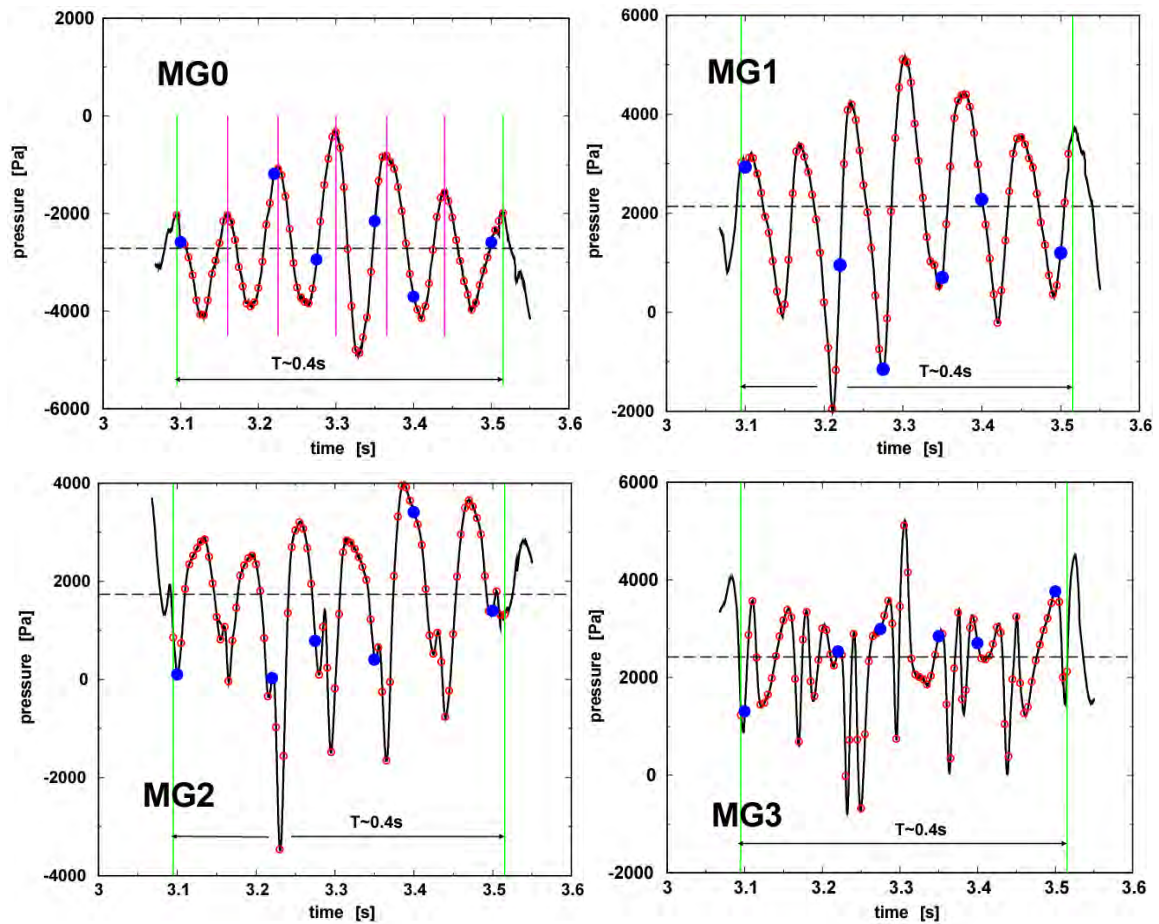


Figure ii.2.28 Unsteady pressure signals recorded at all four levels on the cone (from MG0 to MG3, see Figure ii.2.6) based on 3D numerical simulation with RSM model.

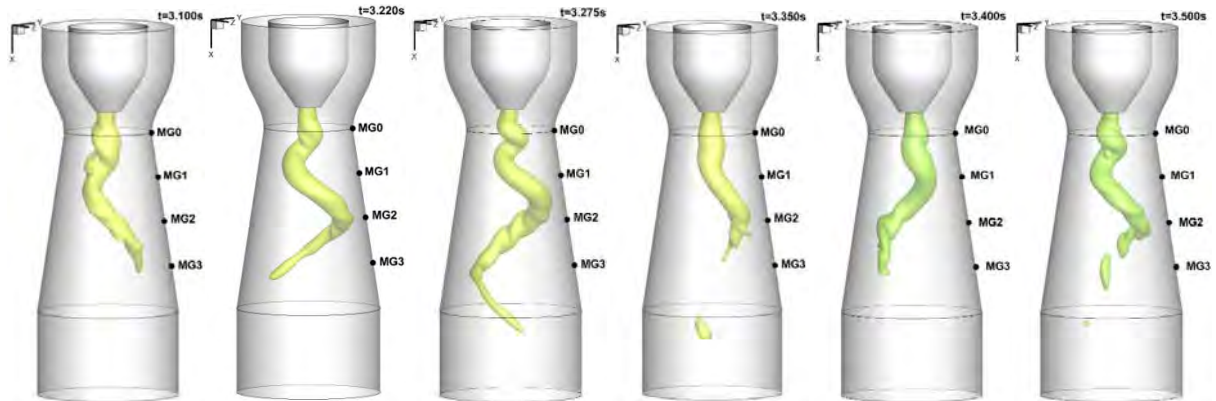


Figure ii.2.29 Morphology of the vortex rope at several time steps obtained from 3D numerical simulation using iso-pressure surface. The snapshots correspond to six time steps (3.1, 3.22, 3.275, 3.35, 3.4 and 3.5 s) marked with blue spots in Figure ii.2.23.

ii.2.10 Magneto-rheological break (MRB) flow control

As was mentioned before in a first configuration the runner rotates freely at the runaway speed of 1020 rpm. In order to increase the operating regimes from the experimental test rig, a magneto-rheological brake (MRB) system was designed and installed in order to control the runner speed. As a result, the runner speed is slow down up to 35% using the MRB system providing several swirling flow configurations at the discharge cone inlet covering a wide range (from part load to full load conditions). This solution was chosen because have some advantages as: small and robust, no mechanical parts, fast response time in order to control and low power consuming. In our case, all system was especially designed to be adapted to our

geometric constraints. As a working principle, a magneto-rheological (MR) fluid is mounted between one rotating part and other fixed part. The MR fluid is changing its viscosity when a magnetic field (usually generated by a coil) is applied. Depending on the intensity of the magnetic field the viscosity is changing which makes that the rotating part to slow down the speed. A sketch with the MRB system and assembled components are presented in Figure ii.2.30.

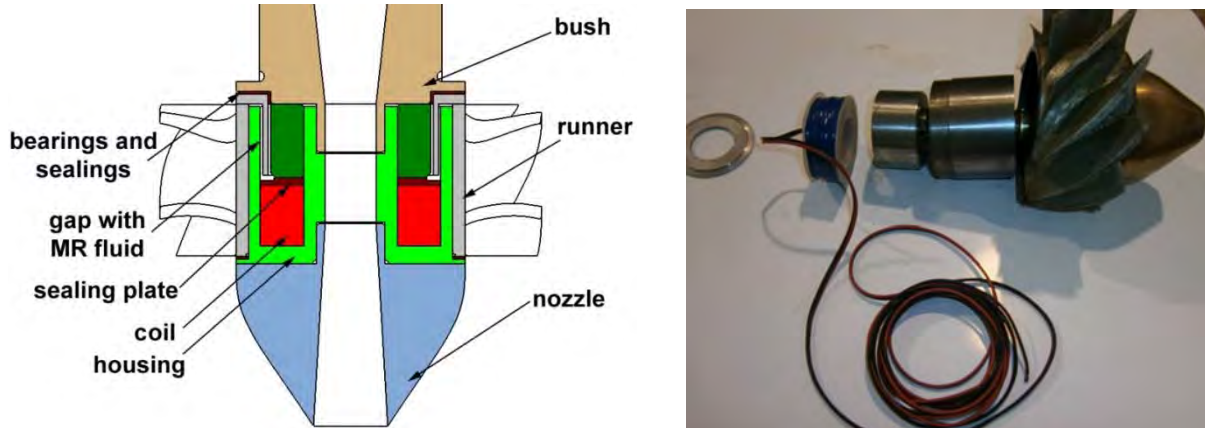


Figure ii.2.30 Sketch of the MRB system (left) and picture with mounted pieces (right)

The runner was manufactured so that to be mounted the MRB system. The MRB system consists in iron housing, a coil, seals and bearings and the MR fluid. The housing is made of iron and was designed in order to close the magnetic field in the area where MR fluid is mounted. The coil is made by copper wires and a custom program was used to choose the number of wires and the wire thickness. A series of seals were used to keep the MR fluid separated by water (all system is surrounded by water), also these seals were used as bearings. The most important component by all system is the MR fluid. It was chosen a concentrated ferrofluid based MR fluid produced in our laboratory with 35% micro sized Fe particles concentration, Susan-Resiga and Vekas (2016). For the MR brake controlling the speed of the runner a quantity of 20 ml of MR fluid was necessary. The gap between rotating and fixed part is 1 mm and time response of the entire system is lower than 0.1 sec. Because the MRB system is working in water, no cooling system is necessary. A DC power supply with a maximum electrical voltage of 30V and a maximum electrical current of 5 A was used in order to control the magnetic field of the MR brake.

Experimental setup

The investigated regimes are presented in Table ii.2.3.

Table ii.2.3 Discharge fraction through the outlet channels

Main characteristic	Speed [rpm]	Flow Rate [l/sec]
	1020, 990, 960, 924, 900, 870, 840, 810, 780, 690	30

In our investigations a nominal discharge of 30 l/s is selected. In this case, the swirling flow configuration provided by the stay vanes leads to a free runner speed of 1020 rpm. With the MRB, the speed is slow down up to 690 rpm, with a step size of 30 rpm. Accordingly the speed is reduced with 35%.

Results

At the runaway speed (1020 rpm), the vortex rope is a vortex sheet which rolls-up as a helical vortex around a central stagnant region; the vortex rope is the source of pressure pulsations from the draft tube cone. At 600 rpm, the flow in the draft tube cone has an axisymmetric form, while the pressure pulsations have a

minimum value. Also the speed of the free runner was measured. Accordingly, at the runaway regime, the variation in runner speed is approximately $\pm 1\%$, but as the rotational speed decreases, the variation increases up to $\pm 5\%$. This increase can be related to the shape and size of the magnetic particles clusters within the film of the magneto rheological suspension.

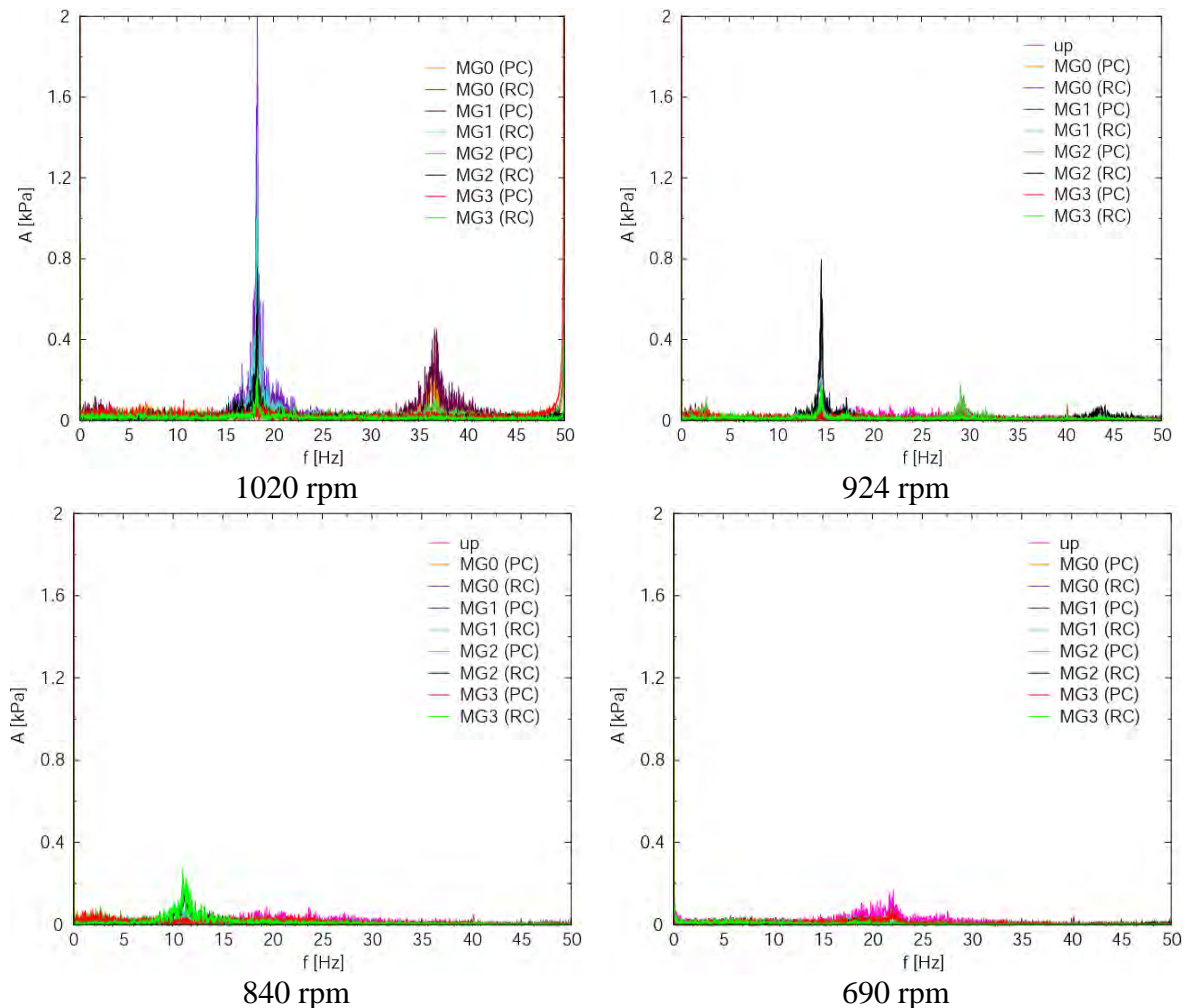


Figure ii.2.31 Fourier spectra of the decomposed unsteady pressure signals at all four levels on the cone wall from MG0 to MG3 at four speeds: 1020, 924, 840 and 690 rpm

A second analysis consists in evaluation of signal decomposition, keeping into account that on each level two pressure signals are registered on opposite sides. According with Jacob (1994), there are two types of draft tube cone pulsations. The plunging type is acting as a water hammer in the length of the cone, while the rotating type is acting in the cross sections of the cone. The rotating type is produced by flow instabilities as vortex rope due to its shape.

The decomposition procedure to obtain rotating and plunging pulsation types is described by Bosic et al. (2012). Also for pressure pulsations decomposition from the experimental data was using the procedure based on the Parseval's theorem.

According with Figure ii.2.32, larger amplitudes around 18 Hz for 1020 rpm, 15 Hz for 924 rpm and 10 Hz for 840 rpm correspond to the rotating component. This rotating component is due to the existing vortex rope from the draft tube cone. When the runner is slow down with the MRB at 690 rpm, the amplitude is decreased at a minimum value. The main conclusion from these graphs is: when the speed of the runner is reduced, we are going closer to the best efficiency regime in the turbine. According with this regime, minimum pressure pulsations can be found in the draft tube cone of hydraulic turbines.

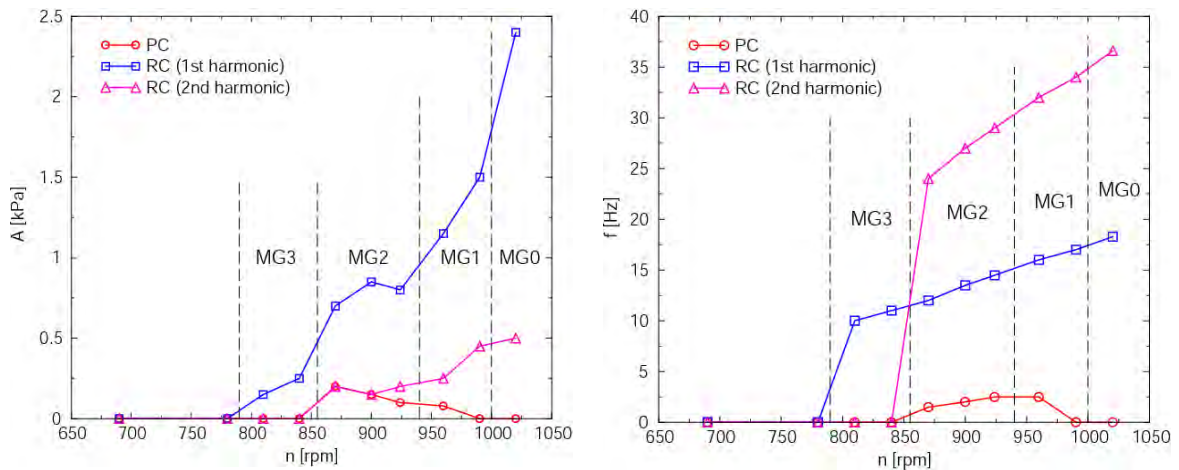


Figure ii.2.32 Amplitude (left) and frequency (right) of the decomposed unsteady pressure signals at all four levels on the cone wall from MG0 to MG3

The rotational component of pressure pulsation associated with the vortex rope is significant for part load regime especially in first three levels (MG0, MG1 and MG2).

ii.2.11 Experimental investigation of the decelerated swirling flows without and with heel elbows

The swirl apparatus geometry, the operating conditions and the experimental data for the straight configuration are included in test case called Timisoara Swirl Generator available as ERCOFTAC case no. AC6-14, Javadi et al. (2016) and OpenFOAM test case, Petit et al. (2011). A 90° heel elbow is installed downstream to the diffuser as in Figure ii.2.28 in order to discriminate its contribution. Several pipes with different lengths are mounted between the diffuser and elbow to elucidate the interaction between straight diffuser and heel elbow. These experimental investigations are presented in order to identify the plunging oscillations in a swirling flow in a straight diffuser together with and without a heel elbow. The unsteady pressure signal’s Fourier spectra have to be analyzed in order to assess the influence of the heel elbow.

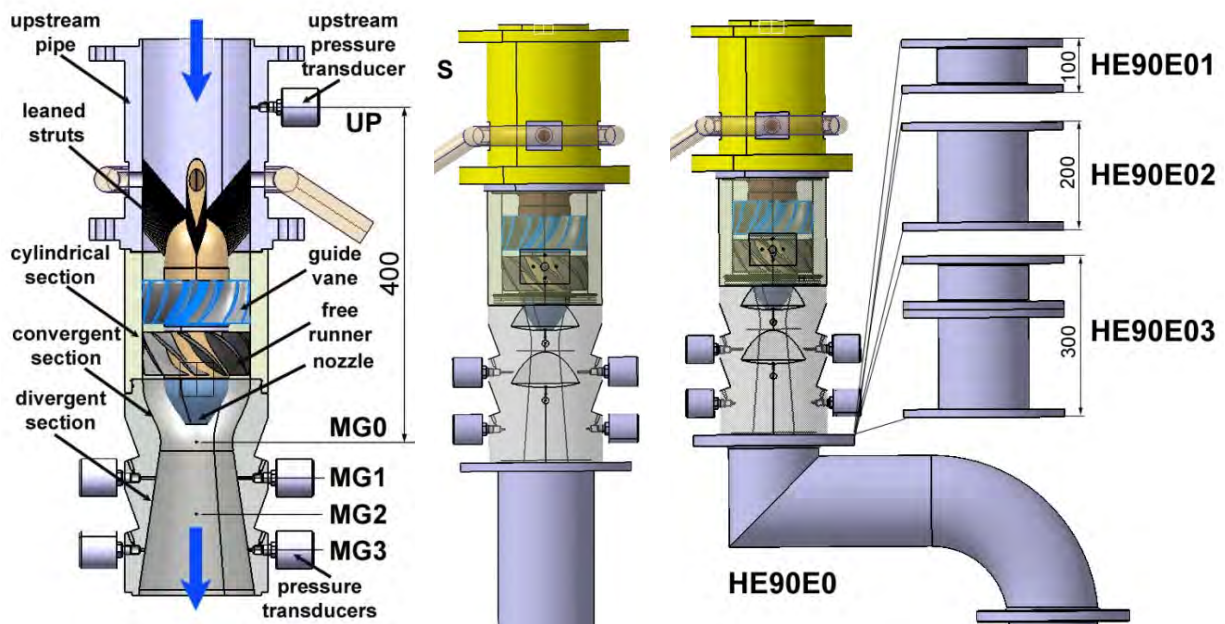


Figure ii.2.33 Sketch of the test section and geometrical configurations investigated: straight (S) and 90° heel elbow (HE90E0) together with three pipe length extensions of 0.1 m (HE90E01), 0.2 m (HE90E02) and 0.3 m (HE90E03).



Figure ii.2.34 Photos of the test section with two geometrical configurations: straight (S) and 90° heel elbow together with pipe length extensions of 0.2 m (HE90E02).

The unsteady pressure is measured using eight transducers mounted on four levels of the conical diffuser's wall. Each set corresponds to an acquisition time interval of 32 seconds at a sampling rate of 256 samples/second. The unsteady pressure signals acquired on each level are processed discriminating both synchronous (plunging) and asynchronous (rotating) components on the Fourier spectra.

Firstly, the influence of the 90° heel elbow on the decelerated swirling flow is quantified. Therefore, the experimental data for a straight configuration (denote S in Figure ii.2.33) and the geometrical configuration with 90° heel elbow installed downstream to the conical diffuser (labelled HE90E0 in Figure ii.2.34) are presented in Figure ii.2.34. The rotating component frequency around 18 Hz associated to the self induced instability is distinguished in both cases. This rotating component is not so dangerous due to it is trapped in the conical section Wu et al. (2013).

However, the plunging component with frequency around 7 Hz is only identified in the geometrical configuration with 90° heel elbow at all levels. As a result, this frequency is associated to the interaction between the decelerated swirling flow in the diffuser and 90° heel elbow.

Secondly, the interaction between decelerated swirling flow developed in the conical diffuser and 90° heel elbow is investigated. This study is performed installing three pipe extensions with different lengths (0.1 m – HE90E01, 0.2 m - HE90E02 and 0.3 m - HE90E03) between the conical diffuser and 90° heel elbow as in Figures iii.2.36. Consequently, the Fourier spectra with discriminated components (plunging and rotating) on all levels for cases with 90° heel elbow are plotted in Figure ii.2.36. One can observe that all frequencies identified in the spectra associated to 90° heel elbow case (HE90E0) are recovered for the cases with pipe extensions. However, the amplitude value associated to the plunging component of 7 Hz increases with the pipe length extension. This amplitude grows up over four times for the configuration with the largest pipe extension of 0.3 m (HE90E03 case) considered in our investigation with respect to the configuration without any extension (HE90E0 case), respectively. This observation suggests that a compact geometrical configuration should be selected for the hydraulic turbines in order to diminish the amplitudes associated to the plunging components.

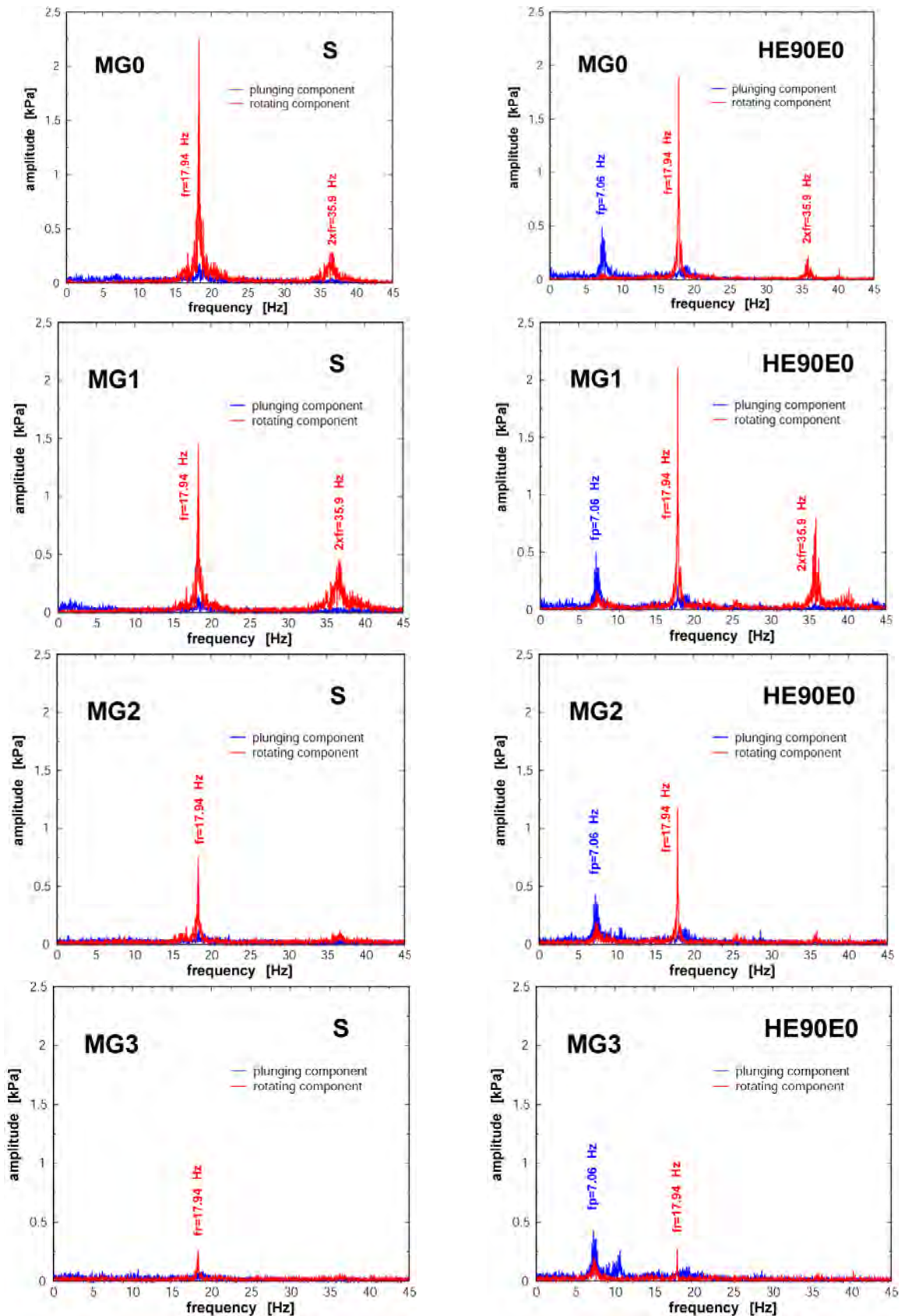


Figure ii.2.35 Fourier spectra with discriminated components (plunging and rotating) corresponding to the unsteady pressure levels from MG0 to MG3 levels associated to two geometrical configurations: straight (S) and 90° heel elbow (HE90E0)

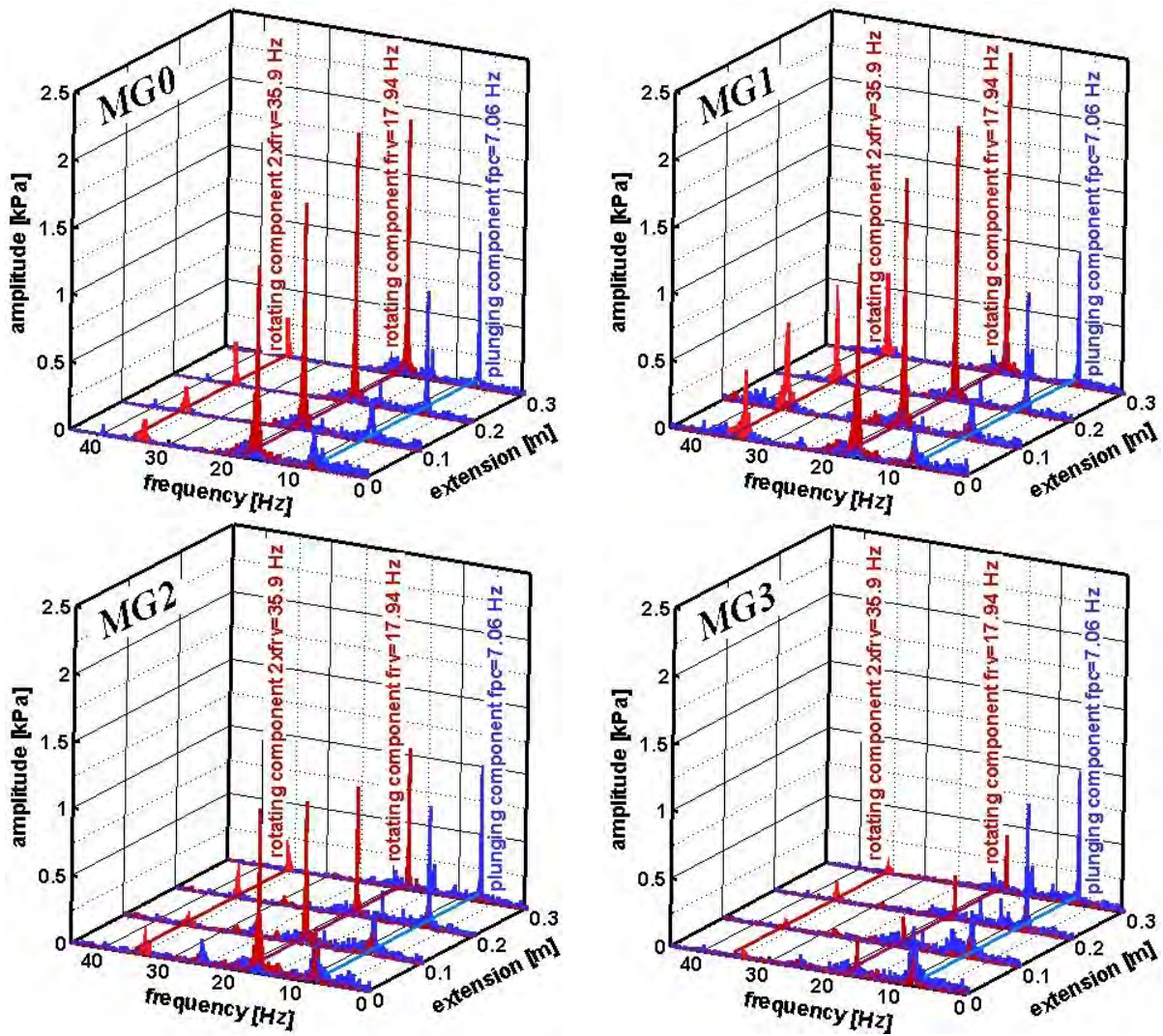


Figure ii.2.36 Fourier spectra with discriminated signals associated to the unsteady pressure levels from MG0 to MG3 for geometrical configurations investigated: straight (S) and 90° heel elbow (HE90E0) together with three pipe length extensions of 0.1 m (HE90E01), 0.2 m (HE90E02) and 0.3 m (HE90E03).

ii.3 Hydraulic pumps

ii.3.1 Introduction

Traditionally, the pumped storage hydroelectric systems (PSHS) are a resource driven facility which requires very specific site conditions to make a project viable. The most essential of these criteria is availability of locations with a difference in elevation and access to water. PSHS were built as a tool to supply energy in times of high demand and allow baseload power plants to operate at high efficiencies in periods of low demand. PSHS also provided for such power systems management tasks as balancing, frequency stability and black starts Deane et al. (2010).

Nowadays, a renewed commercial and technical interest in PSHS is asserted due to the advent of increased variable renewable energy generation and the development of liberalized electricity markets. The PSHS is the only commercial proven large scale energy storage technology. Therefore, in the last years there has been a flurry of interest in the technology resulting in the planning and building of a number of new PSHS Steffen (2012). The fundamental principle of PSHS is to store electric energy in the form of hydraulic potential energy. Pumping typically takes place mainly during off-peak periods, when electricity demand is low and electricity prices are low. Generation takes place during peak periods, when electricity system demand is high. Pumping and generating generally follow a daily cycle, but weekly or even seasonal cycling is also possible with larger PSHS. While PSHS was previously developed in many countries to facilitate the integration of large baseload generation, there has been a recent renewed interest in the technology with an increase of variable renewable generation such as wind in many countries. Wind energy is a renewable source with the most rapidly growing electricity power. For wind to reach its full potential, a capable method of storing has been inevitable. Nuclear and coal fired plants could do change power output to achieve demand but at extremely high maintenances cost. In addition natural gas generators contribute to climate change only slightly less than coal. Therefore a mixture of wind power with hydroelectric and pumped storages is a key strategy to ensure an important and stable source of clean renewable electricity Pejovic (2011).

ii.3.2 The pumped storage test case

The most complex pumped storage hydroelectric system (PSHS) from Romania is located in the Lotru mountain region Cojocar (2008). This hydropower system includes three hydropower plants (HPPs) and three pump storage hydro power plants (PSHPPs). More than 70% from discharge of the upper lake is supplied by PSHPPs. The water from upper reservoir is used by HPPs in order to generate electrical energy. One of the PSHPP equipped with two units is considered like test case in this paper. The first unit (U1) was commissioned in June 1977 while the second unit (U2) in December 1977, respectively. Each unit includes synchronous electrical motor (blue), elastic coupling with carrier bolts (yellow) and double-flux storage pump (green) as in Figure ii.3.1. The unit was designed in order to pump large discharge at high efficiency operating under tolerant cavitation conditions. In situ measurements were performed after 27 years of operation in order to evaluate the unit performances Anton A. (2010).



Figure ii.3.1. The unit installed into the pumped storage power plant (PSHPP).

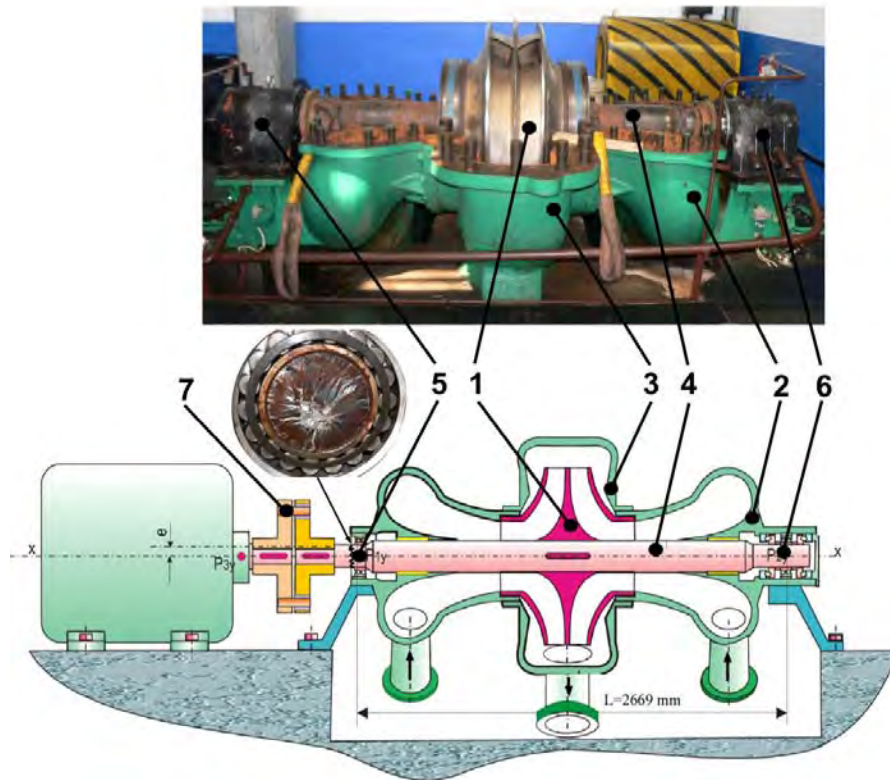


Figure ii.3.2 The pump storage view (above) and cross section (below). The pump storage components are the following: (1) impeller, (2) suction elbow, (3) volute, (4) shaft, (5) radial bearing, (6) radial-axial bearing and (7) elastic coupling.

A view of the main components of the storage pump and a cross section are given in Figure ii.3.2. Technical characteristics of the storage pump are presented in Table ii.3.1.

Table ii.3.1 Technical data of the storage pump unit.

Parameters	Symbol	Value
Nominal pumping head	H [m]	197
Nominal discharge	Q [m ³ /s]	4
Rotational speed	n [rpm]	1000
Efficiency (maximum)	η [%]	87.5
Electrical motor power (maximum)	P [kW]	10000

ii.3.3 Operation of the pumped storage units

The operation time countered in hours for each unit of PSHPP commissioned in 1977 is plotted in Figure ii.3.3 (U1 ● and U2 ■). One can observe an extensive operation of the unit U1 with respect to the unit U2 due to improved energetic performances Anton A. (2010). A linear distribution is fitted on the available data for each unit in order to predict the amount of operating hours. As a result, the following pair of coefficients is obtained for each unit: U1 (slope=2698.86, intercept=-5.33*10⁶) and U2 (slope=1361.65, intercept=-2.68*10⁶). The linear fit obtained for each unit is confined in limit of ±1.5% on available data, Figure ii.3.3.

The operation time (counted in hours) collected on each month of the year along to twelve years (from 2000 to 2011) are depicted for each unit in Figure ii.3.4 (with grey for U1 and black for U2). Accordingly, one can see that the largest total amount of operation hours (>10000) in twelve years corresponds to May, while more than 6000 pumping hours was gathered in twelve years in April and June. This situation is directly correlated with the winter season in the Lotru mountain region. Usually, the snow starts to melt in this region between the middle of March and the end of April, Năstase (2010).

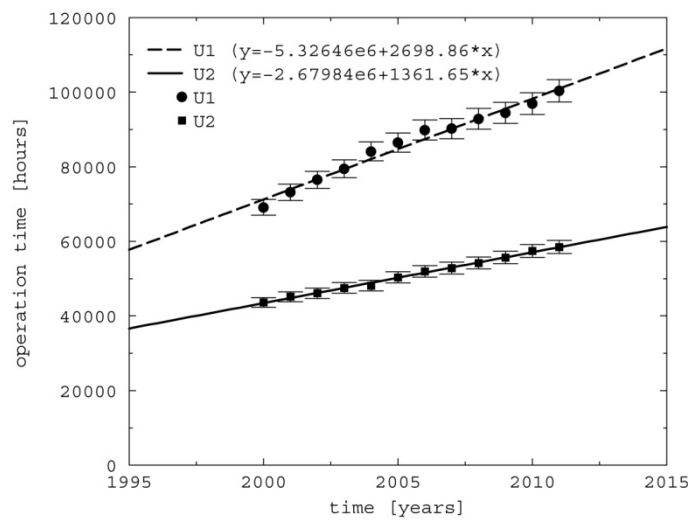


Figure ii.3.3. The operation time of the PSHPP for each unit commissioned in 1977. The data available corresponds to twelve years (from 2000 to 2011).

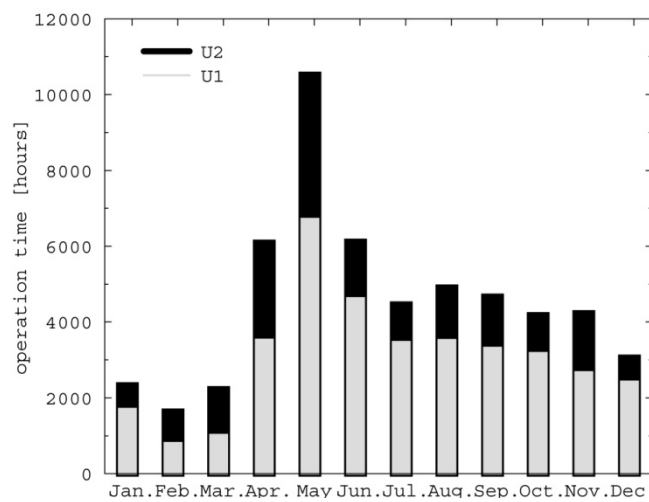


Figure ii.3.4. The operation time (in hours) collected on each month of the year along to twelve years (from 2000 to 2011) (U1 – grey and U2 – black).

ii.3.4 Technical problems in pumped storage units

The pump storage units are operated with constant speed (1000 rpm) during off-peak hours of the energy demand cycle. Consequently, the failure mechanism is considered to be based on the start/stop cycles. Approximately 2.4 start/stop cycles on each day were estimated for U1 from available data and 1.3 start/stop cycles on each day for U2, respectively.



Figure ii.3.5. Double flux impeller and its damage by cavitation erosion.



Figure ii.3.6. Fracture surface of the pump storage shaft: frontal view, low angle view and lateral view.

ii.3.5 Numerical flow analysis in the storage pump

ii.3.5.1 3D computation domains

Figure ii.3.7 presents the storage pump with the suction elbow and the impeller with five blades ($z=5$). The three-dimensional geometries of the suction elbow and impeller were reconstructed based on drawings using Gambit (2006) (see Figure ii.3.7).



Figure ii.3.7. The hydraulic passage of the investigated storage pump and 3D computational domains. The three-dimensional complex geometry of the suction elbow and the mesh with 465635 cells are plotted in Figure ii.3.8. The mesh is refined near to outlet surface in order to capture the non-uniform distribution of the

flow. In this case, one interblade channel of the impeller is considered as computational domain. The 3D computational domain and mesh with 590268 cells for the interblade channel are plotted in Figure ii.3.9.

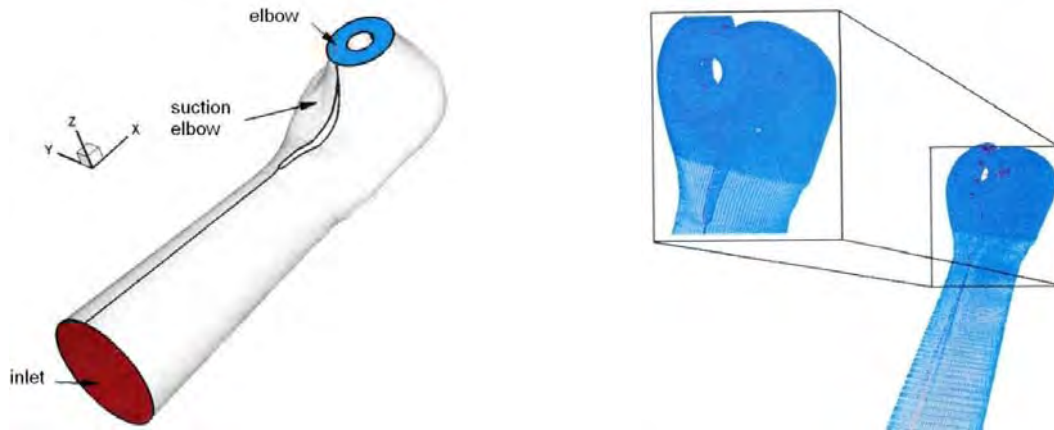


Figure ii.3.8. The suction elbow domain: 3D computational domain and the mesh.

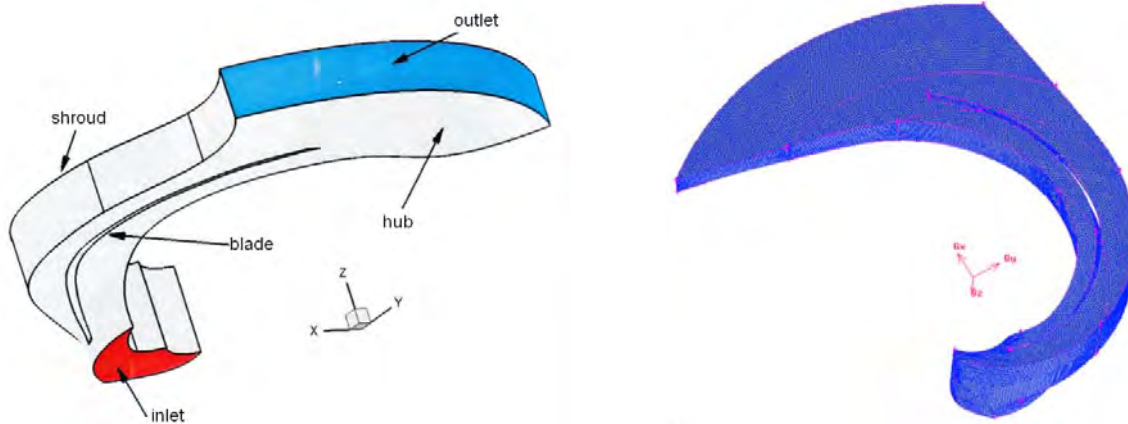


Figure ii.3.9 The interblade impeller channel: 3D computational domain and the mesh.

ii.3.5.2 Mixing interface method

The technological development in PC hardware and CFD technologies made possible the 3D analysis of the flow in turbomachines, Keck and Sick (2008). A simplified numerical simulation method has been achieved, called *mixing interface* in order to couple the steady absolute flow from suction elbow with the steady relative flow from pump impeller, Figure ii.3.10.

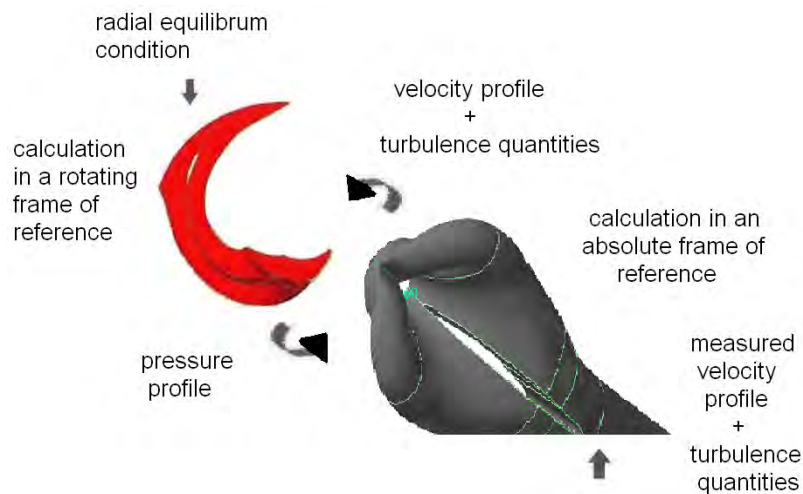


Figure ii.3.10 Mixing interface algorithm for this particular case.

The 3D computational domains correspond to suction elbow and interblade channel of the pump. In order to couple the steady absolute suction elbow flow field with the impeller steady relative flow, a mixing

technique is developed and employed on suction elbow-impeller interface. This mixing algorithm removes the circumferential variation of velocity components, pressure and turbulence quantities using a piecewise polynomial least squares algorithm, Muntean et al. (2004). For this particular case the mixing interface algorithm is presented in Figure ii.3.10. The 3D turbulent flow is computed using FLUENT (2006).

Velocity field is prescribed on the inflow section for both suction-elbow and impeller domains. The uniform velocity prescribed on the suction-elbow inlet section is computed to satisfy the flow rate according to the operating point. The mixed velocity profile on the suction elbow outlet section is imposed on the impeller inlet section, due to mixing algorithm. Turbulence quantities (turbulence intensity and turbulence length scale) are prescribed on the inflow section for both suction elbow and impeller domains. The turbulence intensity of 3% and turbulence length scale of 0.1 is imposed on the suction elbow inlet section. Pressure distribution is imposed on outlet section of suction elbow. A constant pressure is considered on the outlet section of suction elbow like first guess in order to initialize the iterative process. Constant pressure is imposed on the outlet surface of the impeller. Periodic conditions regarding the pressure, velocity and turbulence parameters are imposed on the periodic boundaries of the impeller. Wall condition is imposed for suction-elbow walls, as well for the impeller hub, shroud and blade. Water liquid is selected as a working material.

ii.3.5.3 Numerical results

Numerical analysis was performed for three operating points ($0.8Q_n$, Q_n and $1.35Q_n$) with parameters presented in Table ii.3.2.

Table ii.3.2 Parameters of the storage pump operating points

Operating point	Flow rate (m ³ /s)	Pumping head (m)	Speed (rpm)
$0.8Q_n$	1.71	274	1000
Q_n	2.14	247	
$1.35Q_n$	2.89	209	

In order to evaluate the non-uniformity flow generated by the suction elbow, the velocity coefficients eq. (ii.3.1), are plotted on the annular section located upstream to the impeller.

$$c_x = \frac{v_x}{\sqrt{2gH}}, \quad c_r = \frac{v_r}{\sqrt{2gH}}, \quad c_u = \frac{v_u}{\sqrt{2gH}} \quad (\text{ii.3.1})$$

In order to evaluate the velocity and pressure coefficients non-uniformities are figured out for three circles (near to hub labeled $r=15\%$, in the middle $r=50\%$, and near to shroud, $r=85\%$) located on the suction-elbow annular outlet surface. The distribution of the axial velocity coefficient for the investigated operating points is shown in Figure ii.3.11. One can observe that the maximum value of the axial velocity coefficient is at $r=85\%$ at $1.35Q_n$ while in $r=15\%$ near to hub, the axial velocity reaches the smallest values (see Figure ii.3.12). At $0.8Q_n$ the distribution of axial velocity is quite uniform, however for Q_n and $1.35Q_n$ one observe that the axial velocity is divided in two regions between section $r=15\%$ and $r=50\%$ with smaller values and between $r=50\%$ and $r=85\%$ with higher values than the average one. The maximum non-uniformity of axial velocity has an average deviation $\pm 5\%$ near to hub for all investigated points.

Distributions of radial velocity coefficient on outlet section of the suction elbow are presented in Figure ii.3.13. In all operating points the radial velocity distribution is alike. One can see two regions with large radial velocity behind the shaft (red spots in Figure ii.3.13). The maximum non-uniformity of radial velocity is reached at $1.35Q_n$ on $r=15\%$ near to hub (see Figure ii.3.14).

In Figure ii.3.15 is plotted the distribution of tangential velocity coefficient for all investigated points. It can be seen two regions where the extreme values of the tangential velocity are reached (orange spot near to

hub at 270° and blue spot near to hub at 90°). In this two regions two contra-rotating flows are generated by the complex shape of the suction elbow. The maximum values are found at the highest value of flow rate, $1.35Q_n$, near to hub at $r=15\%$ (see Figure ii.3.15). The non-uniformity of the tangential velocity is low near to shroud.

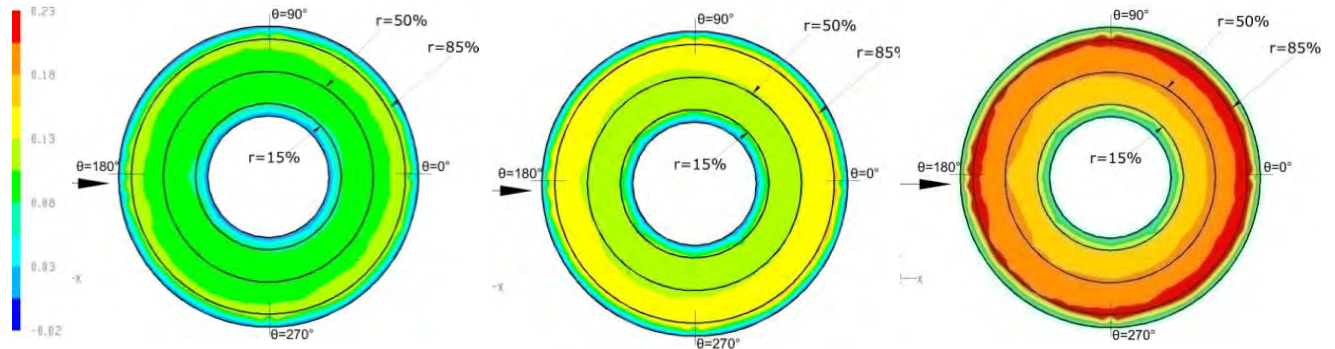


Figure ii.3.11 Distribution of axial velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right).

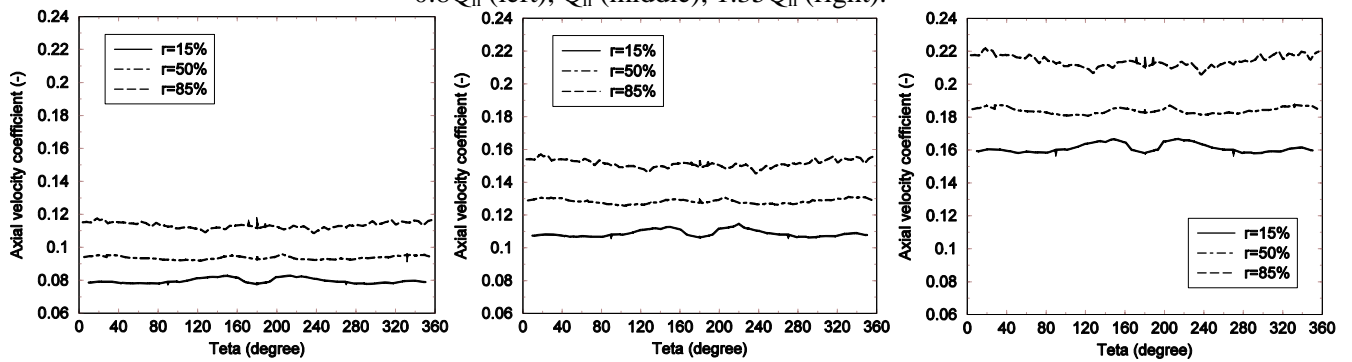


Figure ii.3.12 Distribution of axial velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right).

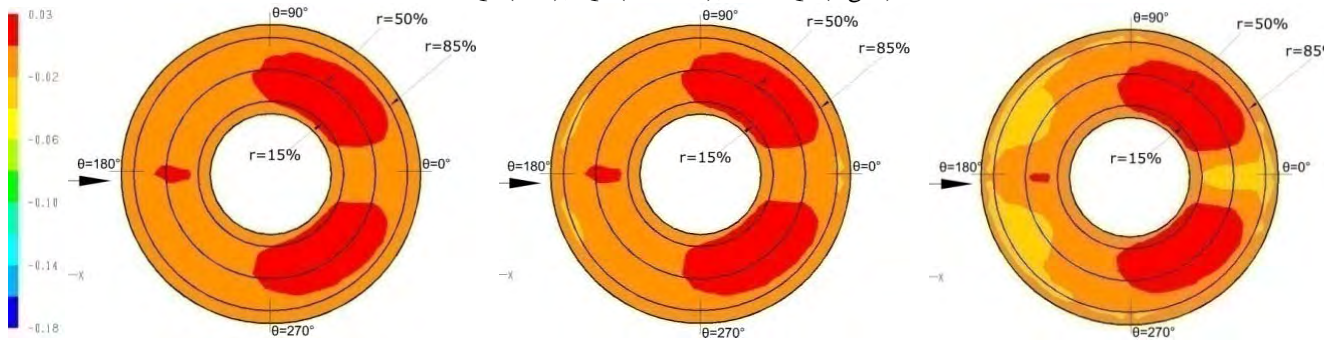


Figure ii.3.13 Distribution of radial velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right)

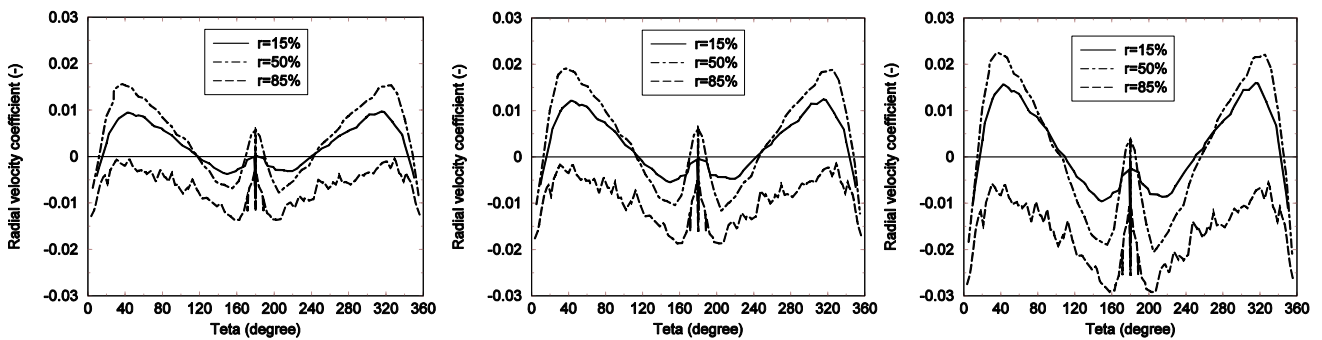


Figure ii.3.14 Distribution of radial velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right)

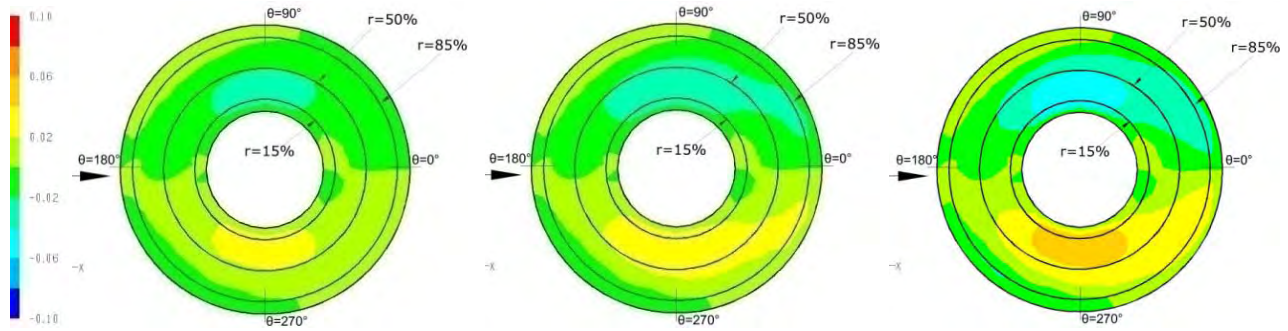


Figure ii.3.15 Distribution of tangential velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right)

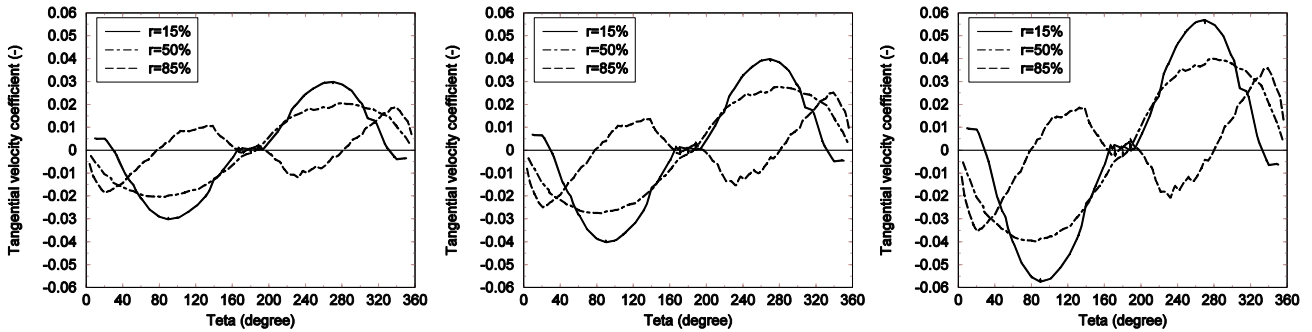


Figure ii.3.16 Distribution of tangential velocity coefficient on the outlet section of the elbow-shaped inlet pipe: $0.8Q_n$ (left), Q_n (middle), $1.35Q_n$ (right)

Consequently, the non-uniform flow developed by the suction elbow is carried in the impeller. This non-uniform field from impeller inlet induces an improper incidence angle on the leading edge of the blades. As a result, an unsteady loading is generated on the impeller blades.

The relative flow angle β eq. (ii.3.2) and the pressure coefficient on the impeller blade are plotted in Figure ii.3.17 and Figure ii.3.18, respectively.

$$\beta = 90 - \arctan\left(\frac{u - c_u}{c_x}\right) \tag{ii.3.2}$$

where:

$$u = \frac{\omega r}{\sqrt{2gH}}$$

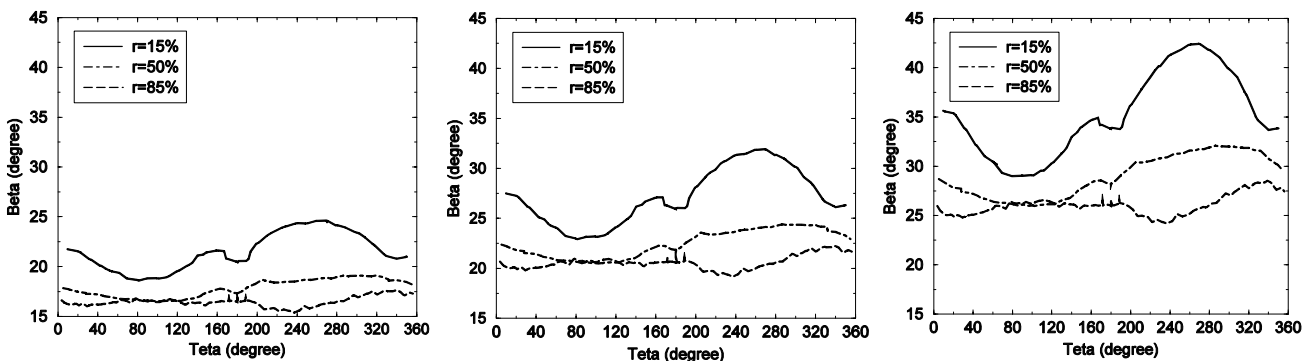


Figure ii.3.17 Distribution of relative flow angle β on the inlet section of the impeller: $0.8 \cdot Q_n$ (left), Q_n (middle), $1.35Q_n$ (right)

Figure ii.3.17 reveals that the maximum relative flow angle is obtained at largest flow rate $1.35Q_n$ neat to hub. The maximum relative flow angle is 43.1° at $\theta=270^\circ$ and the minimum value 28.9° at $\theta=90^\circ$, respectively. One important remark is the asymmetric distribution of the relative flow angle respect to the

flow direction marked with black arrow on all color maps. Consequently, the most unfavorable condition is obtained where the maximum relative flow angle is located.

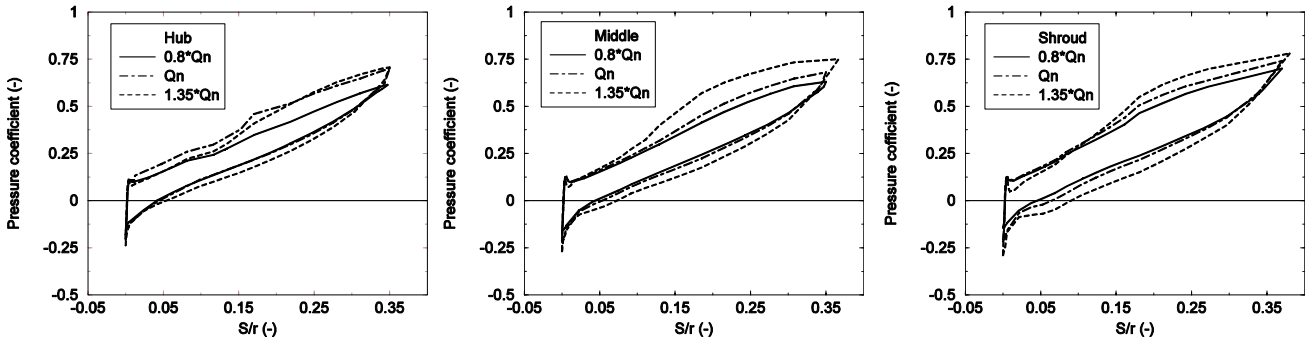


Figure ii.3.18 (14) Distribution of pressure coefficient on the blade: $r=15\%$ near to hub (left), $r=50\%$ (middle), $r=85\%$ near to shroud (right)

As a first guess, the steady relative flow is computed into the impeller using the mixing interface. Since this approach performs a circumferential averaging, it is equivalent to full mixing of wakes (or any other circumferential non-uniformity). The pressure distribution coefficient on the impeller blade for three locations ($r=15\%$ near to hub – left, $r=50\%$ – middle, $r=85\%$ near to shroud – right) is plotted in Figure ii.3.18. The sudden drop pressure is obtained on the leading edge even if the mixed velocity field is imposed. That is happened due to the leading edge with sharp geometry. The minimum value of the pressure coefficient reveals the possibility of appearance of the cavitation phenomena.

ii.3.6 Hydrodynamic design of the new impeller

ii.3.6.1 Inverse design method

The preliminary analysis and design of turbomachinery flow can be performed within the inviscid fluid assumption, since losses can be considered negligible at the design operating point. Of course, maximizing the machine efficiency requires the evaluation of viscous losses, but the first step is to get a preliminary design within the loss-free framework.

For the runner bladed region it is convenient to consider the relative flow equations, where the relative velocity is $\mathbf{W} = \mathbf{V} - \boldsymbol{\Omega} \times \mathbf{r}$ and the relative specific energy $E_R = E - \Omega(rV_\theta)$. The corresponding steady relative flow equations are

$$\nabla \cdot \mathbf{W} = 0, \quad (\nabla \times \mathbf{V}) \times \mathbf{W} = -\nabla E_R, \quad E_R \equiv \frac{p}{\rho} + \frac{W^2}{2} - \frac{(\Omega r)^2}{2} \quad (\text{ii.3.3})$$

From the momentum equation for relative flow we have $\mathbf{W} \cdot \nabla E_R = 0$ i.e. the relative specific energy E_R remains constant along relative flow streamlines. For the three-dimensional flow in the bladed regions, the blade produces a pressure difference between suction and pressure sides, which in turn produces a circumferential pressure gradient that deflects the flow. The flow inside the interblade channel is essentially three-dimensional since the streamlines originating on a circle (normal to the machine axis) do not remain on an axi-symmetric surface, Wu (1952). Instead, the streamlines close to the blade pressure side are pushed radial towards the hub, while the streamlines in the neighbourhood of blade suction side are deflected toward the shroud. It is obvious that at the blade trailing edge there is a significant departure in radial direction between streamlines originating at the same radius upstream the blade. However, a simplified axi-symmetric model for the hub-to-shroud turbomachinery flow considers that the stream surfaces retain axial symmetry within the blade regions as well.

The simplified axi-symmetric computation retains only the average inter-blade pressure since no circumferential gradient is allowed. As a result, it is required an artificial quantity, the *blade body force*, to account for the blade-flow interaction. This body force introduced in the axisymmetric flow model should turn the flow equivalently with the actual blades. Within the bladed regions, the flow is considered to take place on an absolute or relative streamsurface defined as

$$\alpha(z, r, \theta) \equiv \theta - f(z, r) = \text{constant} \quad (\text{ii.3.4})$$

where $f(z, r)$ defines the blade wrap angle, within the concept of infinite number of blades. Conceptually, the constant α surface corresponds to the S_2 -surface concept proposed by Wu (1952). For example, a surface described as in eq. (ii.3.4) may be seen as corresponding to the thin blade, in the limit of zero thickness.

The principal equation for loss-free axisymmetric turbomachinery swirling flows is

$$\frac{\partial}{\partial z} \left(\frac{1}{br} \frac{\partial \Psi}{\partial z} \right) + \frac{\partial}{\partial r} \left(\frac{1}{br} \frac{\partial \Psi}{\partial r} \right) - rb \frac{dE_R}{d\Psi} = \frac{\partial f}{\partial r} \frac{\partial (rV_\theta)}{\partial z} - \frac{\partial f}{\partial z} \frac{\partial (rV_\theta)}{\partial r} \quad (\text{ii.3.5})$$

For a given (rV_θ) distribution in the bladed region, eq. (ii.3.5) provides a relationship between the streamfunction Ψ and the relative flow streamsurface shape f . The term $dE_R/d\Psi$ is known as function of Ψ from the upstream conditions. The second relationship between Ψ and f is given by the flow tangency condition rewritten as

$$\left(\frac{1}{rb} \frac{\partial \Psi}{\partial r} \right) \frac{\partial f}{\partial z} - \left(\frac{1}{rb} \frac{\partial \Psi}{\partial z} \right) \frac{\partial f}{\partial r} = \frac{V_\theta}{r} - \Omega \quad (\text{ii.3.6})$$

The system of partial differential equations (ii.3.5) and (ii.3.6), with appropriate boundary conditions, allows the computation of both $\Psi(z, r)$ and $f(z, r)$ in the runner region. Borges (1993) uses this mathematical model to design mixed-flow pumps. By assuming a uniform non-swirling inlet flow, the term $dE_R/d\Psi$ vanishes. Also, when thin blades are considered in a first approximation, the blade blockage coefficient is $b=1$. Borges (1993) discretizes the corresponding simplified equation (ii.3.5) with a second-order accurate finite difference scheme, resulting in a nine-point stencil on a structured quadrilateral grid of the meridian domain. Equation (ii.3.6) is a first order partial differential equation with characteristic lines coincident with the streamlines $\Psi = \text{constant}$ in the meridian half-plane. In order to integrate this differential equation, some initial data must be specified along a line roughly perpendicular to these characteristic lines and extending from hub to shroud. This initial data on f are the stacking condition of the blade. Borges (1993) implements this condition by giving, as input, the values of the blade angular coordinate f along the impeller blade leading edge. Zangeneh (1991) also uses the system of equations (ii.3.5) and (ii.3.6), but the blade camber surface is no longer approximated by the constant α streamsurface. In this case, the velocity field is decomposed into circumferentially averaged and periodic components, by using the Clebsch formulation of steady rotational flow. The blade shape is determined by imposing the inviscid slip condition (i.e. blade shape aligned with the local velocity vector), and it is different from the constant α streamsurface. In order to make the equations more convenient for numerical computations, the radial independent variable r is replaced by the new variable $y = r^2/2$. There are three dependent variables: the streamfunction $\Psi(z, y)$, the circulation function $C(z, y) \equiv rV_\theta$, and the so-called blade wrap angle $f(z, y)$ which describe the shape of a S_2 -surface. If the real blades thickness is to be taken into account, the dimensionless blade blockage coefficient $b(z, y)$ must be known within the bladed regions. Moreover, $dE_R/d\Psi$ (for relative flow) must be

known as function of Ψ from flow configuration upstream the computational domain. The governing equations for axisymmetric turbomachinery swirling flow are

$$\frac{1}{2y} \frac{\partial}{\partial z} \left(\frac{1}{b} \frac{\partial \Psi}{\partial z} \right) + \frac{\partial}{\partial y} \left(\frac{1}{b} \frac{\partial \Psi}{\partial y} \right) - b \frac{\partial E_r}{\partial \Psi} = \frac{\partial f}{\partial y} \frac{\partial C}{\partial z} - \frac{\partial f}{\partial z} \frac{\partial C}{\partial y} \quad (a) \quad \frac{1}{b} \left(\frac{\partial \Psi}{\partial y} \frac{\partial f}{\partial z} - \frac{\partial \Psi}{\partial z} \frac{\partial f}{\partial y} \right) = \frac{C}{2y} - \Omega \quad (b) \quad (ii.3.7)$$

where $f(z, y)$ corresponds to a streamsurface for relative flow, and Ω is the runner angular speed.

Since we have only two equations and three unknown functions, either $C(z, y)$ or $f(z, y)$ must be specified, while $\Psi(z, y)$ is always computed. As a result, two alternatives are available for using eqs. (ii.3.7): design mode and analysis mode, respectively. In our case the design mode is considered: for a given distribution of the circulation function $C(z, y)$, compute the shape of S_2 -streamsurfaces, $f(z, y)$, and the streamfunction $\Psi(z, y)$; a stacking curve from hub to shroud should be given on the inlet section as initial condition to integrate (ii.3.7b). Actual blade sections are further designed on axisymmetric S_1 -streamsurfaces obtained by revolving $\Psi = \text{constant}$ curves about the symmetry axis.

The design mode is still the first choice for hydraulic pumps preliminary design. An iterative algorithm for solving eqs. (ii.3.7) starts with the homogeneous version of (ii.3.5a) and obtain a first approximation for $\Psi(z, y)$. In the design mode, this approximation together with the prescribed circulation function $C(z, y)$ is used to integrate eq. (ii.3.5b) and obtain an approximation for $f(z, y)$. The right-hand side in eq. (ii.3.5a) can now be evaluated, and the next iteration can be started by computing a new approximation for $\Psi(z, y)$, Resiga et al. (2007, Ch. 3). The Q3D inverse design method proposed by Zangeneh (1991) is adopted. Here the impeller blades are represented by sheets of vorticity, depended by specific distribution of circumferentially averaged swirl velocity $\overline{rv_\theta}$, eq. (ii.3.8):

$$\overline{rv_\theta} = \frac{B}{2\pi} \int_0^{\frac{2\pi}{B}} RV_\theta d\theta \quad (ii.3.8)$$

The blade loading, expressed by pressure difference across the blade $P^+ - P^-$, is related to meridian derivative of RV_θ , number of blades B , relative flow velocity on the blade W_{mbl} and fluid density ρ , eq. (ii.3.9):

$$P^+ - P^- = \frac{2\pi}{B} \rho \cdot W_{mbl} \frac{\partial(RV_\theta)}{\partial s} \quad (ii.3.9)$$

The inputs of this Q3D invers design method are following: 1) meridian geometry; 2) rotational speed; 3) blades tickness; 4) blockage factor; 5) number of blades; 6) flow rate; 7) inlet velocity; 8) blade loading; 9) stacking condition. The most important are meridian geometry and loading distribution along to the blades.

ii.3.6.2 Impeller design

The main objective is to improve the cavitation behaviour of the storage pump double flux impeller. Firstly, an inducer was designed by Moisa et al (2013) in order to improve the cavitation behaviour as well as to mitigate the flow non-uniformity Draghici et al. (2014) at the impeller inlet provided by the elbow suction. Therefore, the new impeller is designed taking into account the inflow corresponding to the inducer outlet. The meridian cross-section (hub, shroud and blade trailing edge) remains unchanged excepting the blade leading edge moved upstream, Figure ii.3.19. The main parameters of the old prototype double flux impeller are presented in Table ii.3.3.

Table ii.3.3 The main parameters for old prototype double flux impeller.

Old prototype double flux impeller parameters	Values
Nominal head H_n [m]	165
Nominal discharge Q_n [m^3/s]	4.28
Mechanical power P_M [MW]	9
Maximum efficiency η [%]	84
Nominal speed n [rpm]	1000
Specific speed ns [-]	116

Because of the double flux impeller is symmetrical to a vertical plane, only half is considered in our design procedure. The design discharge is selected in connection with the nominal discharge of the old half-impeller installed in the storage pump: $Q_d = 1.2Q_n = 2.568 m^3/s$. The design parameters for new prototype double flux impeller are presented in Table ii.3.4.

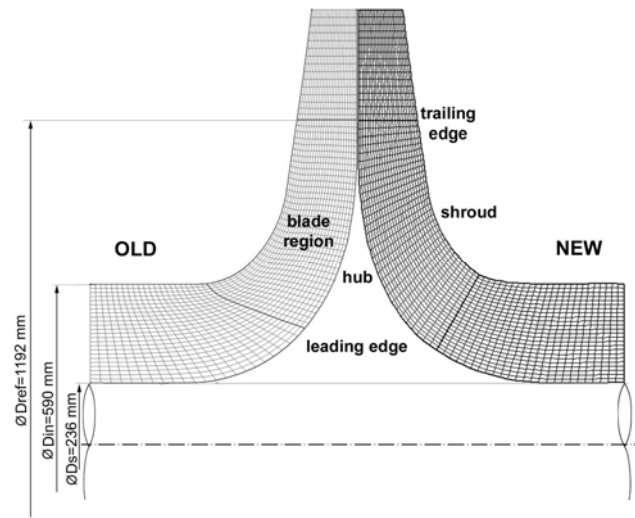


Figure ii.3.19 The meridian cross-section used to design the new prototype impeller versus old one.

Table ii.3.4 The parameters selected to design the new prototype double flux impeller.

New prototype double flux impeller parameters	Values
Discharge [m^3/s]	5.136
Speed [rpm]	1000
Euler head [m]	210
R_{ref} [m]	0.596
U_{ref} [m/s]	62.44
Blade number	5

For this case, the streamwise blade loading distribution $\partial(rv_\theta)/\partial s$ is defined by „three - segments” using two parabolic curves and a linear variation between them using TurboDesign¹ (2006).

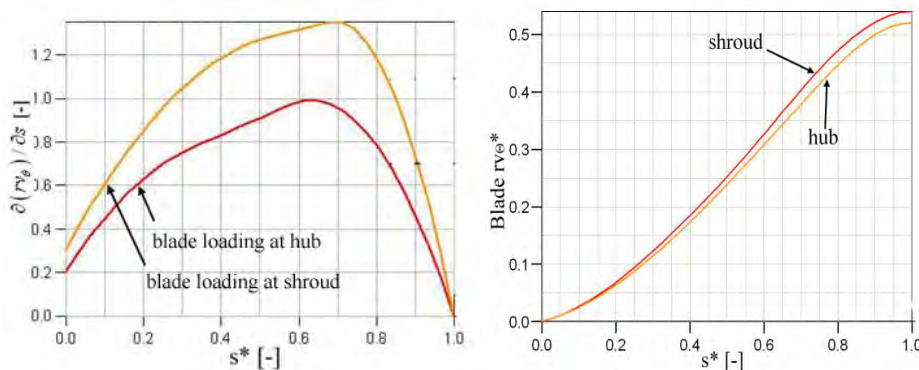


Figure ii.3.20 Blade loading $\partial(rv_\theta)/\partial s$ and rv_θ distributions on the blade along to the meridian.

The distribution of $\partial(rv_\theta)/\partial s$ is specified in Figure ii.3.20 on the blade near to the hub and shroud for new impeller. The value of $\partial(rv_\theta)/\partial s$ have to be zero at the trailing edge ($s^*=1$) in order to defer to Kutta condition. Fore-loaded and aft-loaded blade can be obtained by arranging the peak location of $\partial(rv_\theta)/\partial s$ near to leading edge or near to trailing edge. The distribution of rv_θ on the blade is plotted in Figure ii.3.20 while the 3D geometry of the new blade is presented against old one in Figure ii.3.21.

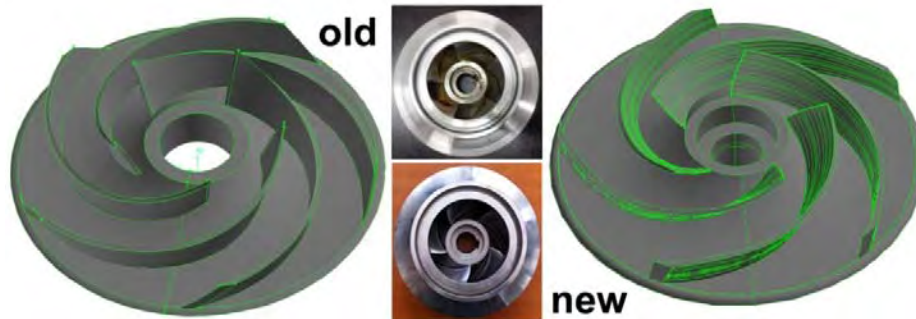


Figure ii.3.21. View of the old and new geometries of the impellers.

ii.3.7 Experimental investigation of the new impeller

ii.3.7.1 Experimental facility

The test rig available at University Politehnica Timisoara was used in order to investigate the pump hydrodynamics, Figure ii.3.22. It consists of two tanks of 1 m³ each, a set of pipes and vanes, which form a closed hydraulic circuit, and a PCN 80-200 pump actuated by a 37 kW asynchronous motor. The inlet and outlet pipes diameters are 0.1 m and 0.08 m, respectively. The test rig is equipped with a real time acquisition data system. To acquire pressure at the inlet and outlet sections of the pump is equipped with two pressure transducers: a vacuum gauge located on the inlet pipe denoted Pt1 as well as a manometer placed on the outlet pipe labelled Pt2, in Figure ii.3.22. An electromagnetic flow meter is installed on the rig's top pipe in order to measure the discharge. Its range is 0÷45 l/sec with an accuracy reported to be $\pm 0.4\%$.

The test rig is equipped with a variable speed system control. The DTC-inverter varies the speed of the induction motor from 500 rpm up to 3000 rpm, Stanciu et al. (2012). A real time acquisition system was implemented to acquire sensors data for overall pressure, discharge and electrical power using serial PC communication. The acquisition system has 32 input channels (voltage/current differential inputs) and maximum 100 kb/sec acquisition frequency. The data is transferred to a computer using a RS232 interface. A remote control system was implemented, increasing the operability of the test rig, Stanciu et al. (2013)].

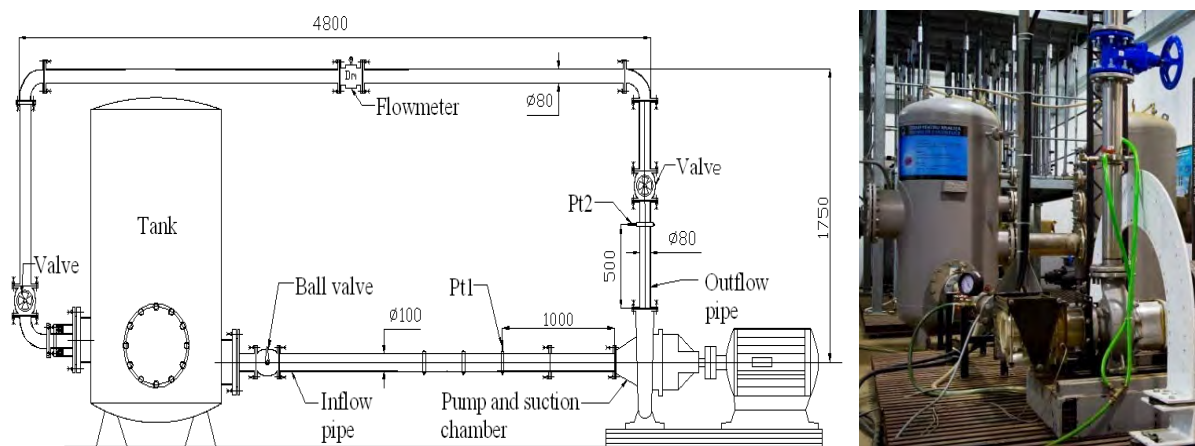


Figure ii.3.22 Schematic view of the test rig with actual dimensions in mm and photo.

It is known that the solutions for large pumps are different than regular one Stepanoff (1957). A suction elbow with complex three-dimensional geometry is installed upstream to the impeller of large pumps Bolliger and Leibundgut (2004), the pump-turbines Doujak (2015) and the first impeller of the multistage pumps Guerlich (2014). The cavitating vortices are visualized at the pump inlet during its operation Sato et al. (2011), Škerlavaj et al. (2012), Draghici et al. (2016). The suction elbow generates circumferential non-uniformity in velocity distribution at the impeller eye due to the geometry and the flow around the shaft Braembussche (2006). Consequently, the flow with pre-rotation is experimentally and numerically identified over roughly one half of the impeller eye and counter-rotation in the second half according to Braembussche (2006), Ginga et al. (2011), Draghici et al. (2014), Muntean et al. (2015). This non-uniform flow is ingested by the impeller leading to hydrodynamic and mechanical problems, Hodkiewicz and Norton (2002), van Esch (2009), Negru et al. (2014).

Therefore, a symmetrical suction elbow is manufactured and installed upstream to a centrifugal pump with characteristic speed of $n_q = nQ^{0.5}/H^{0.75} \sim 30$. The global performances of the centrifugal pump with symmetrical section elbow are investigated. Two cavitating vortices were visualized downstream to the symmetrical suction elbow, Figure ii.3.23b. The hydrodynamic field induced by a symmetrical suction elbow is explored in order to elucidate the source of the non-uniformity generated at the impeller eye.

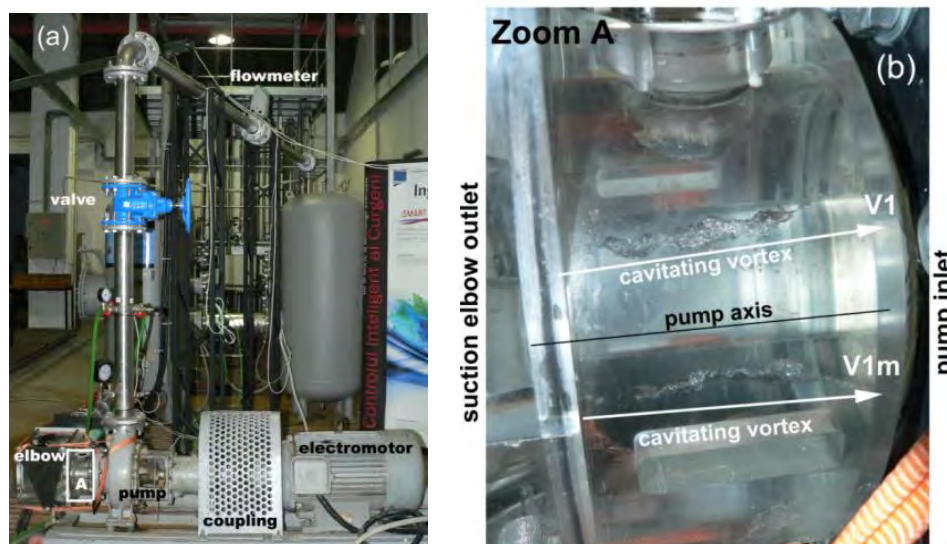


Figure ii.3.23 Photo of the test rig and visualization of the vortex pairs generated by the suction elbow at the pump inlet.

The non-uniform flow field induced by suction elbow at the impeller inlet is measured using Laser Doppler Velocimetry (LDV) system by Draghici et al. (2014). As a result, four vortices are generated by suction elbow and two of them being visualized like cavitating vortices, Figure ii.3.23b, when the test rig reference pressure is dropped down.

Two fast response piezoresistive pressure transducers are installed at the suction elbow outlet in order to measure unsteady field at the impeller inlet, Figure ii.3.24. The transducers with absolute pressure range of 0 - 200 kPa and a maximum acquisition frequency of 100 kHz are used. The pressure transducers are labelled AD3 and AD4 like in Figure ii.3.25. Pressure taps are installed at 90° to each other on same level (see Detail C in Figure ii.3.24). In this way, the same average static pressure is measured when the pumped is stopped checking the static deviation. Each pressure sensor is connected with an amplifier. The accuracy for sensor-amplifier is $\pm 0.3\%$. The output signal from the amplifier is collected by acquisition system. This acquisition system is linked with LDV system which was installed for velocity measurements on annular section at the

impeller inlet, Draghici et al. (2014). Accordingly, the LDV system simultaneously measures the velocity components (meridian and circumferential) and two pressure signals (AD3 and AD4). Each set corresponds to an acquisition time interval of 20 seconds and a sampling rate of minimum 1000 samples/second (1 kHz sampling frequency). The measurements are performed with good accuracy taking into account that phenomenon investigated at the impeller inlet has less than 50 Hz while the acquisition frequency is at least 20 times larger.

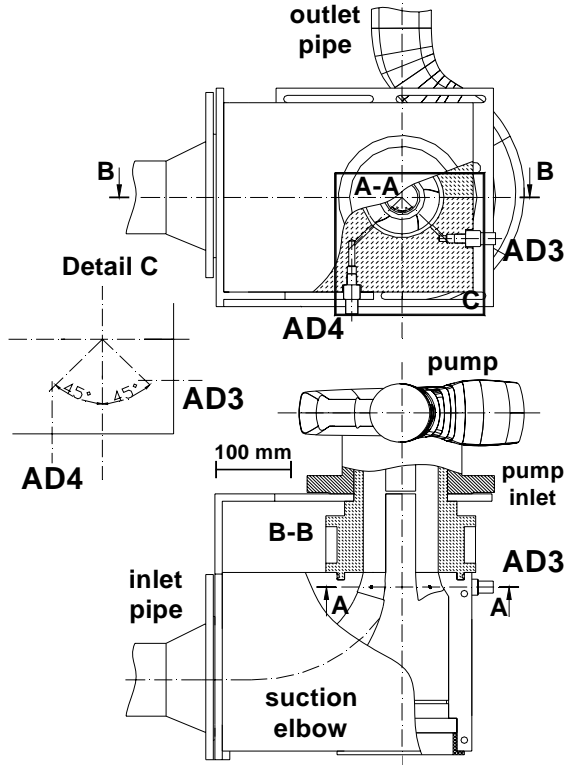


Figure ii.3.24 Side view (up) and upper view (down) of suction elbow together with hydraulic centrifugal pump

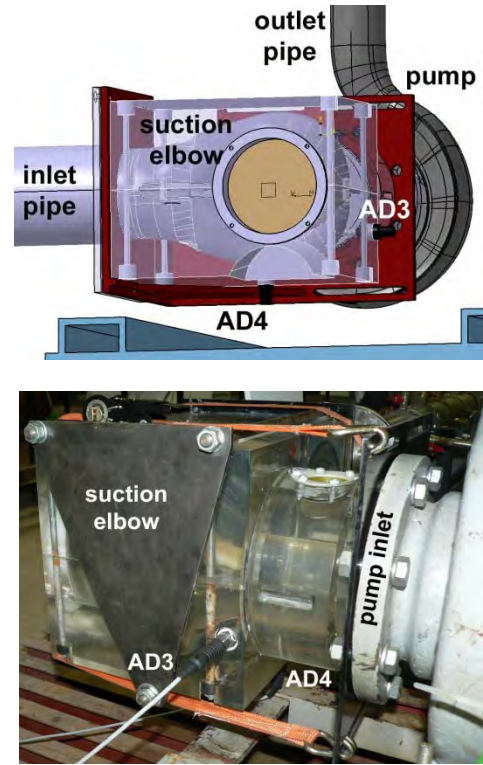


Figure ii.3.25 Axonometric view of the pressure sensors locations on the suction elbow (up) and the test rig photography (down)

ii.3.7.2 Global performances of the solutions

The new impeller model (1:5.7) was manufactured and installed with and without an inducer in order to assess the global performances. As a result, the cavitation performances $\sigma=f(\varphi)$ and the energetic performances: energy coefficient $\psi=f(\varphi)$, power coefficient $\lambda=f(\varphi)$ and efficiency $\eta=f(\varphi)$ were determined. These results are compared against data measured for old impeller with five blades with and without inducer Muntean et al. (2015).

$$\psi = \frac{2gH}{\omega^2 R_{ref}^2} \tag{ii.3.10}$$

$$\lambda = \frac{P_m}{\rho \omega^3 R_{ref}^5} = \frac{\rho g Q H}{P_m} \quad \varphi = \frac{Q}{\pi \omega R_{ref}^3} \tag{ii.3.11}$$

$$\sigma = \frac{NPSHr}{H} \quad NPSHr \stackrel{def}{=} \frac{p_{in}}{\rho g} + \frac{v_{in}^2}{2g} - \frac{p_{min}}{\rho g} \tag{ii.3.12}$$

where:

The specific energy conveyed to the fluid by pump impeller is called *head* being computed according to eq. (ii.3.13):

$$H = \frac{p_2 - p_1}{\rho g} + \frac{1}{2g} \left[\left(\frac{4}{\pi d_2^2} \right)^2 \left(1 - \lambda \frac{l_2}{d_2} \right) - \left(\frac{4}{\pi d_1^2} \right)^2 \left(1 + \lambda \frac{l_1}{d_1} \right) \right] Q^2 + (z_2 - z_1) \quad [\text{m}] \quad (\text{ii.3.13})$$

where p [Pa] is static pressure, ρ [kg/m³] water density, g [m/s²] acceleration of gravity, λ pipe friction coefficient, l [m] pipe length ($l_1 = 1.0 \text{ m}$ and $l_2 = 0.5 \text{ m}$), d [m] pipe diameter ($d_1 = 0.1 \text{ m}$ and $d_2 = 0.08 \text{ m}$), Q [m³/s] volumetric flow rate and z [m] elevation ($z_2 - z_1 = 0.8 \text{ m}$). The subscripts 1 and 2 correspond to inlet and outlet sections indicated in Figure ii.3.22.

The energy coefficient ψ , efficiency η and power coefficient λ versus flow coefficient ϕ are plotted in Figure ii.3.26. It can be observed that the efficiency curve is practically the same for both impellers. However, the head coefficient is slightly higher for the new impeller than the old one. Consequently, larger mechanical power is required for new impeller with respect to the old one.

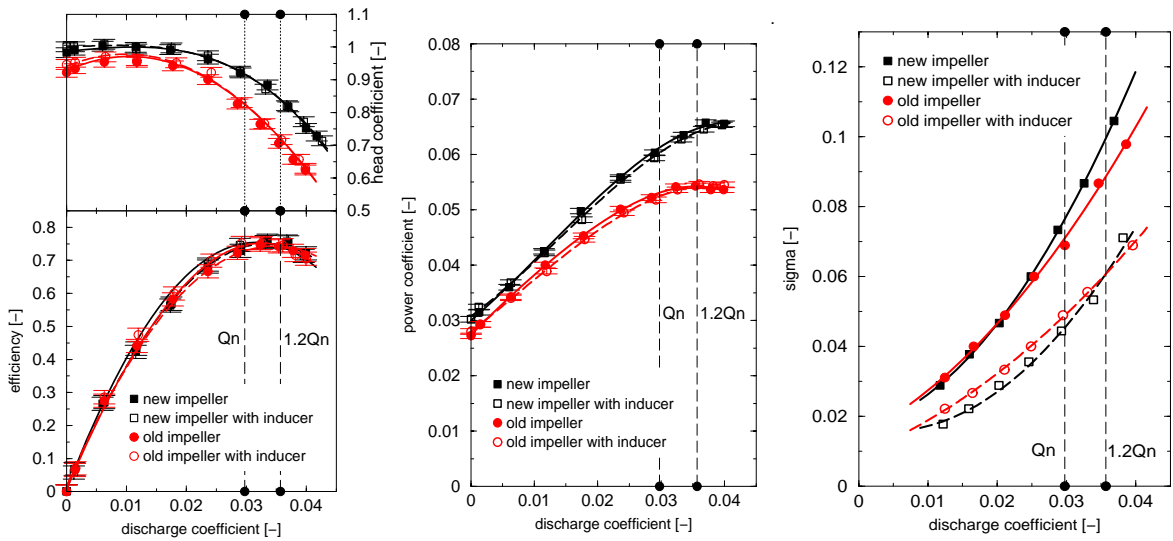


Figure ii.3.26 Head coefficient ψ , efficiency η (left), power coefficient λ (center) and sigma σ (right) versus flow coefficient for both impellers with and without inducer.

The cavitation number σ versus flow coefficient ϕ is plotted for both impellers with and without inducer. The investigations are performed by creating a vacuum in the close loop test ring. The values are obtained corresponding to 3% drop in head. As a matter of course, the cavitation behaviour of the new impeller without inducer at high discharge values ($Q > Q_n$) is slightly worse than the old impeller due to the design conditions with inducer. One can see a significant improvement of both impellers cavitation behaviour with inducer with respect to each impeller alone.

ii.3.7.3 Unsteady pressure measurements at pump inlet

The unsteady pressure field at the pump inlet is measured in order to be quantified the hydrodynamic phenomena generated by three dimensional complex geometry of the suction elbow. The measurements are performed for nine discharge values (from 16.75 to 43.55 l/s) and four variable speed values (from 2700 to 3000 rpm). As a result, the unsteady pressure signal $p(t)$ is acquired for each transducer. The unsteady pressure signal $p(t)$ can be written as follow $p(t) = \bar{p} + \Delta p$ where \bar{p} is the average value and Δp the pressure pulsation, respectively. The pressure pulsation might be represented in different forms: peak-to-peak Δp_{p-p} , amplitude $\Delta p_a = \frac{\Delta p_{p-p}}{2}$ and RMS amplitude $\Delta p_{RMS} = \frac{\Delta p_a}{\sqrt{2}}$, respectively. The pressure pulsation acquired for each transducer at speed value $n=2900$ rpm, average pressure at suction (AD3: $\bar{p} = 64.154 \text{ kPa}$ and AD4:

$\bar{p} = 64.069 \text{ kPa}$) and reference discharge $Q_r = 33.5 \text{ l/s}$ is plotted on left side in Figure ii.3.27 while the Fourier spectra can be found in the middle. Once can be observed on Fourier spectrum the rotational frequency $f_n = 48.33 \text{ Hz}$ associated to the impeller speed of $n = 2900 \text{ rpm}$ followed by its harmonics. The spectrum shows normally as distinct peak the blade passing frequency of 241.65 Hz ($5 \times f_n$).

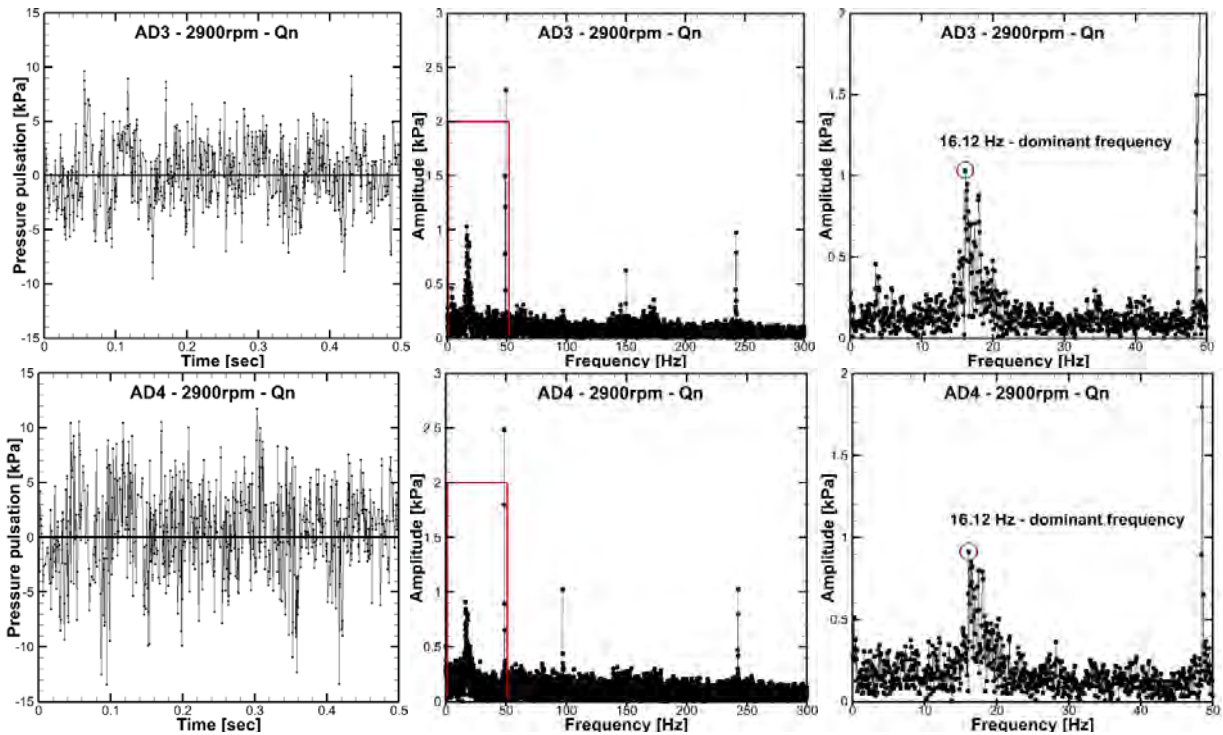


Figure ii.3.27 Pressure pulsations at suction elbow outlet (left), Fourier spectrum of signal (middle) and detailed Fourier spectrum (right) for AD3 (up) and AD4 (down) at reference discharge of 33.5 l/s, average suction pressure and speed value of 2900 rpm.

One significant harmonic can be identified in the range of $0 - f_n$ being associated to the suction phenomena according to Nelson and Dufour (1992), Schiavello (1993). The region from 0 Hz to fundamental frequency f_n is magnified on right side in Figure ii.3.27 being clearly identified the frequency of $f_v = 16.12 \text{ Hz}$ associated to the vortex structure for this regime. As a result, this frequency is the first parameter used to quantify the unsteady pressure field from pump inlet.

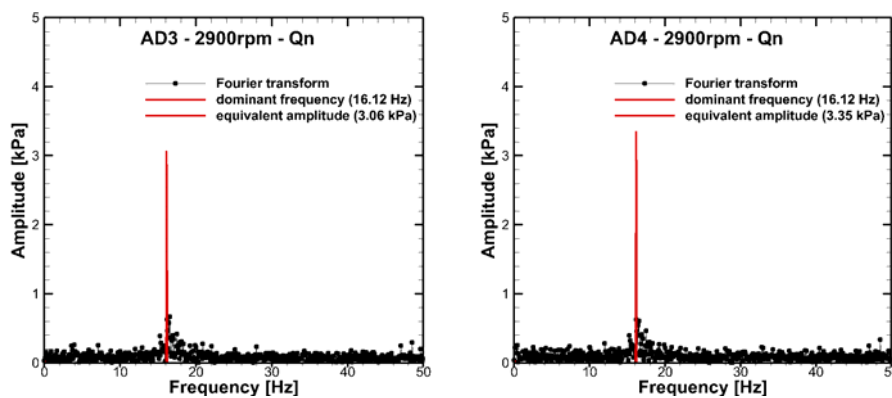


Figure ii.3.28 Equivalent amplitude for signals acquired for AD3 (left) and AD4 (right) at reference discharge of 33.5 l/s, average pressure at suction (AD3: $\bar{p} = 64.154 \text{ kPa}$ and AD4: $\bar{p} = 64.069 \text{ kPa}$) and speed value of 2900 rpm.

Several sets of data were acquired to AD3 and AD4 transducers for investigated impeller speeds and different discharge values in order to be quantified the evolution of dominant frequency associated to the vortex structure with respect to the average pressure measured at suction elbow. The dominant frequency associated to the vortex structure visualized at the inlet pump in terms of the average pressure measured at suction elbow for AD3 (●) and AD4 (■) at impeller speed of 2900 rpm and reference discharge value of 33.5 l/s is plotted in Figure ii.3.29. A linear function according to eq. (ii.3.14) is fitted on each set of data yielding the following functions: AD3 $f_v(\bar{p}) = 0.439\bar{p} - 10.73$ and AD4: $f_v(\bar{p}) = 0.4409\bar{p} - 8.606$, respectively.

$$f_v(\bar{p}) = G\bar{p} + H \quad [\text{Hz}] \tag{ii.3.14}$$

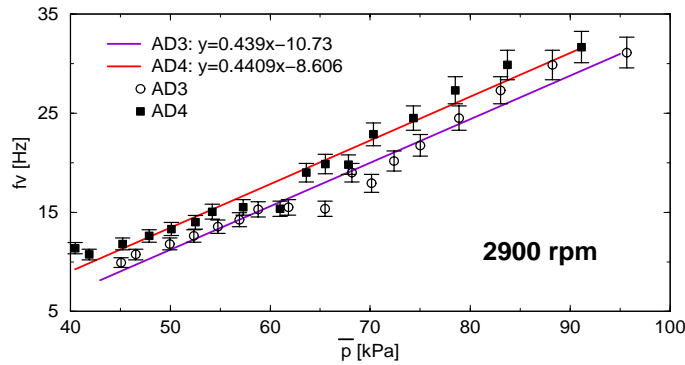


Figure ii.3.29 Vortex frequency versus average pressure measured at suction elbow for AD3 (●) and AD4 (■) at impeller speed of 2900 rpm and discharge value of 33.5 l/s.

The frequency associated to the vortex structure obtained for each discharge is adjusted using the linear function of the average pressure at suction elbow corresponding to the impeller speed for AD3 and AD4. These values for all speeds and all discharges are plotted in Figure ii.3.30 for AD3 (left) and AD4 (right). A unique linear correlation between frequency associated to the vortex structure and discharge value is obtained for each transducer, Draghici et al. (2016). The vortex structures generated within the suction elbow is directly connected with the discharge value being unlinked with the impeller speed. For both transducers, the vortex frequency is slow down once the discharge is increased. This result suggests vortex stretching behaviour once the discharge is increased.

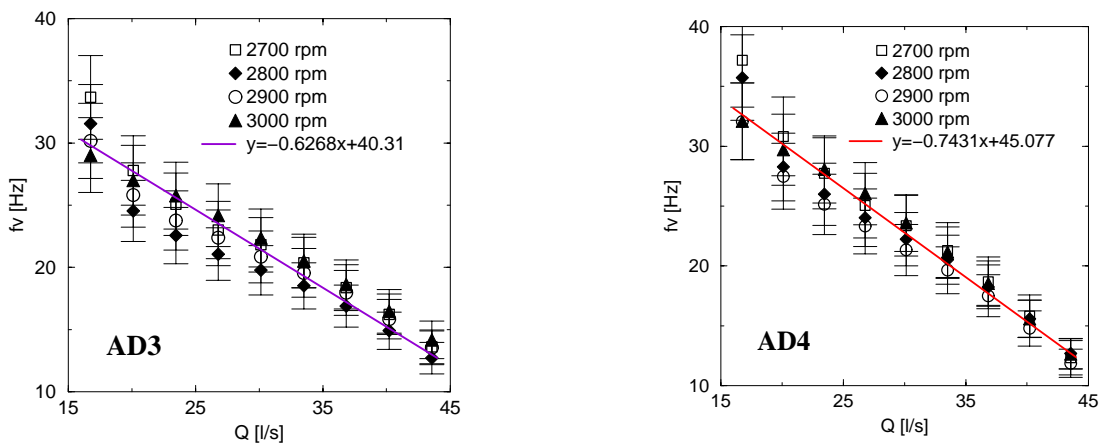


Figure ii.3.30 Dominant frequency associated to the vortex structure versus discharge for four impeller speed values (from 2700 to 3000 rpm) at AD3 (left) and AD4 (right).

The second parameter selected to quantify the unsteady pressure field at the pump inlet is the equivalent amplitude $\Delta p_{RMS}(0 - f_n)$ of the pressure pulsation in range of $0 - f_n$.

$$\Delta p_{RMS}(0-fn) = \sqrt{\sum_n (\Delta p_{RMS})^2} = \sqrt{\sum_n \left(\frac{\Delta p_a}{\sqrt{2}}\right)^2} = \sqrt{\sum_n \left(\frac{\Delta p_{p-p}}{2\sqrt{2}}\right)^2} \text{ [Pa]} \tag{ii.3.15}$$

where n is number of narrow bands contained within $0 - fn$. The equivalent amplitude is proportional with the root mean square (RMS) collecting all spectrum contributions in selected range. Consequently, the second parameter represents the energy content in a selected frequency range, Guelich and Bolleter (1992). The equivalent amplitude $\Delta p_{RMS}(0-fn)$ in terms of discharge for each impeller speed and each pressure transducer is drawn in Figure ii.3.31.

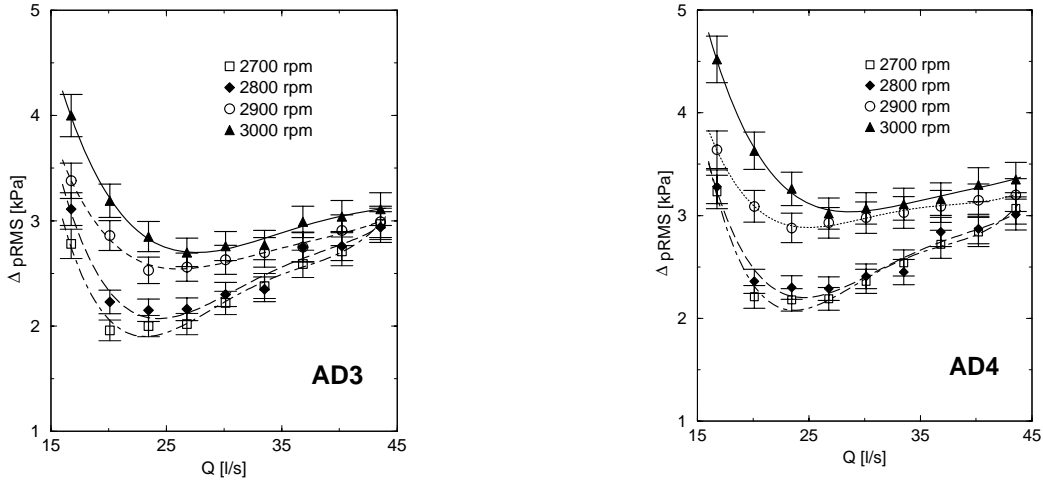


Figure ii.3.31 Equivalent amplitude $\Delta p_{RMS}(0-fn)$ versus discharge for four impeller speed values (from 2700 to 3000 rpm) at AD3 (left) and AD4 (right).

One can observe a dispersion of the equivalent amplitude data with impeller speed at same discharge value. Clearly, the equivalent amplitude is linked with discharge and impeller speed.

Next, the discharge coefficient is defined as follow: $q = Q/Q_r$ [-] where $Q_r=33.5$ l/s is the reference discharge value. Also, it is introduced the dimensionless equivalent amplitude (A) with respect to the pumping head using the eq. (ii.3.16):

$$A = \frac{\Delta p_{RMS}(0-fn)}{\rho g H} 100 \text{ [%]} \tag{ii.3.16}$$

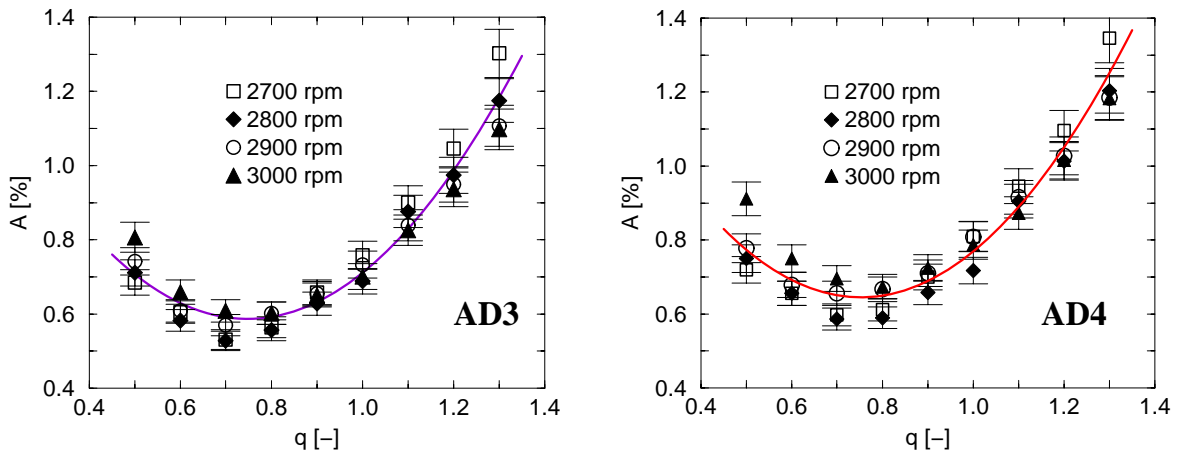


Figure ii.3.32 Dimensionless equivalent amplitude (A) versus discharge coefficient (q) for four impeller speed values (from 2700 rpm to 3000 rpm) at AD3 (left) and AD4 (right).

The dimensionless equivalent amplitude with respect to discharge coefficient is shown in Figure ii.3.32 for all impeller speeds on each pressure transducer. A unique parabolic correlation between both

quantities is obtained for each transducer. The vortex structure generated within the suction elbow is directly connected with the discharge value being unlinked with the impeller speed. For both transducers, a minimum value of dimensionless equivalent amplitude around $A=0.6-0.65\%$ is obtained at $q=0.75$ then the dimensionless equivalent amplitude is increasing up to two times at largest discharge value. The curves drawn in Figure ii.3.32 look similar with the distributions provided by Guelich and Bolleter (1992, Fig. 6).

ii.3.7.4 LDV measurements at the pump inlet

The inlet suction through which passes a pump shaft surrounded by a protective sleeve at least one radial rib is mounted on the sleeve surface away from the elbow inlet, Matthias et al. (1968). In our case, two ribs are included like in Figure ii.3.33. The rib R1 is located where the two partial flows around the hub meet. If this rib is omitted, cavitation characteristics and work transfer (head coefficient and efficiency) are seriously affected. A periodic pre-rotation can also be induced which would result in unsteady operating. The rib R2 is not absolutely necessary with symmetrical inlets but desirable for reasons of mechanical design in order to limit casing deformation under the internal pressure, Guerlich (2014).

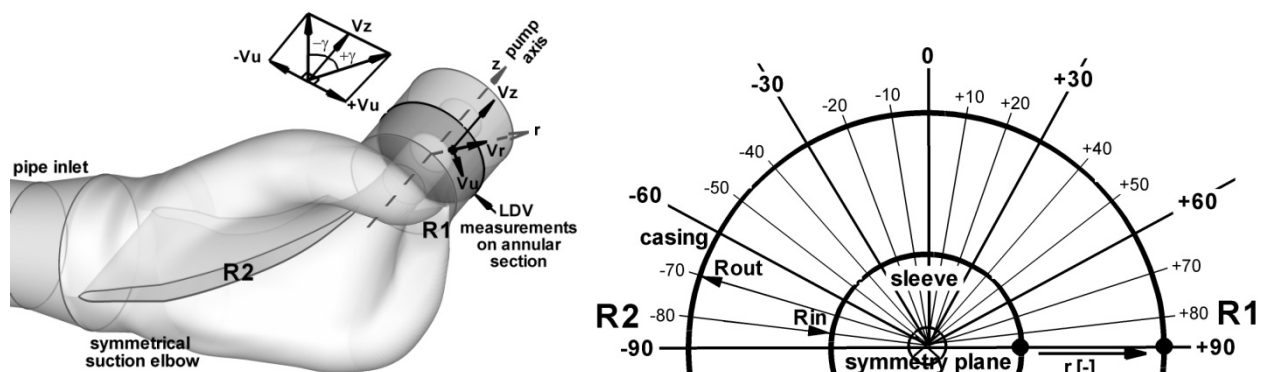


Figure ii.3.33 Axonometric view of the symmetrical suction elbow (left) and all nineteen radial survey axes selected on the annular section for LDV investigations (right).

As it is previously mentioned, the flow with pre-rotation is generated in the symmetric inlet suction over roughly one half of the impeller inlet section and counter-rotation in the second half. The symmetry plane includes the rib R2 located at -90° and the rib R1 positioned at $+90^\circ$ like in Figure ii.3.33. Therefore, the measurements are performed over upper half plane of the inlet section, Draghici et al. (2014).

The three-dimensional complex shape of the suction elbow was milled out into the plexiglass brick in order to allow us to visualize the flow field. An annular section is displaced between the elbow outlet and the pump inlet, see Figure ii.3.33. This annular section pump inlet is bounded by the sleeve shaft in the inner part with diameter of $D_{in}=2 \cdot R_{in}=40.2$ mm and the casing in the outer one with diameter of $D_{out}=2 \cdot R_{out}=103$ mm, respectively. The ratio between sleeve and casing diameters is 0.4. The optical window was installed on this cylindrical test section. Laser Doppler Velocimetry (LDV) measurements are performed along to the radial survey axis in 62 points with step of 0.5 mm. The annular test section is rotated around its axis in order to be measured on several radial survey axes. The measurements were done along to 19 radial survey axes from -90° to 90° with 10° increment, Figure ii.3.34. The laser probe curved link on the semi-circular support in order to be radial aligned with optical window on each survey axis position. Several alignments and checks were performed for the optical system on each radial survey axis position in order to be ensured uncertainties less than 2.5%. Each alignment procedure includes the following: the laser beams alignment, the cylindrical test section rotation around its axis and the optical window, respectively.

The experimental investigation was performed with a LDV system able to simultaneously measure two velocity components (axial and tangential components). LDV system measures the velocity of the seeds (silver coated particles with 10 μm diameter) inserted in the water. The measurements were performed with

minimum 1000 samples/second (1 kHz sampling frequency). LDV system consists in an argon-ion source with 300 mW power and an optical fibber who guide the beams to the flow. The main characteristics of the LDV system are as follow: focal length of the probe 400 mm; beam diameter 2.2 mm; beam spacing 39.2 mm. For the probe positioning on radial survey axis, 1D traversing system is used with 0.01 mm accuracy. It was established that for each point is necessary to measure 50000 particles in 20 seconds in order to be ensured uncertainties less than 2.5%.

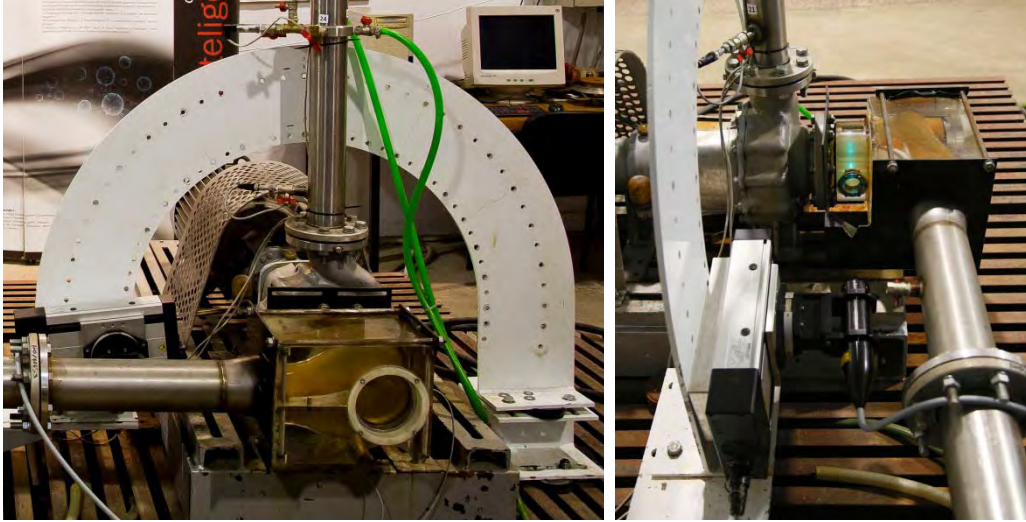


Figure ii.3.34 LDV system installed on test rig: frontal view and side view.

Experimental data

LDV measurements are performed along to nineteen radial survey axes (see Figure ii.3.33) for nine discharge values from $0.5Q_n$ to $1.3Q_n$ with increment of $0.1Q_n$. The average absolute velocity profiles (V_z axial and V_u circumferential velocity components) versus radial coordinate were measured on all radial survey axes. Both dimensionless velocity components are obtained taking into account the average velocity value $V_m=Q/A$ according to the following equations:

$$v_z = \left(\frac{V_z}{Q/A} \right), \quad v_u = \left(\frac{V_u}{Q/A} \right) [-] \quad (\text{ii.3.17})$$

where Q corresponds to the discharge value for each regime and the area A of the annular surface is computed as follow: $A=\pi(R_{out}^2-R_{in}^2)$.

The average dimensionless velocity profiles along to six radial survey axes are plotted in Figure ii.3.35. One can observe that average dimensionless absolute velocity profiles are discharge independent. However, from $+10^\circ$ to $+30^\circ$ near to the sleeve is remarked a small variation of the circumferential velocity component with discharge value. Also, a larger root mean square value is associated to each experimental point located in this separation region. Nevertheless, the average dimensionless absolute velocity profiles can be considered discharge independent even if the circumferential component of the velocity is slightly modified with the discharge value in this particular region.

The discharge value is computed based on LDV measurements using next equation in order to check the accuracy:

$$Q_v = 2 \int_{-\pi/2}^{\pi/2} \int_{R_{in}}^{R_{out}} V_z(r, \theta) r dr d\theta \quad (\text{ii.3.18})$$

The relative error ε_r between the discharge value computed according to eq. (ii.3.19) and the value measured with the flow meter is determined using eq. (ii.3.18):

$$\varepsilon_r = \frac{Q_v - Q}{Q} 100 \text{ [%]} \tag{ii.3.19}$$

A small relative error value equal to $\varepsilon_r = 0.49\%$ is obtained validating the velocity measurements.

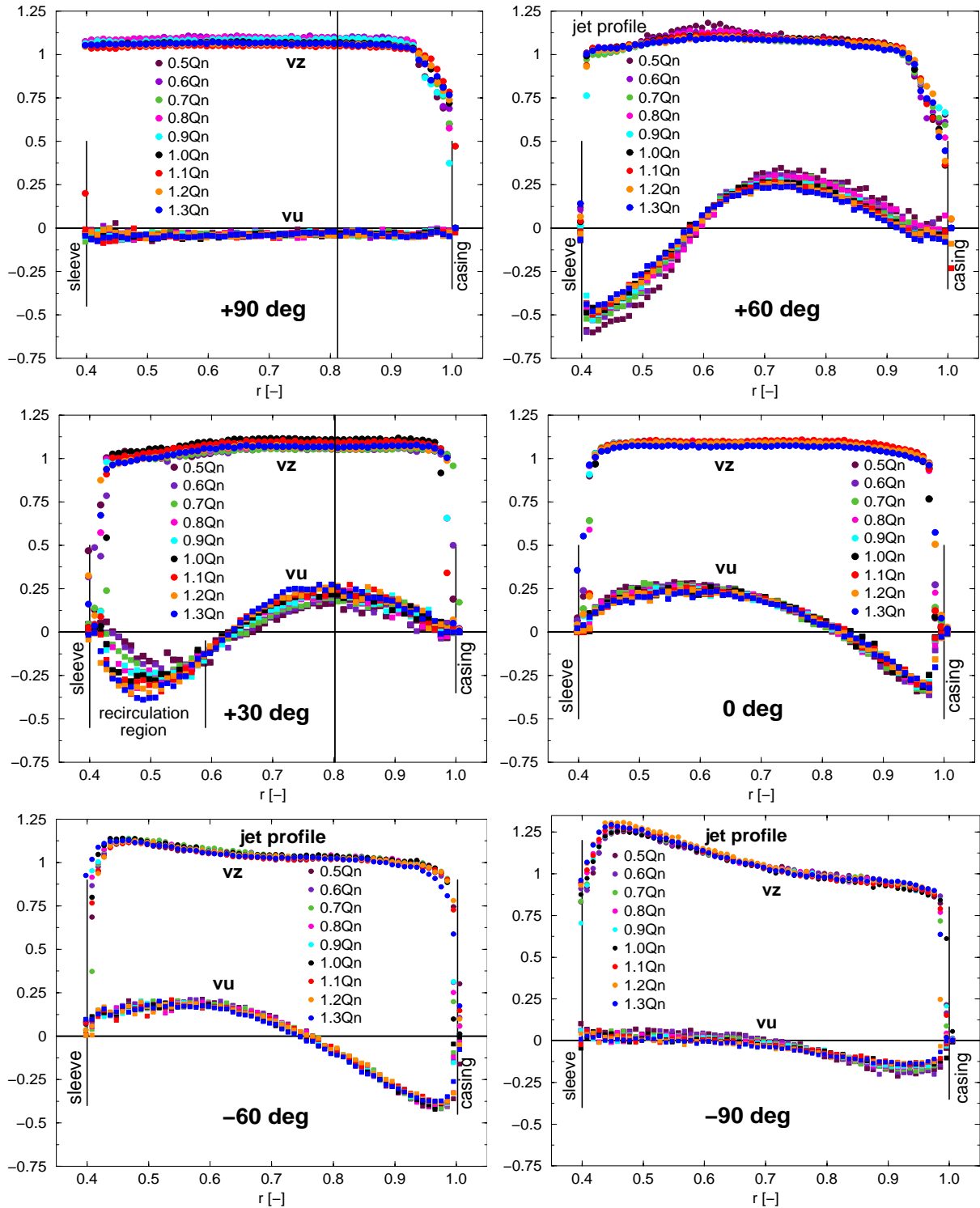


Figure ii.3.35 Dimensionless velocity components (v_z – axial and v_u – circumferential) measured along to six radial survey axes located at $+90^\circ$, $+60^\circ$, $+30^\circ$, 0° , -60° , -90° on the annular surface.

Both maps of the dimensionless velocity components (axial v_z and circumferential v_u) measured on half of the annular surface are plotted in Figure ii.3.36. One can observe a quasi-uniform distribution of the axial

velocity component. However, two jets with larger dimensionless axial velocity than unit (the spots with light green colour) are identified while the smallest values of this component can be observed near to the walls. Contrary, the distribution of the absolute circumferential velocity component is strongly non-uniform over one half of the annular section.

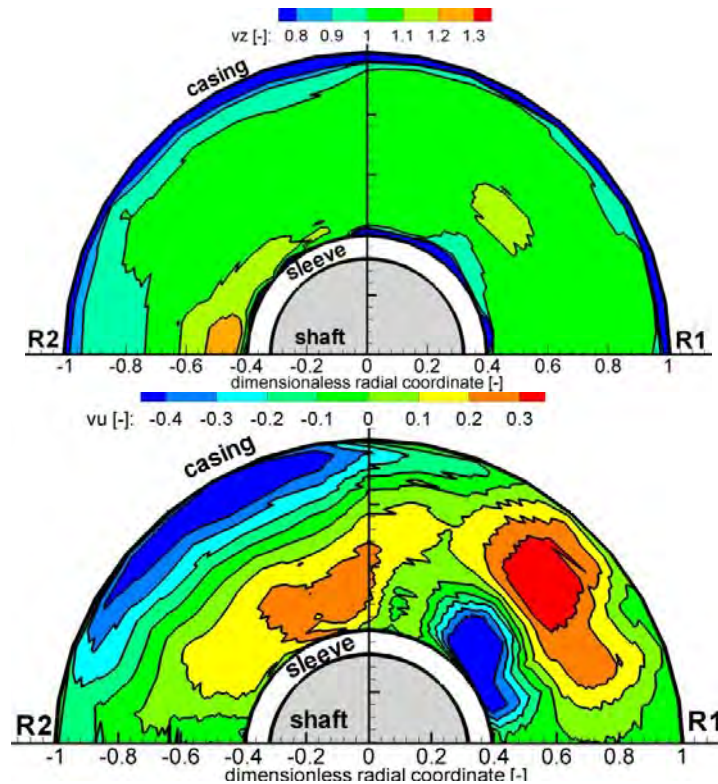


Figure ii.3.36 Dimensionless velocity components maps (axial v_z and circumferential v_u) measured on the half annular section.

The flow angle γ is defined according to the following equation:

$$\gamma = \arctg\left(\frac{V_u}{V_z}\right) \quad [^\circ] \tag{ii.3.20}$$

quantifying the deviation of the flow from the axial direction, see Figure ii.3.33. The flow angle on seven radial survey axes is plotted in Figure ii.3.37 while the map over annular outlet section is presented in Figure ii.3.38.

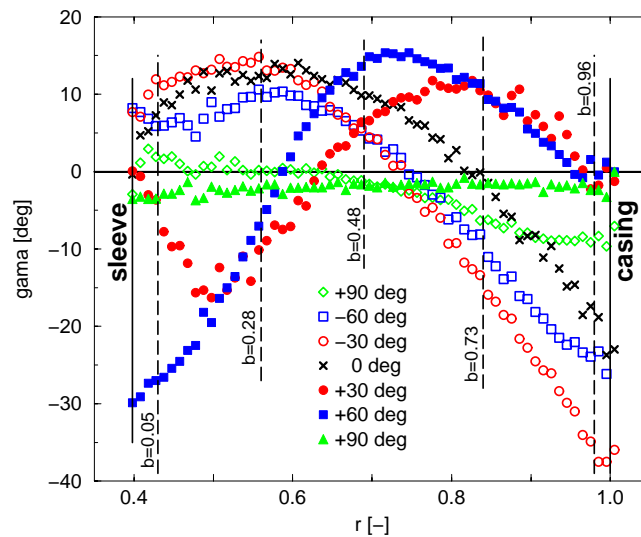


Figure ii.3.37 Flow angle (γ) distribution on seven radial survey axes.

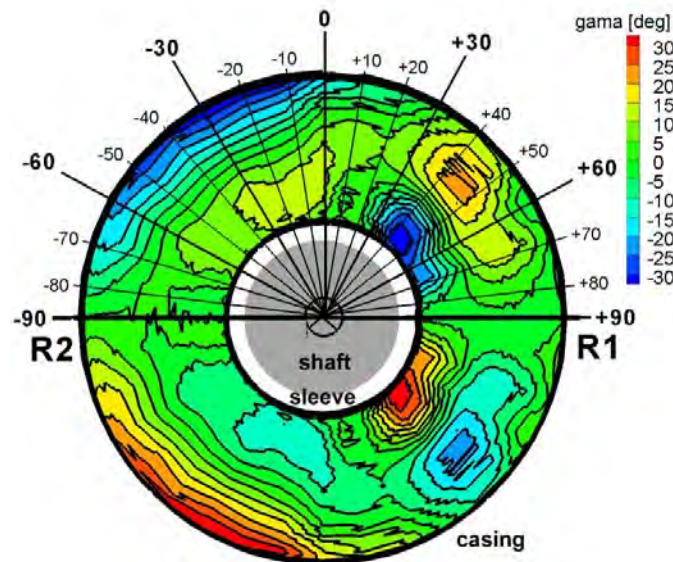


Figure ii.3.38 Flow angle (γ) map on the annular section based on LDV measurements.

The most significant variation of the flow angle on the outlet surface of the suction elbow is generated near the boundaries (in vicinity of the sleeve and casing, respectively). This non-uniform flow generated by the suction elbow is ingested by the impeller leading to the unwanted effects already mentioned in first section.

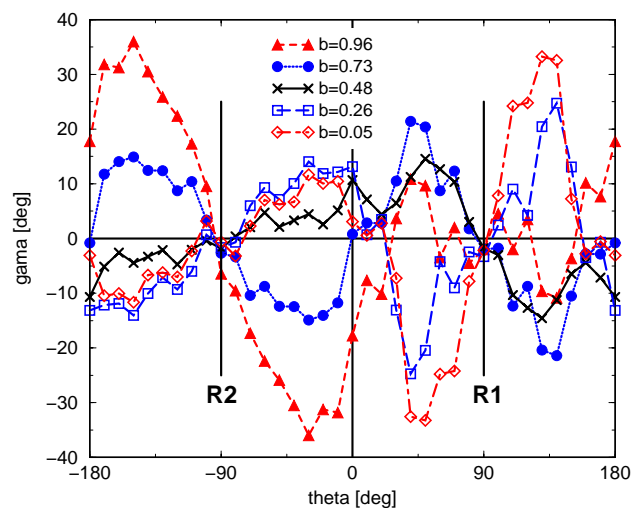


Figure ii.3.39 Flow angle (γ) distribution along to five radii displaced on the annular section: $b=0.05$ (near to the sleeve), 0.26, 0.48 (near to the middle), 0.73, 0.96 (near to the casing).

As a result, the dimensional absolute velocity distribution on the impeller inlet surface is straightforwardly obtained.

ii.3.8 Numerical investigation of the flow field in the suction elbow

The three-dimensional computational domains correspond to the inlet pipe together with the suction elbow, the impeller pump model with five blades, the volute together with the outlet pipe installed on the test rig, Figure ii.3.22. A mixing interface technique was applied in our previous numerical investigations in order to be coupled the steady flow fields between rotating and fixed domains Ginga et al. (2011, 2012). A full three-dimensional unsteady flow computation is performed from upstream to downstream of the centrifugal pump with suction elbow as on the prototype case Muntean et al. (2015) in order to avoid the limitations introduced by mixing technique Ginga et al. (2012). However, the time computation is up to one order of magnitude larger in this case than previous one. A structured grid with 2M cells is considered on the inlet pipe and suction elbow domain, around 1.2M cells on the pump impeller domain and 1M on the volute together with

outlet pipe. The suction elbow-impeller and the impeller-volute interfaces are employed in the computation, Figure ii.3.40. In this case, the inflow boundary condition is imposed on the pipe inlet corresponding to the discharge together with turbulence quantities (the hydraulic diameter of 0.08 m and the turbulence intensity of 2%, respectively). The discharge value of 40.2 l/s corresponding to $1.3Q_n$ and the impeller speed of 3000 rpm are imposed in correlation with experimental conditions where the cavitating vortices are visualized, Figure ii.3.22b. The static pressure value measured on the test rig is imposed on the outlet section displaced in the outlet pipe. The flow computation is performed with $k-\omega$ turbulent model using FLUENT (2006). The second order schemes and SIMPLE algorithm for coupling velocity-pressure fields are selected. The threshold values for numerical solution on pressure, velocity components and turbulence quantities convergence are imposed below to $1e-6$. The time step of 1 ms is considered in this computation with 20 inner iterations on each time step.

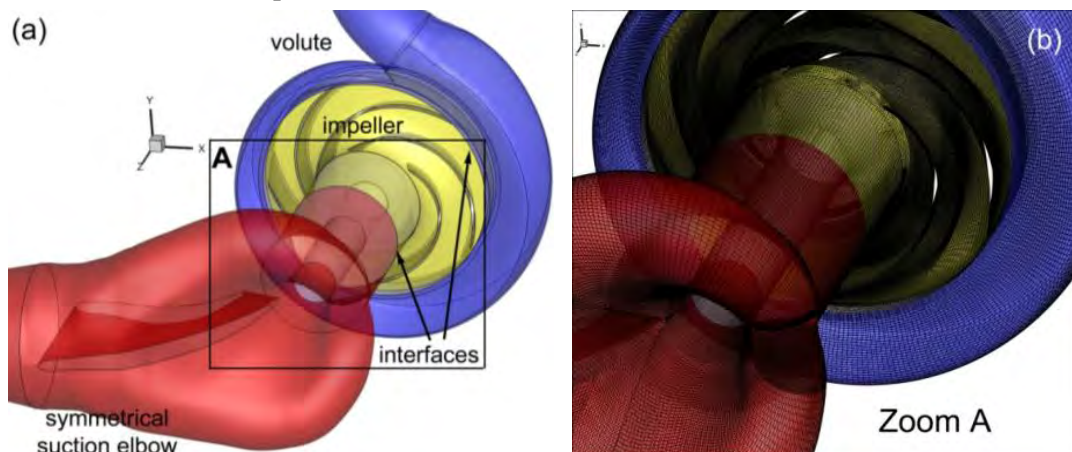


Figure ii.3.40 Three-dimensional computational domains: suction elbow (red), impeller (yellow) and volute (blue). A detailed view with structured mesh used in numerical simulation.

ii.3.9 Numerical results against experimental LDV measurements

Particularly, a cylindrical part is installed between symmetrical section elbow outlet and impeller inlet in order to install the optical window for LDV measurements. The annular cross section selected for LDV measurements is located at 50 mm (denoted $z=0.05$ m in Figure ii.3.41) with respect to the section elbow outlet (labelled $z=0$ in Figure ii.3.41). Let us examine now the flow field on this annular section with a casing diameter of $D_c=103$ mm and a sleeve diameter of $D_s=40.5$ mm, respectively. In this case, the ratio between the sleeve and casing diameters is $D_s/D_c=0.4$. The flow angle γ is defined in eq. (ii.3.20) being the flow deviation with respect to the pump axis, Figure ii.3.41a.

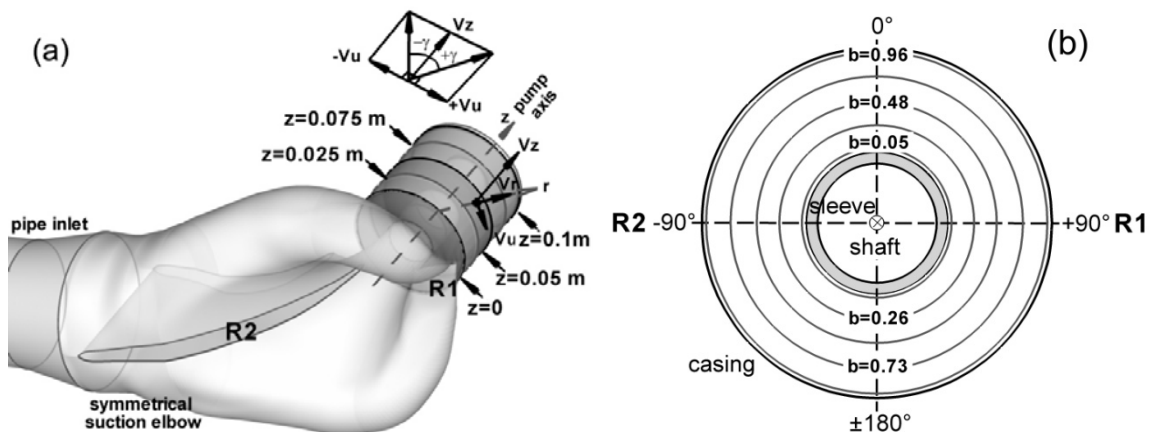


Figure ii.3.41 Positions of annular cross sections with respect to the suction elbow outlet ($z=0$) (a); Five radial sections defined on the annular cross section ($b \approx 0/1$ near to sleeve/casing) (b);

Five radial sections are defined on the annular cross section in order to quantify the flow non-uniformity, Figure ii.3.41b. The distance between the sleeve and casing is quantified using b parameter defined by eq. (ii.3.21)

$$b = \frac{D - D_s}{D_c - D_s} \quad [-]. \tag{ii.3.21}$$

The radial sections located closed to the sleeve are labelled with values around zero ($b \approx 0$) while near to casing with values are around unit ($b \approx 1$), respectively.

Figure iii.3.42 presents the qualitative assessment of the flow angle map (γ) between numerical results and LDV data measured by Draghici et al. (2014) on the annular cross section displaced at $z=0.05$ m (see Figure ii.3.41). One can observe that the detailed features of flow field are recovered in numerical simulation providing a good agreement against experimental data, Muntean et al. (2016).

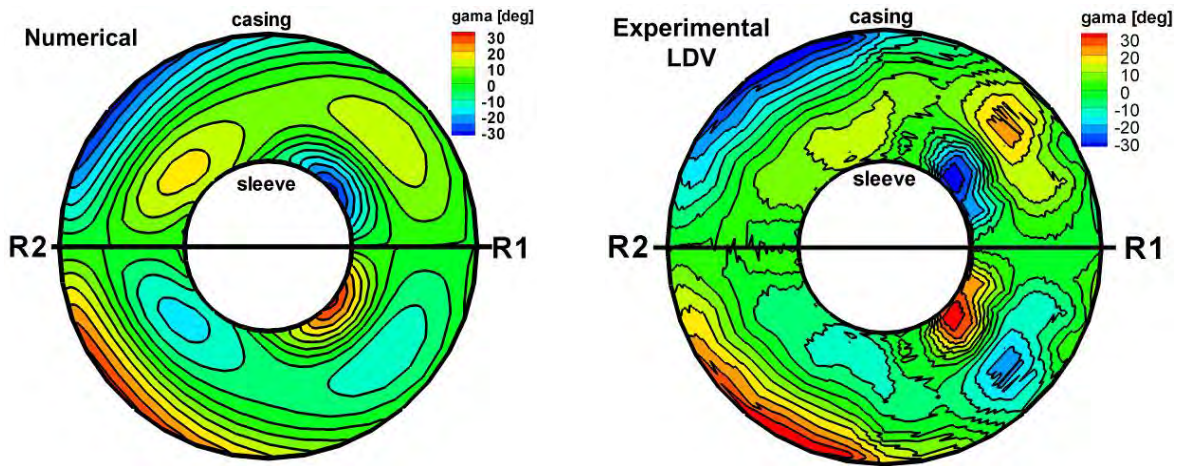


Figure ii.3.42 Numerical flow angle map validation against LDV data on the annular cross section located at $z=0.05$ m (see Figure ii.3.41a).

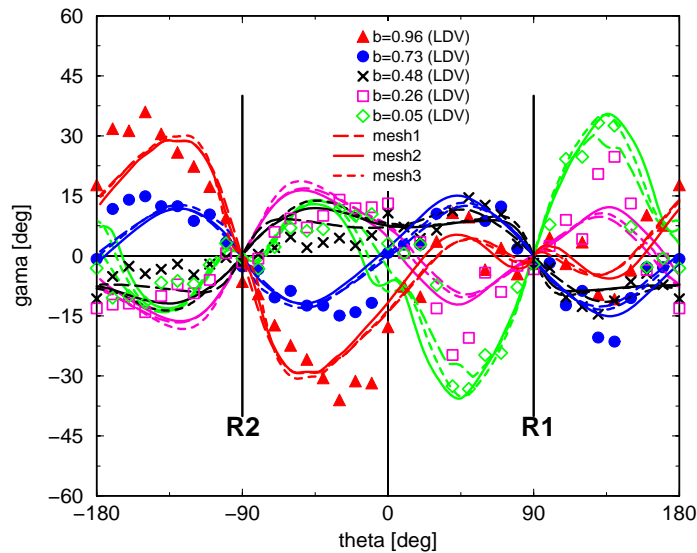


Figure ii.3.43 Flow angle validation against experimental data on five radii displaced on annular section ($b=0$ near to hub and $b=1$ near to casing see Figure ii.3.41b).

Negligible flow angle deviation provides the best hydrodynamic conditions on the annular cross section at the pump inlet. However, it can be easily observed in Figures iii.3.42 and iii.3.43 that the flow field is strongly non-uniform. The most significant variation of the flow angle on the annular surface of the outlet suction elbow is generated near the boundaries (in vicinity of sleeve and casing, respectively), see Figure

ii.3.43. One can see that the largest flow non-uniformity of $\pm 39.62^\circ$ is computed near to the sleeve from 0° to 180° . However, a significant flow non-uniformity of $\pm 33.15^\circ$ is quantified near to the casing corresponding to the region from -180° to 0° . In this case, two regions are identified with extreme values of the flow non-uniformity: (1) the region located near to the casing from -180° to 0° and (2) the region located behind to the sleeve. Consequently, two different sources of the flow non-uniformity can be assumed for this case: (1) the three-dimensional geometry of the suction elbow which generates the flow non-uniformity near to the casing; (2) the flow over the sleeve induces the non-uniformity behind it.

The flow angle (γ) numerically computed is validated in Figure ii.3.43 against experimental data on five radii displaced on annular cross section. These five radii are located as follow (Figure ii.3.41): $b=0.05$ (near to sleeve), 0.26, 0.48 (near to mid cross section), 0.73, 0.96 (near to casing). The numerical results (lines) agree quite well with experimental data (points) validating the numerical computation.

ii.3.10 Hydrodynamic field analysis on the annular cross section $z=0.05$ m

A flow structure with four vortices is revealed on annular cross section displaced at $z=0.05$ m in the cylindrical part, Figure ii.3.44, using numerical analysis.

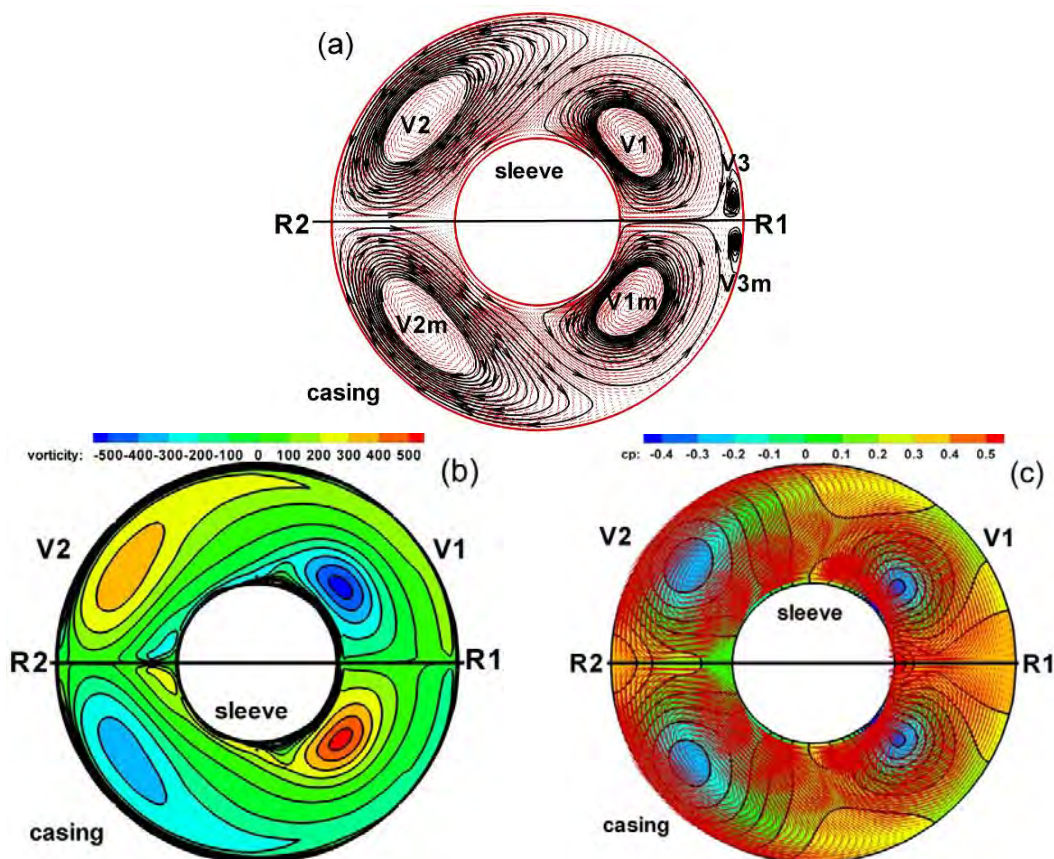


Figure ii.3.44 Flow field computed on annular cross section located at $z=0.05$ m: (a) flow field structure (b) vorticity map (c) pressure coefficient map together with the vectors of the flow field (red arrows).

Two vortices are located in the upper side (V1 and V2) with respect to plane R1-R2, see Figure ii.3.44a, and other two vortices in the lower side (V1m and V2m), respectively. Both V1 and V2m are right-hand vortices while other two (V2 and V1m) are left-hand vortices, respectively. The vorticity reaches the largest values in the core of vortices V1 and V1m. The flow vectors marked with red arrows are overlap on the static pressure field in Figure ii.3.44c in order to prove that the minimum pressure is reached in the center of vortices (with

blue spots). Moreover, the lowest pressure coefficient values are obtained in the center of V1 and V1m vortices in agreement with flow visualization on the test rig.

ii.3.11 Hydrodynamic field analysis in three-dimensional geometry of the section elbow

The flow structure with four vortices is identified along to the cylindrical part. This flow structure is plotted on four annular sections displaced at 0.025 m, 0.05 m, 0.075 m and 0.1 m with respect to the outlet section of the suction elbow denoted $z=0$ in Figure ii.3.41a. Further, the analysis is focused on two vortices (V1 and V2) located in upper side. Both centers of these vortices are marked with red spots in Figure ii.3.45. Each center is located on a strip with negligible tangential component marked with blue color. Clearly, one can observe a modification of each vortex center position from one section to another. Two geometrical parameters (e.g. radial and angular coordinates) associated to each vortex center are introduced to quantify the position distribution along to the suction elbow and cylindrical part, respectively.

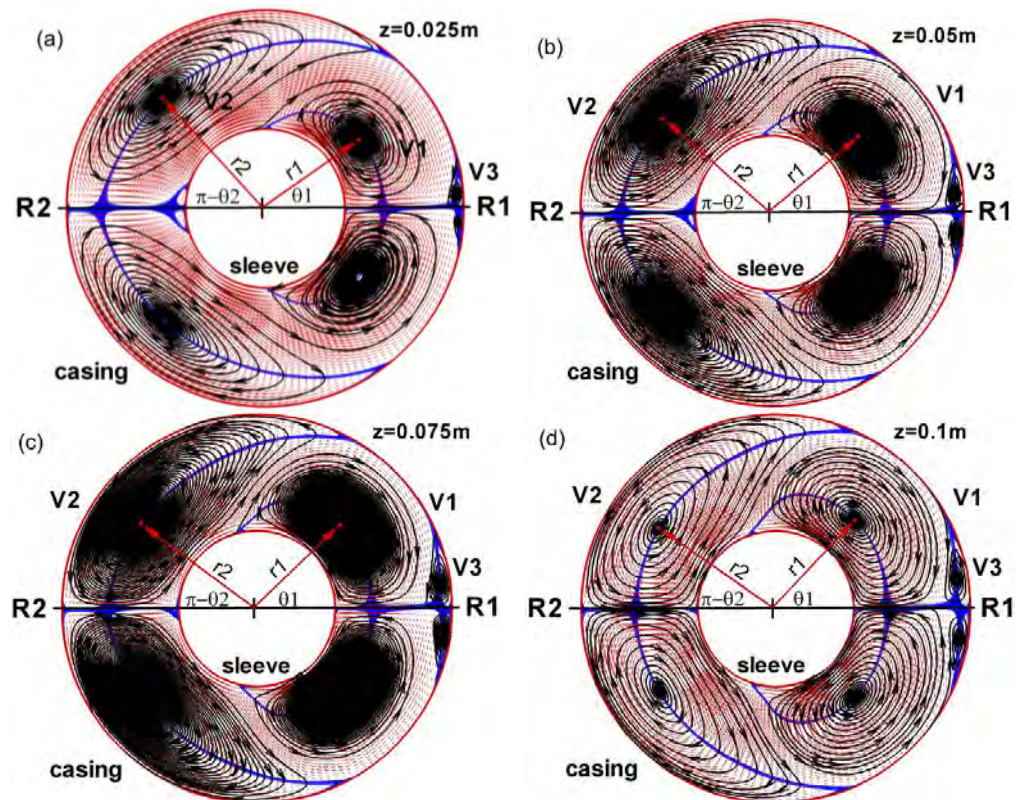


Figure ii.3.45 Flow field structure on four annular sections located along to the cylindrical part (see Figure ii.3.41): (a) $z=0.025\text{m}$ (b) $z=0.05\text{m}$ (c) $z=0.075\text{m}$ (d) $z=0.1\text{m}$. The blue lines correspond to the negligible tangential velocity component.

The vorticity maps are plotted on all five annular cross sections displaced on the cylindrical part, Figure ii.3.46. Also, the vortex core filaments associated to V1 (blue) and V1m (red) generated by the symmetrical section elbow are visualized on the cylindrical part. One can observe a very good qualitative agreement between the distributions of both vortex cores filaments (V1 - blue and V1m - red) along to the cylindrical part plotted in Figure ii.3.48 and the cavitating vortices visualized on the test rig in Figure ii.3.41.

The vortices can be automatically detected using a technique developed by Haines and Kenwright (1999, 2000) or Sadlo et al. (2006). As a result, the vortex core identification technique presented by Stuparu and Susan-Resiga (2015) is employed using the visualization expert software TECPLOT (2003) on numerical data provided by FLUENT (2006). The algorithm returns a set of vortex core segments with

associated vorticity magnitude (vortex core strength) values. Consequently, the third parameter associated to the vortex core is vorticity magnitude. This parameter is a hydrodynamic one.

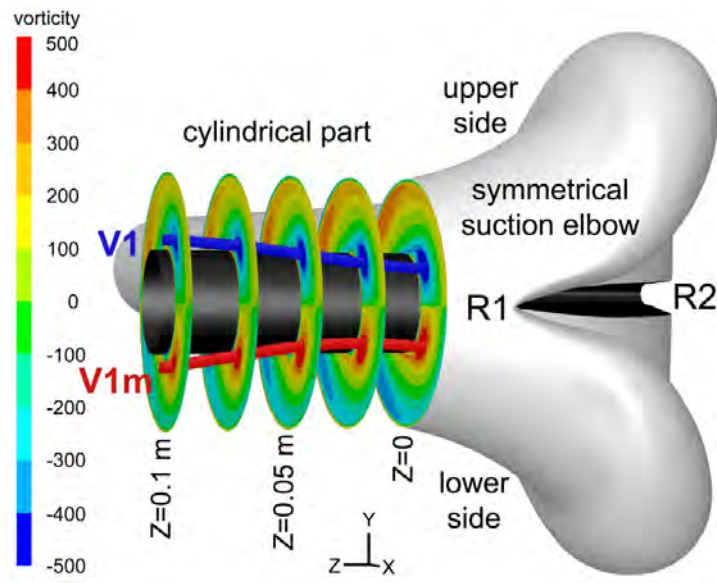


Figure ii.3.46 Vortex core filaments in the cylindrical part generated by the symmetrical suction elbow: V1 (blue vortex core) and V1m (red vortex core)

The vortex core filaments are identified in the three-dimensional flow through the symmetrical suction elbow and the cylindrical part are sketched in Figure ii.3.47. The red vortex core filaments correspond to V1 and V2m vortices while blue vortex core filaments are associated to V1m and V2 vortices, respectively. In the axonometric view, it is revealed that the red filaments correspond to right-hand vortices whilst the blue filaments to left-hand vortices. The flow particles injected near to outer wall of the elbow generate the V1 and V1m vortices located behind to the sleeve. In the meantime, the flow particles closed to the inner wall induce V2 and V2m vortices. The vortex core filaments are generated by the three-dimensional geometrical configuration of the symmetrical suction elbow. These vortices start on the suction elbow wall being ingested by the pump impeller.

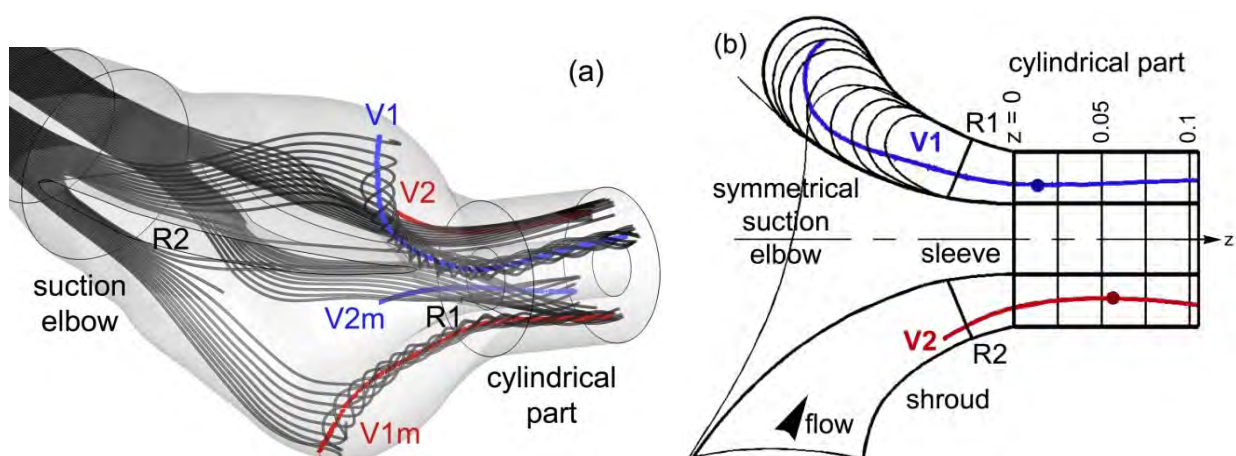


Figure ii.3.47 Vortex core filaments on three-dimensional geometry of the section elbow: V1 and V2m right-hand vortices (blue vortex cores) and V1m and V2 left-hand vortices (red vortex cores). The blue and red circles correspond to minimum radial coordinates of the vortex core filaments.

The geometric and hydrodynamic parameters are defined to characterize both V1 and V2 vortex core filaments. As a result, these parameters are plotted in terms of the axial coordinate along to the symmetrical suction elbow and cylindrical part in Figure ii.3.48. The radial coordinates (r_1 and r_2) and the angular

positions (θ_1 and $\pi-\theta_2$) are used to identify the geometrical locations of the vortex cores on each annular cross section. It can be observed that geometric parameters (r and θ) computed for both vortex core filaments are quite similar along to the cylindrical part.

The vorticity magnitude on both vortex filaments is plotted against axial coordinate in Figure ii.3.48c. The vorticity magnitude associated to vortex core filament V1 is approximately twice larger than V2. As a result, the minimum static pressure value in the center of the vortex V1 is lower than in the center of vortex V2. This statement is supported by the static pressure map plotted in Figure ii.3.44c, as well as the visualization of the cavitating vortices on the test ring, Figure ii.3.41.

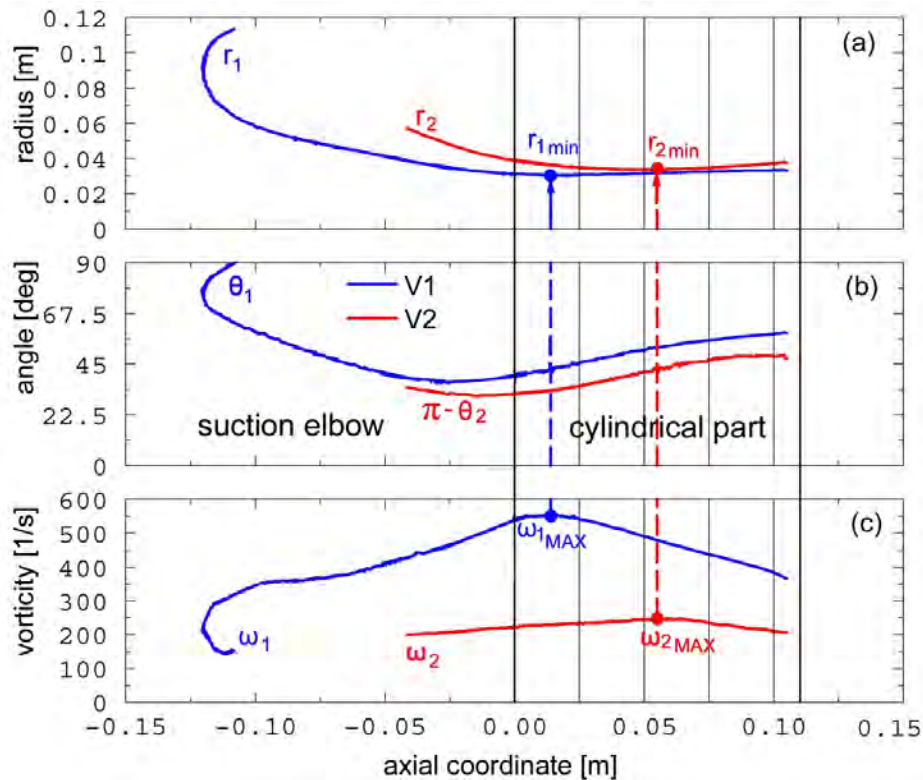


Figure ii.3.48 Distribution of the vortex core parameters: (a) radial coordinate, (b) angular position and (c) vorticity magnitude associated to V1 and V2 vortices along to the suction elbow and the cylindrical part.

On the other hand, the vorticity magnitude (vortex strength) monotonically increases along to the vortex core filament up to a maximum value, Figure ii.3.48c. After that, the vorticity magnitude monotonically decreases up to the outlet section of the cylindrical part. The minimum radial coordinate of each vortex core filament is identified based on the position of the maximum vorticity magnitude value (maximum strength) according to the Kelvin's theorem. The physical explanation for this observation is that the vortex spins faster/slower being stretched/squeezed once the radial coordinate associated to the vortex core filament is diminished/enhanced. The previous statement is supported by V1 evolution from a gathered vortex on $z=0.025$ m section (Figure ii.3.45a) to a scattered one on section located at $z=0.1$ m (Figure ii.3.45d) taking into account that the radial coordinate associated to its core is monotonically enhanced.

(b-iii) Scientific, professional and academic plans

iii.1 Scientific plan

My scientific plan is directly connected with the requirements imposed by the energy market. Let look at the tendencies in the EU energy market as well as let see the state-of-the art of the hydropower in Romanian in order to underline the requirements in operation of the hydropower turbomachines. As a result, the new requirements imposed by energy market to the hydropower turbomachines would support my delectection for long term development.

The European policy in the energy market has included three key targets: (i) 20% cut in greenhouse gas emissions (from 1990 levels), (ii) 20% of EU energy from renewable (iii) 20% improvement in energy efficiency. The targets were set by EU leaders in 2007 and enacted in legislation in 2009. They are also headline targets of the Europe 2020 strategy for smart, sustainable and inclusive growth. The Renewable Energy Directive sets rules for the EU to achieve its 20% renewables target by 2020. Renewable energy can be produced from a wide variety of sources including wind, solar, hydro, tidal, geothermal, and biomass. By using more renewables to meet its energy needs, the EU lowers its dependence on imported fossil fuels and makes its energy production more sustainable. The renewable energy industry also drives technological innovation and employment across Europe. Renewable Energy Sources (RES) contribute to climate change mitigation through the reduction of greenhouse gas emissions, achieve sustainable development, protect the environment and improve citizens' health. Moreover, renewable energy is also emerging as a driver of inclusive economic growth, creating jobs and reinforcing energy security across Europe. These aspects are enshrined in Article 194 of the Treaty on the Functioning of the European Union, which has conferred Union competences to promote renewable energy.

The current 2020 framework sets a EU 20% target for energy consumption which relies on legally binding national targets until 2020. National Renewable Energy Action Plans and the biennial monitoring provided for by the Directive 2009/28/EC on the promotion of the use of energy from renewable sources have been effective in promoting transparency for investors and other economic operators and thereby favoured the rapid deployment increase in the share of renewable from 10.4% in 2007 to 17% in 2015. In October 2014, the European Council agreed the 2030 framework for climate and energy reaffirming the Union's long-term commitment to the ambitious EU strategy in renewable energies. The new framework sets out the European Union target of at least 27% for the share of renewable energy consumed in the EU in 2030. This target is binding at EU level and will be fulfilled through individual Member States' contributions guided by the need to deliver collectively for the EU. In addition, the new framework also enables the collective delivery to be done without preventing Member States from setting their own, including more ambitious, national targets. Member States can support renewable energy, subject to State aid rules.

Table iii.1. Forecast of electricity production in Romania performed in 2010

	TWh	2008	2009	2010	2011	2012	2015	2020
Electricity total production		65.5	67.7	70.6	72.2	74.5	89.5	100
Gross domestic electricity consumption		62.5	64.2	66.1	67.7	69.5	74.5	85
E-RES production		18	19.5	21.7	22.3	23	26	32.5
Electricity production in nuclear power plant		10.8	10.8	10.8	10.8	10.8	21.6	21.6
Electricity production in power plants		36.7	37.4	38.1	39.1	40.7	41.9	45.9
E-RES share in total gross consumption (%)		28.8	30.4	32.8	32.9	33.1	34.9	38.2

The 2017 report states that the EU as a whole achieved a 16% share of renewable energy in 2014 and an estimated 16.4% share in 2015. The vast majority of EU countries are well on track to reach their 2020 binding targets for renewable energy.

Renewables will continue to play a key role in helping the EU meet its energy needs beyond 2020. EU countries have already agreed on a new renewable energy target of at least 27% of final energy consumption in the EU as a whole by 2030 as part of the EU's energy and climate goals for 2030. On 30 November 2016, the Commission published a proposal for a revised Renewable Energy Directive to make the EU a global leader in renewable energy and ensure that the 2030 target is met. All EU countries have adopted national renewable energy action plans showing what actions they intend to take to meet their renewable targets.

Looking to the Romanian energy market can be clearly seen the expansion of the renewable sources (wind and solar) according to the energy action plans at EU level. Nowadays, it can be seen that the forecast of electricity production in Romania made in 2010 about total electricity production was too optimistic.

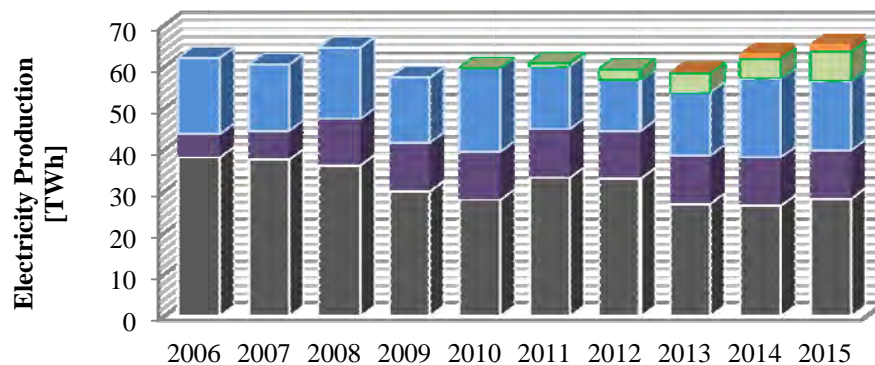


Figure iii.1 Electricity production in Romania from 2006 to 2015 according to National Institute of Statistics: ■ thermo power plants (TPP) ■ nuclear power plants (NPP) ■ hydro power plants (HPP) ■ wind power plants (WPP) and ■ solar power plants (SPP).

The electricity production in Romania from RES has evolved from 27% (only hydro) in 2009 to 39% (25% hydro, 11% wind and 3% solar) in 2015. Along to the same period one can observe a constant contribution from the nuclear production of 18% while the thermal production was diminished from 52% in 2009 to 43% in 2015. However, the renewable energy sources (wind or solar) introduce larger and larger perturbations in the energy production, thus generating difficulties in maintaining grid stability.

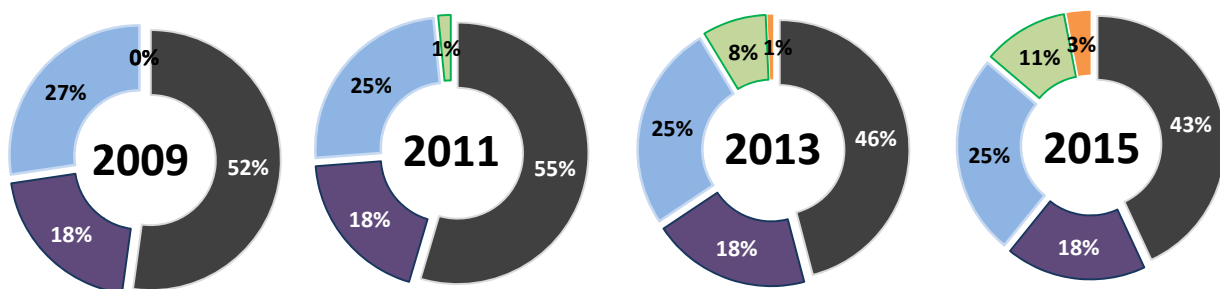


Figure iii.2 Distribution of the electrical energy production in Romania on several years according to National Institute of Statistics: ■ thermo power plants (TPP) ■ nuclear power plants (NPP) ■ hydro power plants (HPP) ■ wind power plants (WPP) and ■ solar power plants (SPP).

As a result, the following research topics would be further investigated to support the operation of the hydraulic turbomachines (turbines and pumps) in the energy market and to extend its lifetime:

- (i) deep analysis of the unsteady phenomena and transient regimes associated to the wide range operation and the special operating conditions (e.g. startup, shutdown, load rejection);
- (ii) investigation of the cavitating flows in order to improve the behaviour of hydraulic machines and systems;
- (iii) innovative solutions to improve the flexible operation on a wide range for new and refurbishing/rehabilitation projects;
- (iv) new solutions and control techniques to extend its lifetime;
- (v) developing numerical and experimental customized tools and test cases to support basic knowledge for above topics;

The investigations would be extended to the large pumps installed in the wastewater systems and flood protection systems.

iii.2 Professional plan

Firstly, I am focusing to further develop our group by working every day together with my colleagues, doctoral students, master students and bachelor students, respectively. I would like to further develop the partnership with the following colleagues developing common research projects:

- Prof. R. Susan-Resiga, Dr. S. Bernad, Dr. L. Vékás, member of the Romanian Academy and Dr. V. Socoliuc, Hydronic, Cavitation and Magnetic Liquids Division, Center for Advanced Research in Engineering Sciences, Romanian Academy – Timișoara Branch;
- Prof. L.E. Anton, Prof. A. Baya, Prof. I. Bordeasu, Hydraulic Machinery Group, Mechanical Engineering Faculty, Politehnica University of Timișoara;
- Prof. L. Marșavina, Mechanical Engineering Faculty, Politehnica University of Timișoara;
- Prof. M. Biriescu, Prof. N. Muntean, Prof. A. Hedeș, Electrical Engineering Faculty, Politehnica University of Timișoara;
- Prof. V. Ungureanu, Prof. F. Dinu and Prof. D. Dubina, member of the Romanian Academy, Civil Engineering Faculty, Politehnica University of Timișoara;

I am very glad to consolidate my collaboration with several young colleagues from our group which have already demonstrated their scientific abilities:

- Dr. A. Bosioc, Hydraulic Machinery Group, Mechanical Faculty, Politehnica University of Timișoara;
- Dr. C. Tănasă, Research Institute for Renewable Energies, Politehnica University of Timișoara;
- Dr. A.A. Anton, Computer and Automation Faculty, Politehnica University of Timișoara;
- Dr. I. Drăghici, AQUATIM S.A. Timișoara;
- Dr. T. Ciocan, Draexelmaier Timișoara;

I am planning to consolidate the partnership at the national level with following groups in fields of the hydraulic machines and fluid mechanics:

- Politehnica University of Bucharest;
- Technical University of Civil Engineering, Bucharest;
- “Dunărea de Jos” University of Galati;
- “Eftimie Murgu” University from Reșița;
- Technical University from Cluj;

- Transilvania University from Braşov;
- S.C. Hidroelectrica S.A. and its subsidiaries;
- AQUATIM S.A. Timișoara;
- UCM Reșița;
- S.C. ROSEAL S.A. Odorheiul Secuiesc;
- ANIF Timișoara;

and at the international level with the following groups in the same fields:

- Stuttgart University, Germany;
- École Polytechnique Fédérale de Lausanne, Switzerland;
- Chalmers University of Technology, Gothenburg, Sweden;
- Norwegian University of Science and Technology, Trondheim, Norway;
- Luleå University of Technology, Sweden;
- Brno University of Technology, Czech Republic;
- Russian Academy of Science - Siberian Branch, Kutateladze Institute of Thermophysics, Novosibirsk, Russia;
- Laval University, Canada;
- Vienna University of Technology, Austria;
- Grenoble Institute of Technology, France;
- HES-SO Valais-Wallis, Sion, Switzerland;
- Ecole Technique Supérieure de Montreal, Canada;
- China Agricultural University, Beijing, China;
- GE Hydro (former Alstom Hydro), Grenoble, France;
- Turboinstitut, Ljubljana, Slovenia;
- Andritz Hydro (former GE Hydro), Montreal, Canada;
- Andritz Hydro (former VA TECH Hydro), Zurich, Switzerland;
- IREQ, HydroQuebec, Canada;
- Power Vision Engineering, Switzerland.

Several national and international projects covering the scientific topics detailed in the previous section would be further developed. Also, my professional plan for next decade is linked with developing two further infrastructures to support the scientific research plan detailed in previous section. First one is extending the HPC infrastructure (both hardware and software) available at Parallel Computing and Numerical Simulation Laboratory from National Research Center for Engineering with Complex Fluids, Politehnica University of Timisoara. This infrastructure targets two issues: (i) a numerical platform available for PhD/master/Bachelor students (ANSYS Academic Multiphysics Campus Solution (50/500) with 50 serial licences and 500 teaching licences) from Politehnica University of Timisoara and (ii) high parallel computing software platform (ANSYS Academic Multiphysics Campus Solution with 256 cores) for PhD/master students and postdoctoral researchers together with an extension of the HPC hardware platform (IBM Blade supercomputer) available at UPT. In doing so, the numerical tools can be available on large scale at all levels.

The second research infrastructure is referring to a turbine test rig (e.g. Francis/Kaplan turbines and pump-turbines). This infrastructure targets global performances (efficiency and cavitation behaviour) and special capabilities for the flow investigations (e.g. unsteady pressure field, LDV) in hydraulic turbines in order to assess innovative solutions for its operation on a wide operation range (e.g. axial water injection, air-

water control technique) and during special operating conditions (e.g. startup, shutdown, load rejection), respectively. This infrastructure would be a key element to develop the knowledge and human resource in the fluid engineering and hydropower fields being crucial at national level. Several national and international projects would be developed using this infrastructure to support the partnerships mentioned above.

iii.3 Academic plan

First of all, I would like to emphasize once again my membership to Timișoara School on Hydraulic Machines and Cavitation. I was formed in this school and I continued to develop the research directions with my colleagues based on what we inherited. New research developments have been outlined in my habilitation thesis. Obviously, it is teamwork like it has done so far. Therefore, my vision is structured on three levels (local, national and international) being based on my academic activities developed up to now. I am summarizing these academic activities at each level. Then I am underlying my plan based on these previous activities.

I have collaborated with several PhD students during their doctoral programs:

- Dr. D.I. Balint, Dr. A. Stuparu, Dr. A.A. Anton, Dr. A. Bosioc, Dr. T. Tănasă, Dr. Gh. Gînga, Dr. I.G. Moisă, Dr. T. Ciocan, Dr. C. Ighișan, Dr. N. Pașca from Politehnica University of Timișoara;
- Dr. C. Mărculescu, Dr. G. Dunca from Politehnica University of Bucharest;
- Dr. B. Bedeleian from Transilvania University from Brașov;
- Dr. D. Ștefan, Brno University of Technology, Czech Republic;
- Dr. A. Javadi, Chalmers University of Technology, Goetenburg, Sweden.

Each person mentioned above (excepting Dr. A. Javadi) has worked at his doctoral thesis at least a time period together with me in Timisoara. In my opinion, this is a mutual bilateral cooperation with benefits for both sides. I am planning to offer this opportunity to my PhD students based on my partnerships at the local, national and international levels.

The national PhD School in Hydraulic Machinery and Fluid Engineering is a valuable idea promoted by several professors from our community (e.g. Prof. A. Anton, Prof. C. Balan and Prof. R. Susan-Resiga). This idea can be developed based on previous successful projects: ACCORD-Fluid and Fluids Engineering Information Platform - PiiF projects. I will contribute with my knowledges to implement this idea.

A bilateral partnership was developed with Institute of Hydraulic Machinery and Fluid Mechanics from Stuttgart University, Germany during 2005 – 2014. I initiated this bilateral partnership together with Dr. A. Ruprecht after my HPC project implemented at Stuttgart University in 2005. The partnership was developed together with Prof. R. Susan-Resiga and valuable support of Prof. L.E. Anton and Prof. A. Baya from Romanian side and Prof. E. Goede from German side, respectively. This partnership has included the following:

- one German-Romanian Workshop on Turbomachinary Hydrodynamics (GRoWTH) organized every year (one in Timisoara and next in Stuttgart);
- one common technical visit at industrial partner each year (e.g. hydropower plant, pumping stations, research infrastructures, wastewater stations, and so on);
- a fruitful and stimulating environment for young colleagues (e.g. postdoctorands, PhD, master and Bachelor students) from both groups. The following young colleagues from our group was benefits by this partnership: Dr. D.I. Balint, Dr. A. Stuparu, Dr. A. Bosioc, Dr. V. Hasmatuchi, Dr. T. Tănasă, Dr. G. Gînga, Dr. I.G. Moisă, Dr. T. Ciocan, Dr. C. Ighișan, Dr. A.-F. Totorean, Dr. I. Dragomirescu, Dr. N. Pașca, ing. S. Constantin.
- joint researches to design and investigate the fluid flows in the new swirl generators;

- joint researches to design new technical solutions for hydropower plants (e.g. Bradisor HPP) and testing/assessing new flow control techniques on turbine models (e.g. axial water jet);
- support for HPC projects implemented at Institute of Hydraulic Machinery and Fluid Mechanics (e.g. Dr. D.I. Balint and Dr. A.A. Anton).

I will capitalize on any chance of this kind for future bilateral cooperation on the basis of the experience gained previously. It is a fruitful environment for all members of the group but especially for the young colleagues. I am planning to enrich my experience developing a bilateral cooperation with other group in field of the hydraulic machinery (e.g. Austria or Serbia).

My participation to the events (workshops, symposia, conferences) organized by International Association on Hydraulic Research, Section: Hydraulic Machinery and Systems is a priority. The IAHR: Section on Hydraulic Machinery and Systems is the community dealing with the advancement of technology associated with the understanding of steady and unsteady flow characteristics in hydraulic machinery and conduit systems connected to the machinery. The technology elements include the fluid behaviour within machine components, hydro behaviour of machine components, cavitation in turbines and pumps and hydraulic machine and plant control systems.

I have developed several international partnerships based on the participation to these events valorized in common publications. Please find below enumerated these colleagues from the international community:

- Prof. E. Goede, Dr. A. Ruprecht, Prof. S. Riedelbauch, Dr. O. Kirschner, Dr. R. Neubauer, Stuttgart University, Germany;
- Dr. G.D. Ciocan, Laval University, Quebec, Canada;
- Prof. F. Avellan, École Polytechnique Fédérale de Lausanne, Switzerland;
- Dr. V. Hasmatuchi, HES-SO Valais-Wallis, Sion, Switzerland;
- Prof. H. Nilsson, Dr. O. Petit, Dr. A. Javadi, Chalmers University of Technology, Goetenburg, Sweden;
- Prof. M. Cervantes, Luleå University of Technology, Sweden;
- Prof. P. Rudolf, Dr. D. Štefan, Brno University of Technology, Czech Republic;
- Prof. P.A. Kuibin, Prof. V.L. Okulov, Russian Academy of Science - Siberian Branch, Kutateladze Institute of Thermophysics, Novosibirsk, Russia;
- Dr. P. Stein, Andritz Hydro (former VA TECH Hydro), Zuerich, Switzerland;
- Dr. A. Skerlavaj, Turboinštitut, Ljubljana, Slovenia;
- Dr. T.C. Vu, Dr. B. Nennemann, Andritz Hydro (former GE Hydro), Canada;
- Dr. P. Leroy, Dr. E. Joubarne, Dr. L. Bornard, Dr. T. de Colombel, GE Hydro (former Alstom Hydro), Grenoble, France;

Therefore, I will encourage and support my younger colleagues (e.g. PhD/master/Bachelor students) to participate to the events organized by IAHR community in order to develop a solid connection with peoples from international community.

I have approached problems raised by industrial partners such as the Hidroelectrica (Romanian Hydropower Company), ALSTOM Hydro France, AQUATIM S.A. Timișoara and others. It is clear that in order for the engineering research to achieve significant impact, the problems to be addressed must originate from the current practice in companies. As a result, the subjects of the PhD theses I am planning to supervise would be closely related to the fundamental aspects of the complex flow phenomena occurring in practice. Moreover, the PhD students would interact with the industrial environment, with important benefits for their professional career development (e.g. better perceive the conditions in which machines and equipment work, interact with engineers with vast experience).

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